

Coordinated Integration of Distributed Energy Resources in Unit Commitment

Usman Munawar , Zhanle Wang

Electronic Systems Engineering, University of Regina, Regina, SK, Canada, S4S 0A2

Abstract

Distributed energy resources, such as electric vehicles and roof-top solar panels have become increasingly popular and essential components of power distribution systems. However, their growth poses significant challenges to system operation, such as bidirectional power flow, intermittent power generation, increased peak demand, and unexpected frequency/voltage fluctuation. To tackle these challenges, we developed a vehicle-to-grid model, which incorporated dynamic electric vehicles usages, such as driving times, driving distance, and charging/discharging locations. The machine learning method of principal component analysis and XGBoost were used to develop the solar energy prediction model. We also developed a novel unit commitment model to coordinate and aggregate the distributed energy resources in power generation economic dispatch. Electric vehicle charging was used as elastic demand and electric vehicle discharging was used as power generation sources. The solar energy was considered as a negative load. Simulation results showed that uncontrolled electric vehicle charging and solar energy could negatively impact the power systems. For example, the load ramping rate was increased by 384%. Simulation results also showed that the proposed models could mitigate the negative impact and improve energy efficiency. For example, the peak demand, load ramping rate and average generation cost were reduced by 21%, 79%, and 27%.

Keywords: distributed energy resources, unit commitment, solar energy, electric vehicle, vehicle to grid, demand response.

1 **Nomenclature**

2 **Sets**

- 3 \mathcal{I} The set of EVs
4 \mathcal{J} The set of power generation units
5 \mathcal{T} Time horizon

6 **Greek**

- 7 α^j, β^i Constant coefficients

8 **Symbols and Variables**

- 9 Δt Time interval
10 $\overline{P}_t^{\text{EV}}$ Rated EV charging/discharging power
11 \overline{p}_t^j Maximum power generation limit of the unit j
12 SOC_0 Initial SOC
13 SOC_{\max} Maximum SOC
14 SOC_{\min} Minimum SOC
15 SOC_{acc} Accepted SOC level at driving times
16 \underline{p}_t^j Minimum power generation limit of the unit j
17 $\underline{t}_{\text{off}}$ Minimum down time
18 $\underline{t}_{\text{on}}$ Minimum up time
19 $B_t^{\text{EV}\downarrow i}$ Binary variables indicating EV discharging status
20 $B_t^{\text{EV}\rightarrow i}$ Binary variables indicating EV driving status
21 $B_t^{\text{EV}\uparrow i}$ Binary variables indicating EV charging status
22 $c_i(\cdot)$ Discharging cost of an EV

23	$c_j(\cdot)$	Operation cost of a generation unit
24	C_t^A	Actual generation cost
25	C_t^M	Generation cost in an electricity market
26	i, j, k	Index
27	p_t^j	Amount of power generation
28	$p_t^{\text{EV}\downarrow i}$	EV discharging power
29	$p_t^{\text{EV}\rightarrow i}$	EV driving power
30	$p_t^{\text{EV}\uparrow i}$	EV charging power
31	$p_t^{\text{EV}i}$	Power consumption of the i^{th} EV at time slot t
32	$P_{\text{Dri}}^{\text{EV}}$	Rated EV driving power
33	R_j^D	Ramping down limit
34	R_j^U	Ramping up limit
35	t	Time
36	$t_{i,1}, t_{i,3}$	Departure times of the i^{th} EV
37	$t_{i,2}, t_{i,4}$	Arrival times of the i^{th} EV
38	y_j^t	On/off status of unit j at time t
39	AT	Ambient Temperature
40	PA	Panel Area
41	PE	Panel Efficiency
42	R	Radiation Value
43	SPG	Solar Power Generation
44	Acronyms	

45	CCG	Combined-Cycle Gas
46	DER	Distributed Energy Resources
47	DR	Demand Response
48	EV	Electric Vehicle
49	PCA	Principal Component Analysis
50	SCG	Simple-Cycle Gas
51	SOC	State of Charge
52	UC	Unit Commitment
53	V2G	Vehicle-to-Grid
54	XGBoost	Extreme Gradient Boosting

55 1. Introduction

56 The worldwide power system transition from the conventional grid to
57 smart grid paradigm is promoting the integration of distributed energy re-
58 sources such as roof-top solar, small-scale wind turbines, electrical energy
59 storage systems, electric vehicles (EV), and demand response (DR) [1]. How-
60 ever, the growth of distributed energy resources (DER) poses significant chal-
61 lenges to the power system operation and control, such as bidirectional power
62 flow, intermittent power generation, increased peak demand, and unexpected
63 frequency/voltage fluctuation [2]. Therefore, DER aggregators and grid op-
64 erators need to prepare for high-level distributed energy resource penetration
65 to the power system.

66 Solar and wind energy are intermittent and not economic dispatchable;
67 therefore, accurate short-term forecasting is paramount for their integration.
68 Among other methods such as physical and statistical models, the machine
69 learning method becomes increasingly suitable for short-term renewable en-
70 ergy forecasting [3, 4]. However, the appropriate selection of machine learning
71 models and data features remains a significant challenge. A framework to
72 evaluate various machine learning models and feature selection methods was
73 developed in [5], and the best combination to forecast short-term solar power
74 was discovered.

75 Besides accurate forecasting, energy storage systems are also helpful in
76 renewable energy integration into power systems because energy storage can
77 absorb the uncertainty of renewable energy. However, large-scale economic
78 energy storage is not mature yet [6, 7]. Alternatively, as EVs have significant
79 batteries, they can be considered as mobile energy storage with time-varying
80 parameters such as driving distance/period and charging/discharging loca-
81 tions [8]. However, uncontrolled EV charging can significantly increase peak
82 demand [9].

83 To mitigate the challenge of renewable energy and EV integration, they
84 must be included in the power system operation routine, e.g., unit commit-
85 ment (UC) that economically dispatches power generation to meet demand
86 [10, 11]. Power system flexibility metrics, e.g., the ramping rate of a load
87 profile, were proposed to assess the flexibility of the power system for re-
88 newable energy integration [12]. Energy storage and combined heat & power
89 generation were used in a UC model to compensate the intermittent solar
90 energy [13].

91 Concentrating solar power technology could also smooth out the variation

92 of solar energy because it could store energy [14]. The uncertainty in UC
93 model was reviewed in [15]. The security constrained UC model considering
94 the uncertainty of wind generation was developed in [16]. Alternatively,
95 stochastic UC models were developed to cope with the uncertainty from high
96 level penetration of the solar energy [17, 18]. These UC models focused on
97 coping with the uncertainty of solar energy, and the solar energy information
98 was from actual data or predicted by other sources. However, accurate solar
99 prediction models were not integrated into the UC models.

100 EVs are parked 95% of the time on average, and EVs can be controlled
101 to charge or discharge during the parking time, known as the vehicle-to-
102 grid (V2G) application [19]. V2G can be incorporated into UC models. For
103 example, a V2G model was developed to analyze the impact of bidirectional
104 flow capacity on power generation dispatch [20]. The EV discharging capacity
105 was used as the spinning reserve in a UC model to absorb the uncertainty
106 from renewable energy [21]. Both the EV charging and discharging in a
107 V2G application were coordinated by a UC model to fill the load valley from
108 renewable energy integration [22]. Furthermore, a security constrained UC
109 model was developed to cope with extreme scenarios of uncertainty from
110 renewable energy and EV penetration [23, 24, 25]. However, these models
111 did not consider dynamic EV usages, such as driving times, driving distance,
112 and plugin locations.

113 UC can be formulated into mixed-integer programming models [26, 27].
114 Methods have been developed to solve UC models, such as Lagrangian re-
115 laxation [28], particle swarm optimization [29, 30, 31] and genetic algorithm
116 [32, 33]. Among others, the mixed-integer linear programming was widely
117 used due to its flexibility, high efficiency, high convergent rate [34, 35, 36, 37,
118 38, 39, 40].

119 Nonetheless, highly accurate short-term renewable energy prediction mod-
120 els are needed. Also, the dynamic EV usages, such as driving times, driving
121 distance, and plugin locations, need to be modeled. To our best knowledge,
122 UC models with integrated both highly accurate short-term renewable en-
123 ergy prediction and the EV dynamic usage have not been developed. In this
124 study, we have developed a highly accurate solar energy prediction model
125 and a V2G model with the dynamic EV usage. A UC model was further
126 developed, which incorporated the solar prediction and V2G models.

127 The contributions of this work are summarized as follows:

- 128 1. A V2G model is developed, in which the dynamic EV usages are mod-

129 eled, such as driving times, driving distance, and charging/discharging
130 locations.

- 131 2. A UC model with integrated solar energy prediction and V2G mod-
132 els is developed. This model incorporates EV discharging as power
133 generation and controlled EV charging as elastic demand under DR.
- 134 3. The proposed models can be used to integrate a high level penetration
135 of EV and renewable energy to power systems.

136 The paper is organized as follows. Section II describes the problem for-
137 mulation and Section III presents case the simulation results. The discussion
138 is given in Section IV and the conclusion is presented in Section V.

139 2. Problem Formulation

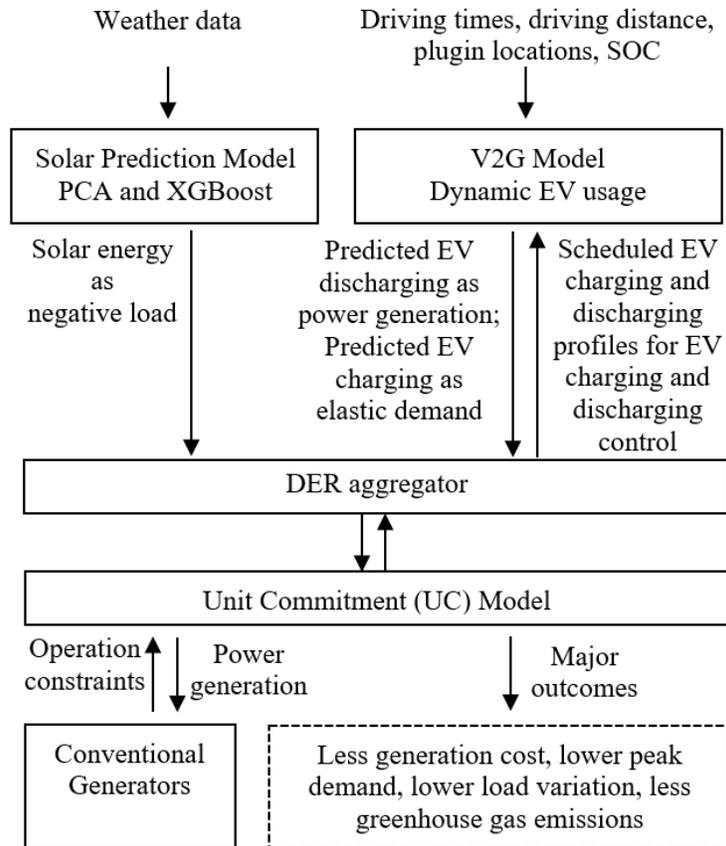
140 The main objective of this study is to economically and reliably integrate
141 DERs including EVs and solar energy into power systems. Fig. 1 shows the
142 system architecture. DER aggregators collect the information from DERs
143 and aggregate DERs to participate in UC. Solar energy is predicted and
144 considered as a negative load in the system. The V2G model incorporates
145 dynamic EV usage. The predicted EV discharging energy is used as power
146 generation in the UC model for economic dispatch. The predicted EV charg-
147 ing energy is used as elastic demand under DR. Four conventional genera-
148 tors are also used, including coal, combined-cycle gas, simple-cycle gas, and
149 diesel. The developed UC model economically dispatches conventional gener-
150 ation units, EV discharging capacity, and EV charging capacity. The major
151 outcomes include reduced generation cost, lower peak demand, lower load
152 variation, and less greenhouse gas emissions.

153 This section presents the dynamic EV usage and V2G model, the solar
154 energy prediction model, and the UC model.

155 2.1. Dynamic EV Usage and V2G

156 A fleet of EVs can be used as a battery bank for power system operation
157 and control. For instance, EV discharging can be used as distributed energy
158 resources, and controlled EV charging can be used as flexible demand in DR.
159 However, this battery bank has dynamic parameters such as driving times,
160 driving distance, and charging/discharging locations.

161 Fig. 2 shows the plug-in and dynamic driving times. Blue color presents
162 EV plug-in periods at homes or workplaces while green color shows driving



PCA: principal component analysis, SOC: state of charge, V2G: vehicle-to-grid

Figure 1: System architecture

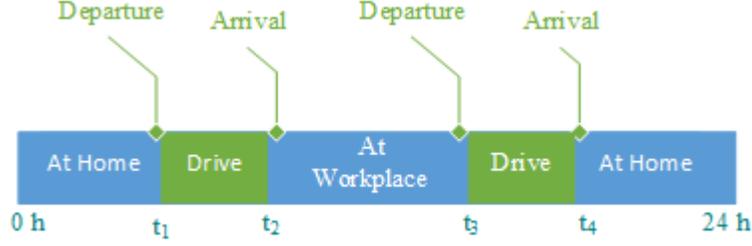


Figure 2: The diagram of important EV usage times

163 periods. Throughout the day, there are two driving periods from home t_1
 164 to workplace t_2 and from workplace t_3 to home t_4 . As the daily routine of
 165 the people are similar, the values of t_1 , t_2 , t_3 and t_4 tends to be normally
 166 distributed [8].

167 The V2G problem is modeled as follows.

$$p_t^{\text{EV}^i} = p_t^{\text{EV}\uparrow} - p_t^{\text{EV}\downarrow} - p_t^{\text{EV}\rightarrow} \quad (1)$$

$$0 \leq p_t^{\text{EV}\uparrow} \leq B_t^{\text{EV}\uparrow} \overline{P}_t^{\text{EV}}, \forall i, t \in [t_{i,2}, t_{i,3}] \cup [t_{i,4}, t_{i,1}] \quad (2)$$

$$0 \leq p_t^{\text{EV}\downarrow} \leq B_t^{\text{EV}\downarrow} \overline{P}_t^{\text{EV}}, \forall i, t \in [t_{i,2}, t_{i,3}] \cup [t_{i,4}, t_{i,1}] \quad (3)$$

$$p_t^{\text{EV}\rightarrow} = B_t^{\text{EV}\rightarrow} P_{\text{Dri}}^{\text{EV}}, \forall i, t \in [t_{i,1}, t_{i,2}] \cup [t_{i,3}, t_{i,4}] \quad (4)$$

$$B_t^{\text{EV}\uparrow}, B_t^{\text{EV}\downarrow}, B_t^{\text{EV}\rightarrow} \in \{0, 1\} \quad (5)$$

$$B_t^{\text{EV}\uparrow} + B_t^{\text{EV}\downarrow} + B_t^{\text{EV}\rightarrow} \leq 1 \quad (6)$$

$$\text{SOC}_{i,ts} = \text{SOC}_0 + \sum_{t=0}^{ts} p_t^{\text{EV}^i} \Delta t, \quad \forall i \quad (7)$$

$$\text{SOC}_{\min} \leq \text{SOC}_{i,ts} \leq \text{SOC}_{\max}, \quad \forall i, t \quad (8)$$

$$\text{SOC}_{i,t_1} \geq \text{SOC}_{\text{acc}}, \quad \forall i \quad (9)$$

$$\text{SOC}_{i,t_3} \geq \text{SOC}_{\text{acc}}, \quad \forall i \quad (10)$$

168 where $p_t^{\text{EV}^i}$ is the power consumption of the i^{th} EV at time slot t . $p_t^{\text{EV}\uparrow}, p_t^{\text{EV}\downarrow}$
 169 and $p_t^{\text{EV}\rightarrow}$ are the EV power consumption of charging, discharging and driving
 170 respectively. $B_t^{\text{EV}\uparrow}, B_t^{\text{EV}\downarrow}$ and $B_t^{\text{EV}\rightarrow}$ are binary variables indicating EV status

171 of charging, discharging and driving respectively. For example, $B_t^{\text{EV}^i \uparrow} = 1$
 172 indicates EV charging and $B_t^{\text{EV}^i \uparrow} = 0$ indicates no EV charging.

173 Eq. (2) shows that the EVs can be charged with the power between 0 and
 174 rated charging power when they are at homes or workplaces. $t \in [t_{i,2}, t_{i,3}] \cup$
 175 $[t_{i,4}, t_{i,1}]$ is the time period when the i^{th} EV is at homes or workplaces. This
 176 time is different among EVs. Likewise, Eq. (3) shows the EV discharging
 177 constraint when they are at homes or workplaces. Eq. (4) is the power
 178 consumption during the driving period.

179 Eq. (5) shows that $B_t^{\text{EV}^i \uparrow}, B_t^{\text{EV}^i \downarrow}, B_t^{\text{EV}^i \rightarrow}$ are binary variables. Eq. (6) shows
 180 the EV cannot be charging, discharging and driving simultaneously.

181 Eq. (7) shows the SOC of the i^{th} EV at t , where Δt is the time duration
 182 of each interval. Eq. (8) is a constraint for limiting the individual EV's SOC
 183 between the minimum and maximum limit of SOC, e.g., 0% and 100%. Eq.
 184 (9) and Eq. (10) constraints the SOC at start of driving times (t_1 and t_3),
 185 which indicates that the EV should have sufficient energy for driving.

186 This V2G model is incorporated into the UC model discussed in Section
 187 2.3.

188 2.2. Solar Prediction

189 Solar energy prediction is paramount in power system operation since so-
 190 lar energy is intermittent and generally non-dispatchable. Machine learning
 191 algorithms play an important role in solar energy prediction. Our earlier
 192 research in [5] showed that the combination of principal component analysis
 193 (PCA) and XGBoost provided the most accurate prediction among others:
 194 PCA with random forest, PCA with neural networks, feature importance
 195 with XGBoost, feature importance with neural networks, and feature impor-
 196 tance with random forest.

197 Therefore, this study uses PCA and XGBoost for solar energy prediction.
 198 Specifically, we use PCA for feature selection and use XGBoost to map the
 199 selected features to solar radiation signals. To train the predictive model, we
 200 use the weather data in the period of September-December, 2016, from the
 201 Kaggle database [41]. The data includes 11 attributes such as date, time,
 202 radiation, temperature, etc.

203 The following steps are carried out [5].

204 Step 1. Data pre-processing: 1) The data are cleaned. 2) The time and
 205 date are extracted from the UNIX time. 3) The sunset and sunrise times are

206 used to extract the length of the daytime. 4) The data are divided into three
 207 data sets: training, cross-validation, and test.

208 Step 2. Manual feature selection: By using statistical methods, the at-
 209 tributes are ordered based on the correlations with solar radiation. The
 210 most seven correlated attributes are selected: temperature, humidity, pres-
 211 sure, wind direction, wind speed, day, and time.

212 Step 3. PCA for feature selection: PCA is used to reduce the seven
 213 attributes into four components.

214 Step 4. XGBoost to map the selected features to solar radiation signals:
 215 XGBoost is a machine learning algorithm based on a sequential ensemble of
 216 decision trees, where weak learners can learn jointly to build a strong learner.

217 The training and cross-validation datasets are used to train the param-
 218 eters and hyperparameters. For example, the number of trees, maximum
 219 depth of trees, and step size were tuned as 70, 13, and 0.05, respectively.
 220 The final test using the test dataset shows 99.4% accuracy.

Finally, the predicted solar radiation is used to estimate the solar power
 generation, which is calculated as follows.

$$SPG = PE \cdot PA \cdot R \cdot [1 - 0.005(AT - 25)] \quad (11)$$

221 where PE is the panel efficiency, and PA is the panel area. R is the radiation
 222 value, while AT is the ambient temperature. SPG represents solar power
 223 generation.

224 The predicted solar energy is considered a negative demand and incorpo-
 225 rated into the UC model discussed in the next section.

226 2.3. Unit Commitment Model with V2G and Solar Prediction

227 UC is used to economically dispatch generation units to meet demand.
 228 Unlike a conventional UC model, the proposed model incorporates the V2G
 229 model and solar energy prediction. EV discharging is used as power gener-
 230 ation units, and EV charging is used as an elastic load under the concept of
 231 DR. The predicted solar energy is used as a negative load.

$$\underset{p_t^j, p_t^{\text{EV}\downarrow}, p_t^{\text{EV}\uparrow}}{\text{minimize}} \quad \sum_{t \in \mathcal{T}} \left[\sum_{j \in \mathcal{J}} c_j(p_t^j) + \sum_{i \in \mathcal{I}} c_i(p_t^{\text{EV}\downarrow}) \right] \quad (12)$$

232 subject to:

$$\sum_{j \in \mathcal{J}} p_t^j + \sum_{i \in \mathcal{I}} p_t^{\text{EV}\downarrow i} = p_t^d - p_t^s + \sum_{i \in \mathcal{I}} p_t^{\text{EV}\uparrow i}, \quad \forall t \in \mathcal{T} \quad (13)$$

$$y_t^j \in \{0, 1\}, \quad \forall t \in \mathcal{T}, j \in \mathcal{J} \quad (14)$$

$$\underline{p}_t^j y_t^j \leq p_t^j \leq \overline{p}_t^j y_t^j, \quad \forall t \in \mathcal{T}, j \in \mathcal{J} \quad (15)$$

$$R_j^U \leq p_j^t - p_j^{t-1} \leq R_j^D, \quad \forall t \in \mathcal{T}, j \in \mathcal{J} \quad (16)$$

$$y_t^j - y_{t-1}^j \leq y_k^j \quad \forall j \in \mathcal{J}, t \in \{2 \dots |\mathcal{T}| - 1\}, \quad (17)$$

$$k \in \{ \min(t + \underline{t}_{\text{on}} - 1, |\mathcal{T}|) \}$$

$$y_{t-1}^j - y_t^j \leq 1 - y_k^j \quad \forall j \in \mathcal{J}, t \in \{2 \dots |\mathcal{T}| - 1\}, \quad (18)$$

$$k \in \left\{ \min(t + \underline{t}_{\text{off}} - 1, |\mathcal{T}|) \right\}$$

Eq. (1) - (10)

233

The objective function is to minimize the generation cost. \mathcal{T} is the set of EV plug-in times. \mathcal{J} is the set of power generation units. p_t^j and $c_j(\cdot)$ is the power generation and cost of the j^{th} power unit, which is defined as follows:

$$c_j(p_t^j) = \alpha^j p_t^j \quad (19)$$

234 where α^j is constant.

\mathcal{I} is the set of EVs. $c_i(\cdot)$ is the discharging cost of the i^{th} EV, which can be defined as follows.

$$c_i(p_t^{\text{EV}\downarrow i}) = \beta^i p_t^{\text{EV}\downarrow i} \quad (20)$$

235 where β^i is constant.

236 The decision variables include $p_t^j, p_t^{\text{EV}\downarrow i}$ and $p_t^{\text{EV}\uparrow i}$. Although EV charging
237 ($p_t^{\text{EV}\uparrow i}$) is not in the objective function, it is flexible load under DR shown in
238 Eq. (13).

239 Eq. (13) is the power balance constraint between power generation and
240 demand. p_t^d is a reference load at time t . We define the reference load as the
241 load profile without EV and solar energy penetration. p_t^s represents the solar
242 power at time t . The power are from the power generation units (p_t^j) and
243 EV discharging. The demand includes the net load ($p_t^d - p_t^s$) and the flexible
244 EV charging load.

245 In Eq. (14) y_j^t is a binary variable, which is the on/off status of unit j
 246 at time t . In Eq. (15), \overline{p}_t^j represents the maximum power generation limit
 247 of the unit j and \underline{p}_t^j is the minimum power generation that the unit j needs
 248 to produce once it is on due to physical constraints. Eq. (16) exhibits the
 249 ramping up/down constraint of the unit j , where R_j^U and R_j^D show ramping
 250 up and down limit respectively.

251 Eq. (17) is the minimum on-time constraint, which means that the power
 252 generation unit has to remain on for a minimum time t_{on} after it is switched on
 253 due to economical reasons or mechanical design limits. Similarly, as observed
 254 in Eq. (18), a unit has to remain off for a minimum time t_{off} after it is switched
 255 off. $|\mathcal{T}|$ is the cardinality of the set \mathcal{T} .

256 3. Simulation Results and Analysis

257 To evaluate the proposed models, cases studies were conducted in 3 sce-
 258 narios:

- 259 1. Reference scenario: no EV nor solar energy penetration
- 260 2. Uncontrolled EV and solar energy penetration
- 261 3. V2G and solar energy penetration with 30% EVs penetration
- 262 4. V2G and solar energy penetration with 80% EVs penetration

263 3.1. Experimental Setup

264 This study simulated a power system having 300 homes. We first deter-
 265 mined the energy consumption of the simulated power system as follows. The
 266 electricity usage can be grouped as residential, industrial, and commercial
 267 sectors, while the residential sector consumes 1/3 of total electricity [42, 43].
 268 Furthermore, the average electricity usage per household is 30 kWh/day [44].
 269 Therefore, the energy consumption of the simulated power system was calcu-
 270 lated as $0.03 \text{ MWh} \times 300 \times 3 = 30 \text{ MWh}$. The load profile from PJM [45] was
 271 used and scaled down to fit the magnitude of our simulation. Fig. 3 shows
 272 the scaled load profile, and we denote it as the reference load profile in this
 273 study.

274 Table 1 summarizes the parameters for the V2G model. We assumed that
 275 30% of the consumers have EVs; therefore, 90 EVs were considered. The start
 276 EV driving times follow a normal distribution, and the expected value μ and
 277 the standard deviation σ are shown in the table. The driving time period
 278 was assumed as 45 minutes [8]; therefore $t_2 = t_1 + 45$ and $t_4 = t_3 + 45$. The

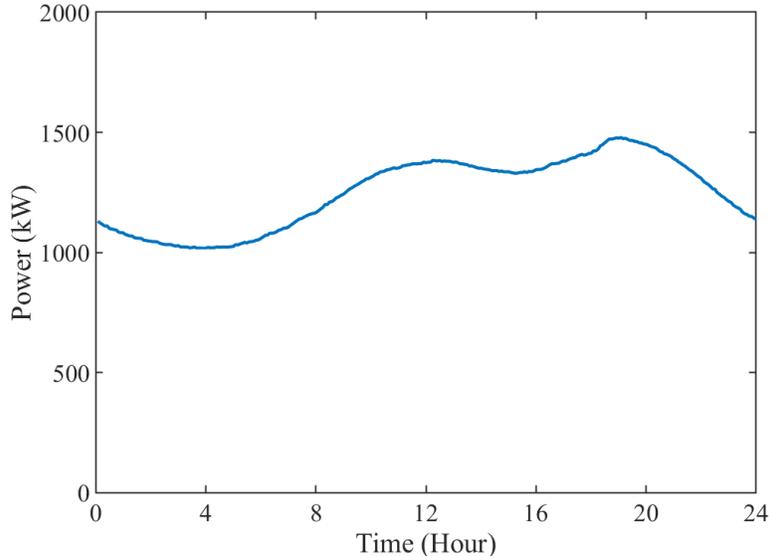


Figure 3: The reference load profile (Scaled from the actual load profile on October 27, 2019 from PJM. [45])

279 rated power ($\overline{P}_l^{\text{EV}}$) of EV charging/discharging was 3.3 kW at both home and
 280 workplace. The rated power for EV driving ($P_{\text{Dri}}^{\text{EV}}$) was 8 kW. In addition,
 281 the EV battery capacity was 50 kWh, and the initial SOC was 50%. The
 282 maximum and minimum SOC were 0% and 100%.

283 The solar model discussed in Section II.B was used to predict the solar
 284 energy based on the weather data in [41]. We assumed that 20% of homes
 285 have roof-top solar, and 10 kW PV system was designed with overall 70%
 286 system efficiency. The total PV area per home roof-top was 87 m^2 with
 287 PV panel efficiency of 16%. Eq. 11 was used to calculate the solar power
 288 generation. Table 2 shows the parameters. Fig. 4 shows the predicted solar
 289 power.

290 Table 3 summarizes the parameters of the UC model for conventional
 291 power generation units: coal ($j = 1$), combined-cycle gas ($j = 2$), simple-
 292 cycle gas ($j = 3$) and diesel ($j = 4$). The combined-cycle gas units use
 293 both gas-turbine and heat recovery steam-turbine and therefore are more
 294 efficient than simple-cycle gas units. However, simple-cycle gas units have
 295 the advantages of quick start and high ramping rate.

We also considered the power generation dispatch in an electricity mar-

Table 1: Major Parameters for V2G Model

Parameter	Values
$\beta^i, \forall i \in \mathcal{J}$	\$50/MWh
t_1 (normal distribution)	$\mu = 7 : 00$ and $\sigma = 1$ hour [8, 9]
t_3 (normal distribution)	$\mu = 17 : 00$ and $\sigma = 2.8$ hours [8, 9]
\mathcal{T}	24 hours
Time Interval	5 minutes
$\overline{P}_l^{\text{EV}}$	3.3 kW [8]
$P_{\text{Dri}}^{\text{EV}}$	8 kW
SOC_{\min}	0%
SOC_{\max}	100%
SOC_{acc}	50%

Table 2: Parameters for Solar Prediction

Parameter	Values
Rated Solar Power per home	10 kW
Ambient Temperature	28 C°
PV Efficiency (PE)	16%
System Efficiency	70%
PV Area (PA)	87 m^2

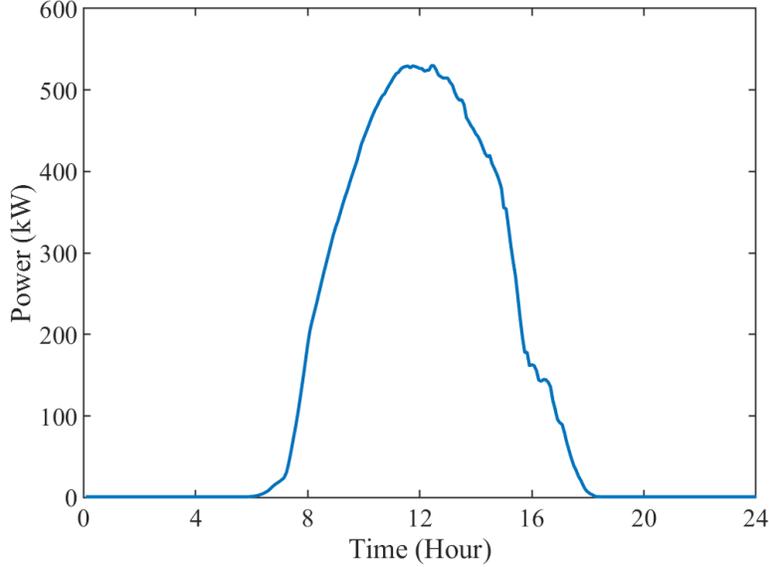


Figure 4: Predicted solar power

ket. The electricity market is cleared when the power generation units are dispatched to meet the demand. All the dispatched generation units are paid the same as the generation unit with the highest generation cost. The market-based generation cost was calculated as follows.

$$C_t^M = \max [c_j(p_t^j)] \quad (21)$$

296 The proposed optimization models were solved by the CVX with the
 297 Gurobi solver [46, 47, 48].

298 3.2. Scenario #1: Reference Scenario

299 The reference scenario did not consider the integration of solar energy
 300 and EVs. The UC model without V2G was solved to dispatch the four
 301 power units.

302 Fig. 5 shows the economic dispatch of the four power generation units,
 303 where the area plots show the power production of the units, and the envelope
 304 shows the total generation. The generation must always meet the demand.
 305 The energy consumption was 30 MWh. The total generation cost was \$1538.
 306 The average generation cost was $1538/30 = \$51.3/\text{MWh}$.

Table 3: Major Parameters of the UC Model

Parameter	Coal	CCG	SCG	Diesel
$\overline{\alpha^j}$ (\$/MWh)	28.1	30.2	45	62
$\overline{p_t^j}$ (kW)	750	400	400	1000
$\underline{p_t^j}$ (kW)	10	4	2	0
$\underline{t_{on}}$ (minutes)	30	10	20	5
$\underline{t_{off}}$ (minutes)	15	10	20	5
R_j^U (kWh/5-min)	0.5	2	4	100
R_j^D (kWh/5-min)	-0.5	-2	-4	-100

CCG: Combined-Cycle Gas. **SCG:** Simple-Cycle Gas

307 The peak demand and the variance of the reference load were 1478.1
308 kW and 22237.0 kW, respectively. The maximum ramping rate was 13.9
309 kWh/5-min.

310 3.3. Scenario #2: Uncontrolled EV and solar energy penetration

311 To evaluate the impact of uncontrolled EV charging and solar energy
312 penetration on the power system, three sub-scenarios were designed: #2A -
313 uncontrolled EV charging penetration, #2B - solar energy penetration, and
314 #2C - both uncontrolled EV charging and solar energy penetration.

315 The blue line in Fig. 6 shows the load profile in Scenario #2A. The peak
316 demand and the variance of the load profile were 1741.7 kW and 42939.0
317 kW, respectively. The maximum ramping rate 67.3 kWh/5-min. The energy
318 consumption was 32 MWh. The generation cost was \$1698. The average
319 generation cost was \$53.1/MWh.

320 The red line in Fig. 6 shows the net load profile in the case of solar energy
321 penetration. The predicted solar power is shown in Fig. 4 acts as a negative
322 load. The peak demand and the variance of the load profile were 1477.4 kW
323 and 37529.0 kW, respectively. The energy consumption was 26.4 MWh. The
324 generation cost was \$1398. The average generation cost was \$52.9/MWh

325 Fig. 7 shows the reference load profile and the net load profile with both
326 uncontrolled EV and solar penetration. With both uncontrolled EV and solar
327 penetration, the peak demand and the variance of the load profile were 1741.0
328 kW and 82699.0 kW, respectively. The energy consumption was 28.4 MWh.
329 The generation cost was \$1554. The average generation cost was \$54.9/MWh.

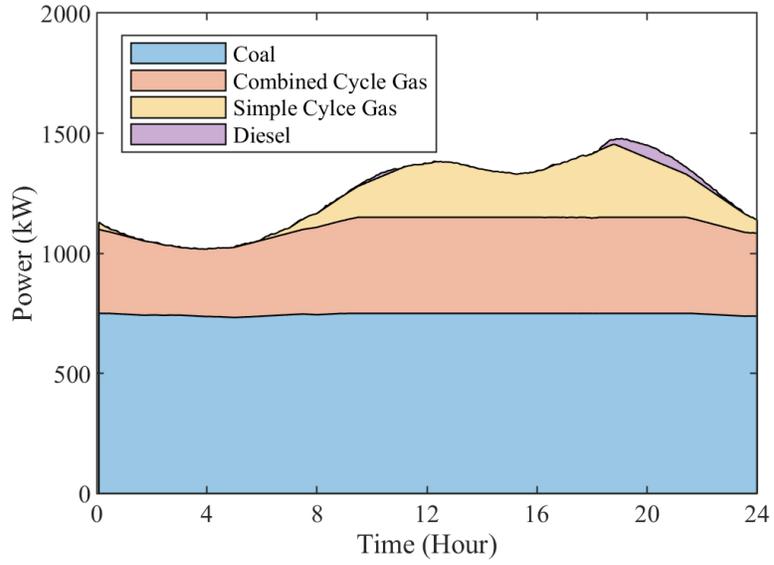


Figure 5: Power generation of different units in Scenario #1

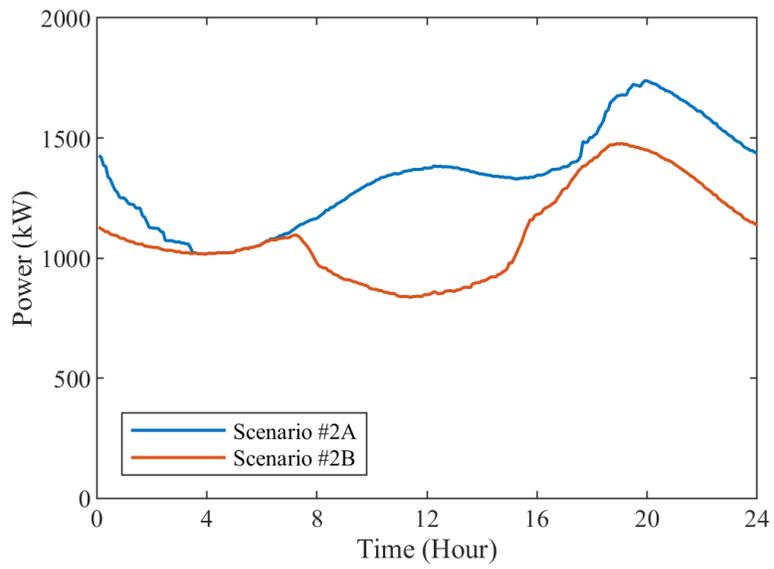


Figure 6: Load profiles of Scenario #2A and #2B

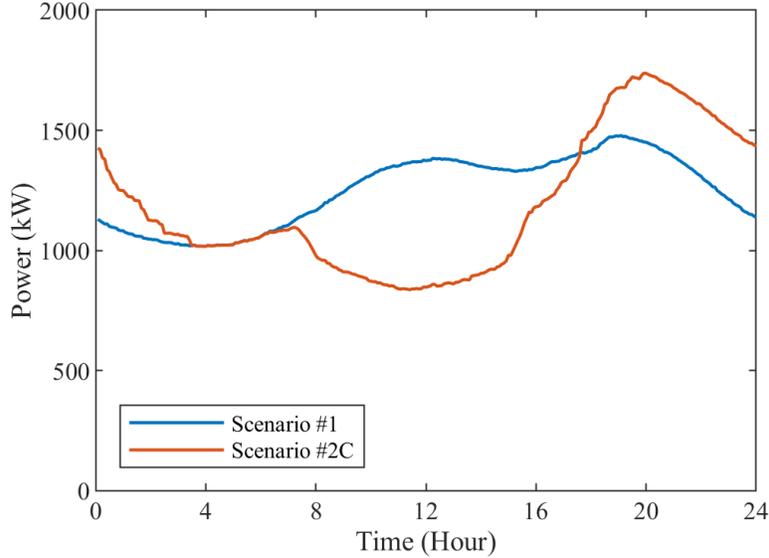


Figure 7: Load profiles of Scenario #1 and #2C

330 Fig. 8 shows the economic dispatch of the four power generation units for
 331 Sub-scenario #2C.

332 The intermittent solar energy can significantly increase the net load ramp-
 333 ing rate, which can pose a significant challenge for power system operation.
 334 The maximum ramping rate was 67 kWh/5-min for Scenario #2B and #2C.
 335 The ramping rate was increased by 384% compared with Scenario #1.

336 3.4. Scenario #3: V2G and solar energy penetration

337 In this scenario, the UC model with V2G was applied in the case with
 338 both EV and solar penetration. EV charging was included as flexible demand
 339 and EV discharging was included as power generation in the UC model. Eq.
 340 20 was used to calculate the EV discharging cost.

341 Fig. 9 shows the load profiles of Scenario #2C and #3. As can be seen,
 342 the peak demand was reduced from 1741.0 kW to 1376.6 kW and the variance
 343 of the load profile was reduced from 82699.0 to 16808.0 kW. The maximum
 344 ramping rate was reduced from 67.4 kWh/5-min to 14.1 kWh/5-min. Fig. 10
 345 shows uncontrolled EV charging and the EV charging and discharging curves
 346 under V2G. Blue line shows the uncontrolled EV charging as the reference.
 347 Red line shows the aggregated EV charging/discharging curves under V2G,

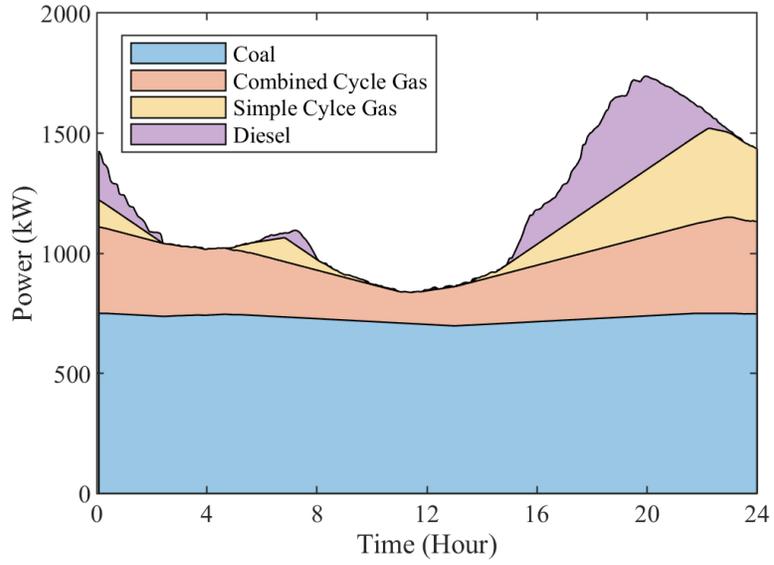


Figure 8: Power generation of different units in Scenario #2C

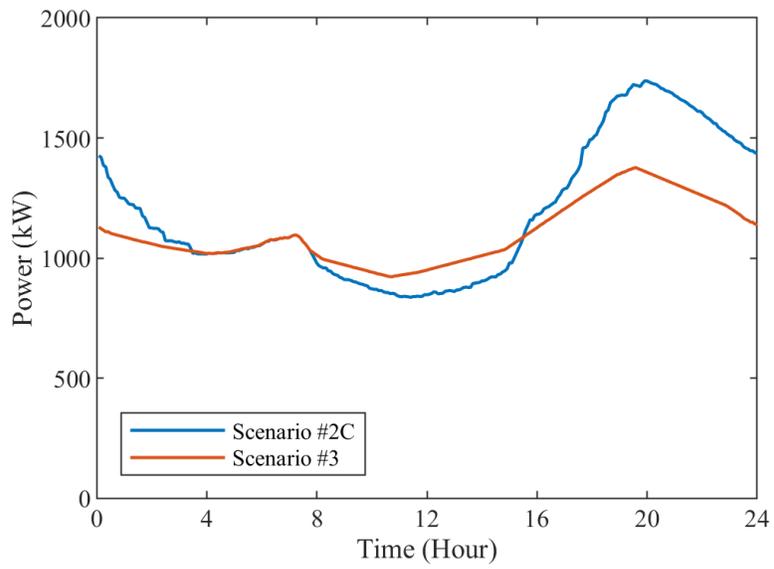


Figure 9: Load profiles of Scenario #2C and #3

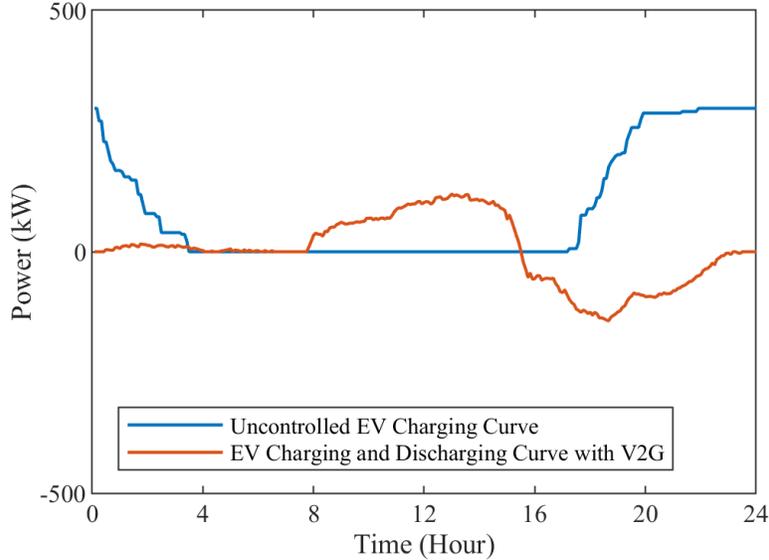


Figure 10: Uncontrolled EV charging curve (Scenario #2C) and EV charging and discharging curve with V2G (Scenario #3)

348 where positive values were EV charging and negative values were EV dis-
 349 charging. As can be seen, the EVs were under charging during the load
 350 valley period. This is the period when there is plenty of solar energy avail-
 351 able. By contrast, EVs were under discharging during the peak demand
 352 period. Fig. 11 shows the economic dispatch of the four power generation
 353 units in the Scenario #3. The EV discharging energy was not shown in the
 354 area plot but it acted as a negative load similar to the solar energy. The
 355 energy consumption was 27.1 MWh. The generation cost was \$1098. The
 356 average generation cost was \$40.1/MWh.

357 *3.5. Scenario #4: V2G and solar energy penetration with 80% EVs penetra-*
 358 *tion*

359 In Scenario #4, to further investigate the flexibility of the UC model with
 360 V2G (solar and EV penetration), we increased the EV penetration to 80%.
 361 Half of the EVs were charged with 3.3 kW chargers, and the rest of the EVs
 362 were with 9.6 kW chargers.

363 Fig. 12 shows the load profiles of uncontrolled EV charging and EV charg-
 364 ing and discharging curve with V2G. The blue line represents the load profile

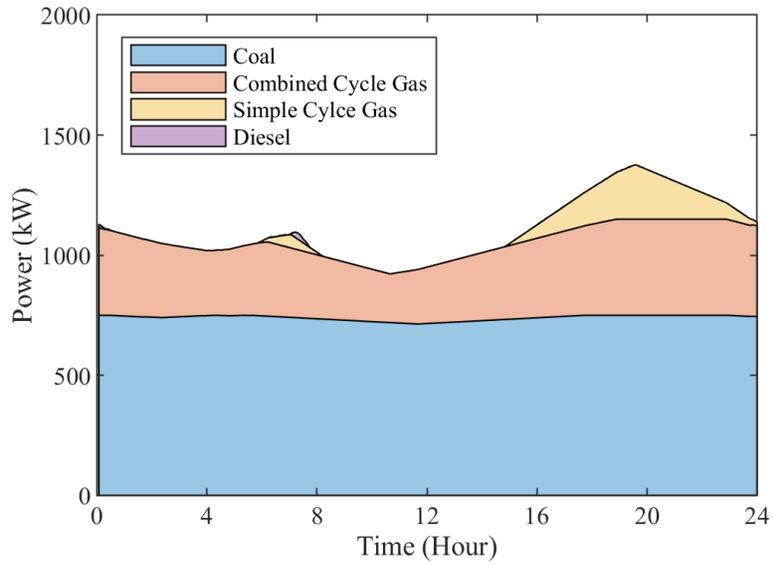


Figure 11: Power generation of different units in Scenario #3

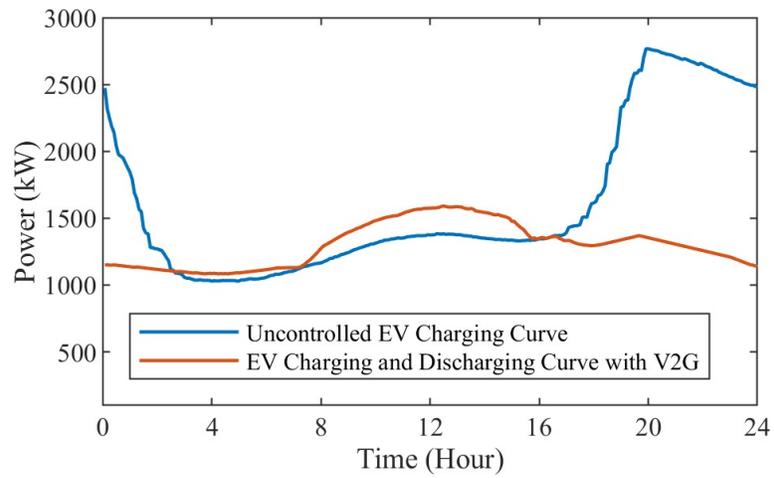


Figure 12: Uncontrolled EV charging curve and EV charging and discharging curve with V2G in Scenario #4

Table 4: Major observations in three SubScenarios of Scenario #2

#	Peak Demand kW	Load Variance kW	Max Ramping kWh/5-mins
#1	1478.1	22237.0	13.9
#2A	1741.7 (18% ↑)	42939.0 (98% ↑)	67.3 (384% ↑)
#2B	1477.4 (0%)	37529.0 (69% ↑)	29.1 (109% ↑)
#2C	1741.0 (18% ↑)	82699.0 (272% ↑)	67.4 (384% ↑)

365 with the uncontrolled EV charging, and the red line shows the EV charging
 366 and discharging curve with the V2G application. With uncontrolled EV
 367 charging, the peak demand and load profile variance were 2767 kW and
 368 333990 kW, respectively. The proposed UC model with V2G reduced the
 369 peak demand by 43% to 1590 kW. It also reduced the load profile variance
 370 by 92% to 26872 kW.

371 4. Discussion

372 In this study, we have developed a V2G model and a machine learning
 373 model for solar energy prediction. We also develop a UC model that in-
 374 corporates the V2G and solar energy prediction model. In the UC model,
 375 EV discharging is used as power generation, and EV charging is used as a
 376 flexible demand in DR. The predicted solar energy is considered a negative
 377 load. Experiments are designed in four scenarios to evaluate the proposed
 378 models. For a demonstration purpose, we only considered small scale power
 379 generation units, and a small number of EVs, and small scale solar energy
 380 generation. However, the proposed model is readily to be scaled up.

381 Table 4 summarizes the simulation results in Scenario #2. The results
 382 show that uncontrolled EV charging and solar energy can negatively impact
 383 the power system. For example, uncontrolled EV charging can increase the
 384 peak demand by 18%, if 30% of householders have EVs. To meet the in-
 385 creased peak demand, utilities may need to build new power plants, which
 386 requires significant investment. Solar energy can also negatively impact the
 387 power system because solar energy is not economically dispatchable and inter-
 388 mittent. For instance, if 20% of householders install solar panels, solar power

Table 5: Major observations in Scenario #2C and #3

#	Peak Demand kW	Load Variance kW	Max Ramping kWh/5-mins	AGC \$/MWh
#2C	1741.0	82699.0	67.4	54.9
#3	1376.6 (21% ↓)	16808.0 (80% ↓)	14.1 (79% ↓)	40.1 (27% ↓)

AGC: Average Generation Cost.

389 can increase the load ramping rate by 109%. To meet the higher ramping
 390 load, less efficient power generators must be used, e.g., simple-cycle gas tur-
 391 bines or diesel generators. The usage of inefficient generators will produce
 392 more greenhouse gases and decrease the value of solar energy. Furthermore,
 393 as shown in Scenario #2C the negative impact can be superimposed with
 394 both EVs and solar energy penetration. As the current solar power gen-
 395 eration cost is not viable comparing with conventional generators, it is not
 396 fair to compare the total generation cost between Scenario #1 and #2. We
 397 therefore do not compare the generation cost between Scenario #1 and #2.

398 Table 5 summarized the simulation results of Scenario #2C and #3. As
 399 can be seen, the proposed method can mitigate the negative impact of so-
 400 lar and uncontrolled EV penetration. Furthermore, the proposed model can
 401 incorporate V2G applications to reduce peak demand, flatten load profile,
 402 reduce generation cost, and improve energy efficiency. Specifically, the peak
 403 demand is reduced by 21%. The load variance and load ramping are de-
 404 creased by 80% and 79%, respectively. Furthermore, the average generation
 405 cost is reduced by 27%. Furthermore, in the case of 80% of EV penetra-
 406 tion, the proposed UC model with V2G reduced the peak demand and load
 407 profile variance by 43% and 92%, respectively, compared with uncontrolled
 408 EV charging. The improvement can avoid new infrastructure investment be-
 409 cause of the reduced peak demand. Renewable energy integration and EV
 410 incorporation can also reduce greenhouse gas emissions.

411 5. Conclusion

412 The penetration of DERs poses significant challenges to power system op-
 413 eration. However, proper management of them can also provide benefits. To
 414 tackle the challenges and reveal the benefits, we have developed a V2G model
 415 and a solar energy prediction model. We also developed a UC model that

416 incorporates the V2G and solar energy prediction models. Simulation results
417 showed that uncontrolled EV charging and solar energy could negatively im-
418 pact the power systems. For example, if 30% of the households have EVs and
419 20% of households install solar panels, the peak demand and ramping rate of
420 the load profile would be increased by 18% and 384%, respectively. It may
421 require building new power plants to meet the increased peak demand and
422 using less efficient power plants to meet the increased load variation. The
423 proposed UC model could economically dispatch EV discharging and use EV
424 charging as a flexible demand to mitigate the negative impact and improve
425 energy efficiency. For instance, the peak demand, ramping rate of the load
426 profile, and average generation cost were reduced by 21%, 79%, and 27%.
427 The proposed models can be used to quantitatively evaluate the impact of
428 EVs and solar energy on the power systems with different penetration levels.
429 Through the evaluation, grid operators can visualize the impact and prepare
430 for the penetration based on their situation. The proposed UC and V2G
431 models can also efficiently and economically integrate EVs and solar energy
432 into power systems. Specifically, the proposed models can reduce generation
433 costs, delay infrastructure investments, and reduce greenhouse gas emissions.

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