

# Monotops at the LHC

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March 17, 2015

# Outline

- Main features
- Classifying monotop signatures
- Baryogenesis
- A minimal model
- Monotop+MET with top quark channels
- Signal and background descriptions
- Monotop searches at the LHC
  - Hadronic and leptonic monotops at 7, 8 and 13 TeV
- Baseline cuts (initial proposal)

# Main features

- Bottom-up strategy
  - Start from a final state signature

**top + missing energy**

- Key features

- **Missing energy (DM candidates)**

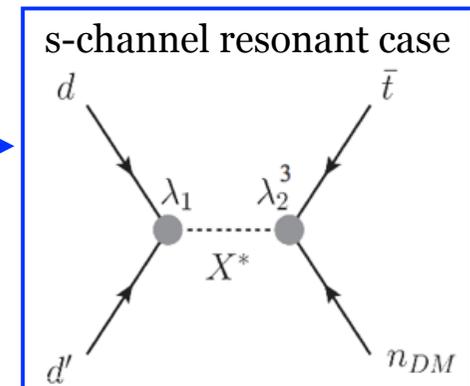
- Bosonic or fermionic state / One-particle or n-particle state
- Neutral, weakly-interacting, long-lived/stable/invisible

- **One single top quark**

- **Enhanced coupling between the 3rd generation and the others**

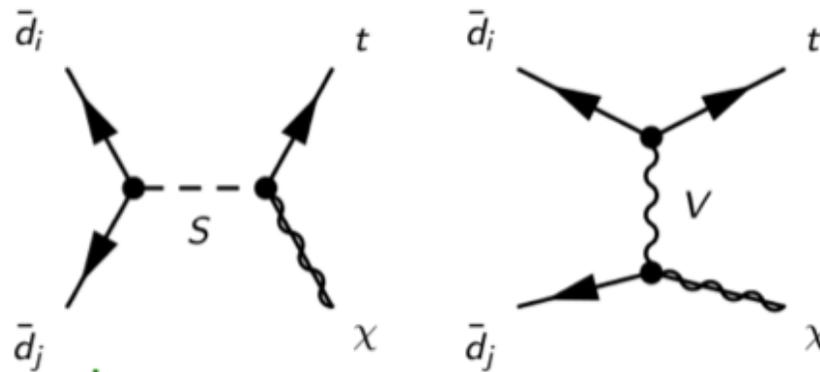
- **Initial state: two possibilities**

- **A down-type (anti) quark pair**
  - **baryon-number-violating process**
- An up-type quark / gluon associated
  - flavor-changing neutral interactions



# Monotop signatures (I)

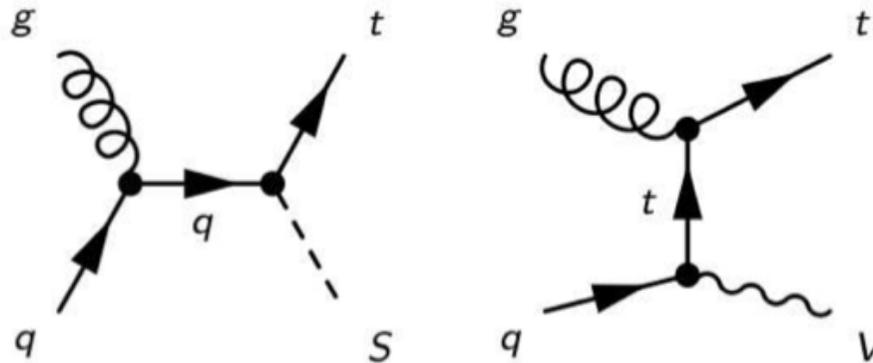
- MET is a fermion  $\chi$  (or  $n_{DM}$ )
- Monotop produced via (resonant or not) exchange of a new bosonic state



- Examples
  - $R$ -parity-violating SUSY ( $\bar{d}\bar{s} \rightarrow \tilde{u}_i \rightarrow t\tilde{\chi}_1^0$ ,  $S$ =squark,  $\chi$ =lightest neutralino)
  - $SU(5)$  theories ( $\bar{d}\bar{d} \rightarrow V \rightarrow t\bar{\nu}$ ,  $V$ =leptoquark,  $\chi$ =neutrino)
  - Four-fermion interactions (very heavy  $S$  or  $V$ ),  $\chi \equiv$  spin 3/2 excitations, Etc.

# Monotop signatures (II)

- MET is a boson S or V
- Monotop produced via flavor-changing interactions (top-charm or top-up)



- Examples
  - R-parity conserving SUSY:  $ug \rightarrow \tilde{u}_i \tilde{\chi}_1^0 \rightarrow t \tilde{\chi}_1^0 \tilde{\chi}_1^0$
  - Compressed spectrum, Flavor-violating graviton couplings (spin 2), Etc.

$$ug \rightarrow tS, tV \text{ or } tG$$

# Motivation: baryogenesis

Probing Light Nonthermal Dark Matter at the LHC, PRD 89, 096009 (2014)

- A minimal extensions to SM with  $\sim$ TeV color-triplet scalars ( $X$ ) and one singlet fermion ( $n_{DM}$ )
- Baryon-number-violating interaction mediated by heavy scalars  $X$

$$\mathcal{L}_{int} = \lambda_1^{\alpha,\rho\delta} \epsilon^{ijk} X_{\alpha,i} \bar{d}_{\rho,j}^c \mathbf{P}_R d_{\delta,k} + \lambda_2^{\alpha,\rho} X_{\alpha}^* \bar{n}_{DM\rho} \mathbf{P}_R u + \text{C.C.}$$

$X$  index  $\alpha = 1, 2$  for a minimal case with two  $X$  fields, required for successful baryogenesis

Quark generation indices  $\rho, \delta = \{1, 2, 3\}$  and SU(3) color indices  $i, j, k = \{1, 2, 3\}$

- $X$  couples to two  $d$ -quarks or **one  $u$ -quark + DM**
- DM couples to light fermions since it isn't protected by a a parity  
 **$|m_{DM} - m_{proton}| < m_{electron}$     $\lambda_2 \sim 0.1$  and  $m_X \sim \text{TeV}$**

# A minimal model

- New interaction terms and production mechanism are implemented within the FeynRules package and interfaced with the MadGraph 5 event generator

$$\mathcal{L}_{int} = \lambda_1^{\alpha, \rho\delta} \epsilon^{ijk} X_{\alpha, i} \bar{d}_{\rho, j}^c \mathbf{P}_R d_{\delta, k} + \lambda_2^{\alpha, \rho} X_{\alpha}^* \bar{n}_{DM} \mathbf{P}_R u_{\rho} + C.C.$$

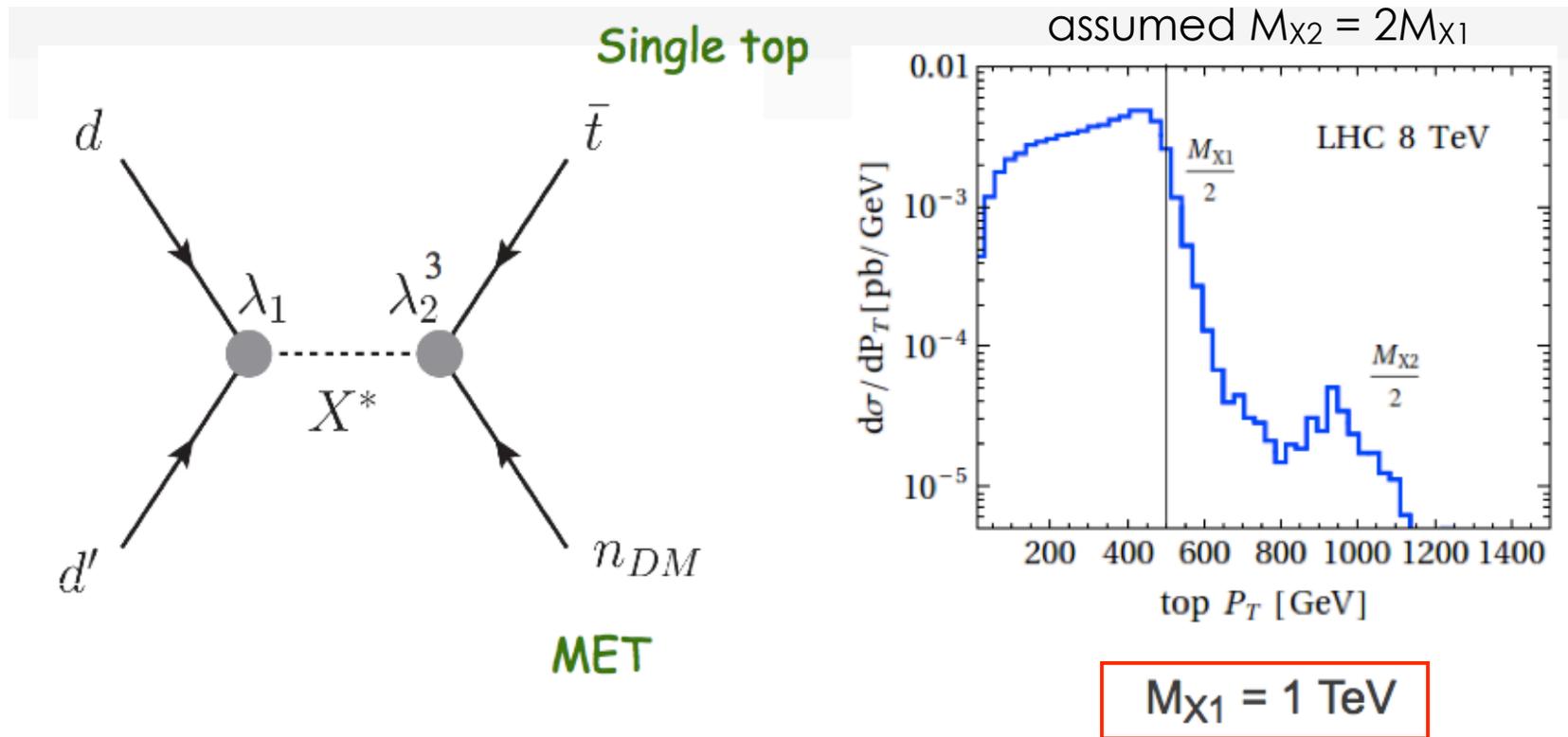
$$\lambda_1^{\alpha, \rho\delta} = \lambda_1 \times \lambda_{1X}^{\alpha} \times \lambda_{1R}^{\rho\delta}$$

$$\lambda_2^{\alpha, \rho} = \lambda_2 \times \lambda_{2X}^{\alpha} \times \lambda_{2R}^{\rho}$$

## Our focus:

- ☑  $\lambda_{2R}^{\rho} = ((1, 1, 1))$  allows to probe the coupling to top quark  
light jets → (1, 1)     (1) → top
- ☑ Suppose  $X_1$  be lighter than  $X_2$
- ☑ Make a flavor-blind coupling structure for simplicity

# Monotop + MET



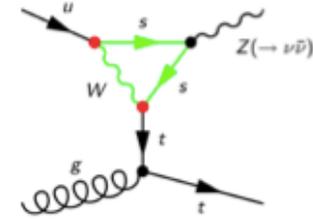
Top-quark decay modes determine the sub-channels:

- $t \rightarrow Wb \rightarrow \ell\nu b$ , where  $\ell = e, \mu, \tau$
- $t \rightarrow Wb \rightarrow jets$

# Signal description

- Hadronic top decay
  - 2 light jets + 1 b jet + missing energy
  - **Top reconstruction possible**
- Leptonic top decay
  - 1 lepton + 1 b jet + missing energy
  - **No top mass reconstruction**
  - Challenging due to two different invisible particles

# Background



$Z \rightarrow \nu\nu + 3 \text{ jets}$

Irreducible

Fake missing energy

QCD multijet

W+jets

ttbar

diboson

Single top

mis-reconstructed jet

non-reconstructed leptons from W

non- or mis-reconstructed leptons

A first prospective parton-level study done at 7 TeV ( $1 \text{ fb}^{-1}$ )  
 → Learning the key features necessary for a full analysis

# Monotops with $1 \text{ fb}^{-1}$ of 7 TeV (I)

## CMS and ATLAS

- Analysis strategy
  - Large missing energy, three high-quality hard jets, large hadronic activity
- Effects on the background
  - Comparable amount of multijet,  $t\bar{t}$ , Drell-Yan and  $W$ +jets events
  - Single top and diboson contributions highly reduced
- Additional specific monotop search strategy
  - Exactly one top  $\rightarrow$  exactly 3 jets with one b tag
  - Lepton veto
  - The two light jets from a  $W$  boson ( $m_W$ )
  - The three jets from a top quark ( $m_{\text{top}}$ )

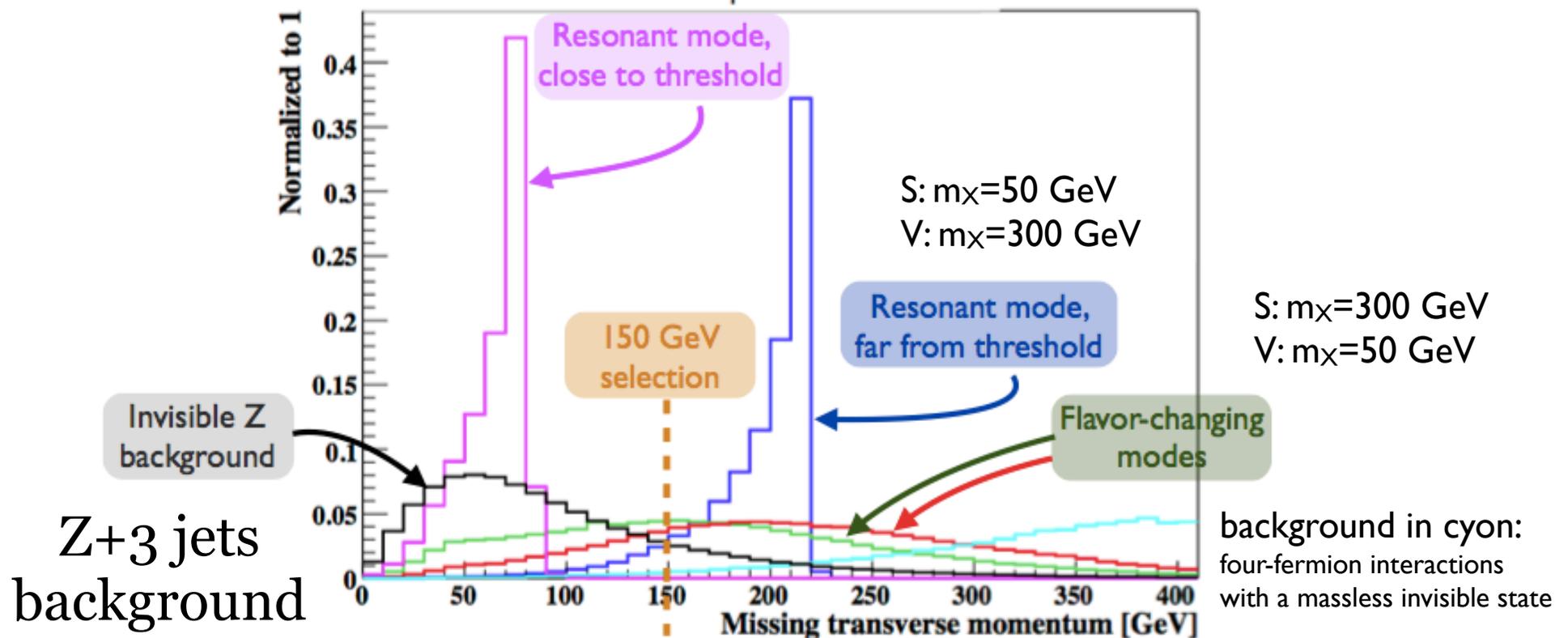
Simple selection	
MET	$> 150 \text{ GeV}$
top $p_T$	$> 50 \text{ GeV}$
SET(3 jets)	$> 300 \text{ GeV}$

# Monotops with $1 \text{ fb}^{-1}$ of 7 TeV (II)

Results based on a parton-level simulation for the signal and background

◆ The key selection: the missing energy

✦ Resonant and non-resonant production have different missing energy spectra



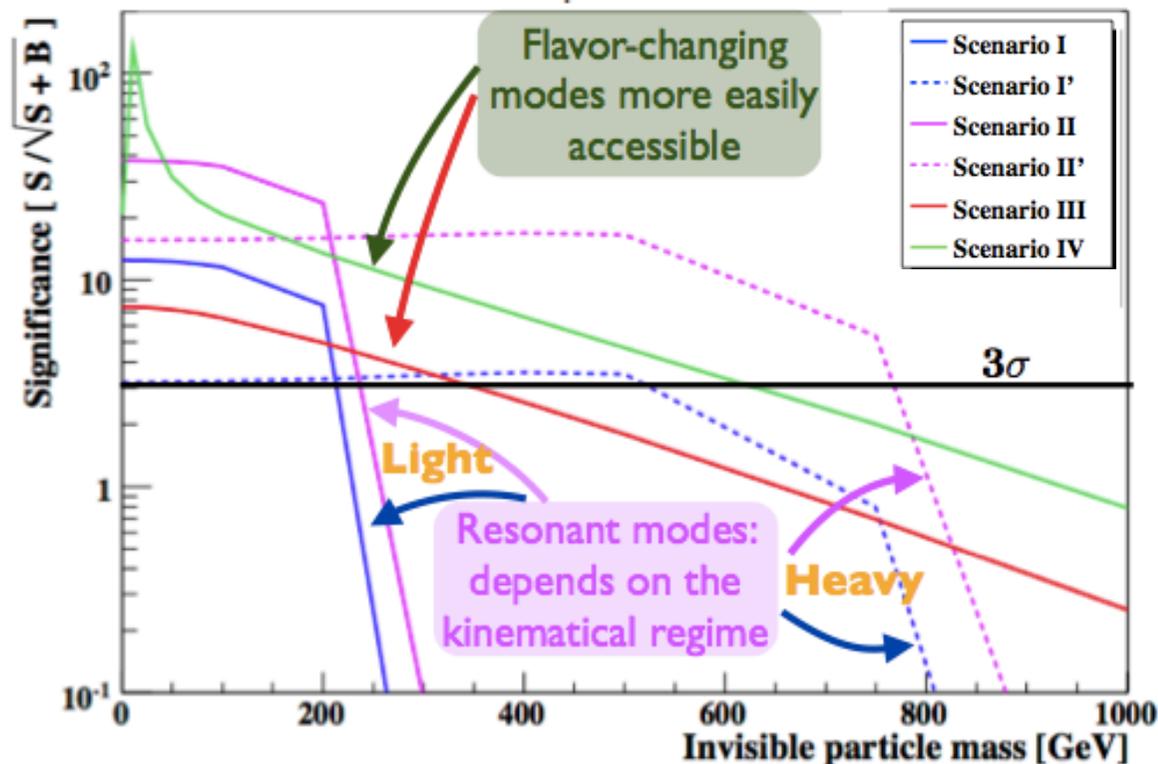
⇒ This selection criterion drives the sensitivity to the different modes

# Monotops with $1 \text{ fb}^{-1}$ of 7 TeV (III)

## ◆ Analysis strategy: detailed specifications

- ✦  $\cancel{E}_T > 150 \text{ GeV}$  (hard to detect light resonances)
- ✦ W-boson reconstruction: 20 GeV window
- ✦ Top reconstruction: 30 GeV window
- ✦ b-tagging efficiency: 60 % (10% c-mistagging and 1% light jet-mistagging)

Scenario	$p_T$ cut [GeV]	$\sigma(t + \bar{t})$ [pb]	$a_{min}$
I	150	3.99	0.042
II	65	32.1	0.043
III	150	0.322	0.14
IV	150	24.3	0.017
V	250	$1.08 \cdot 10^{-4}$	4.9



Light monotops are reachable in all production modes

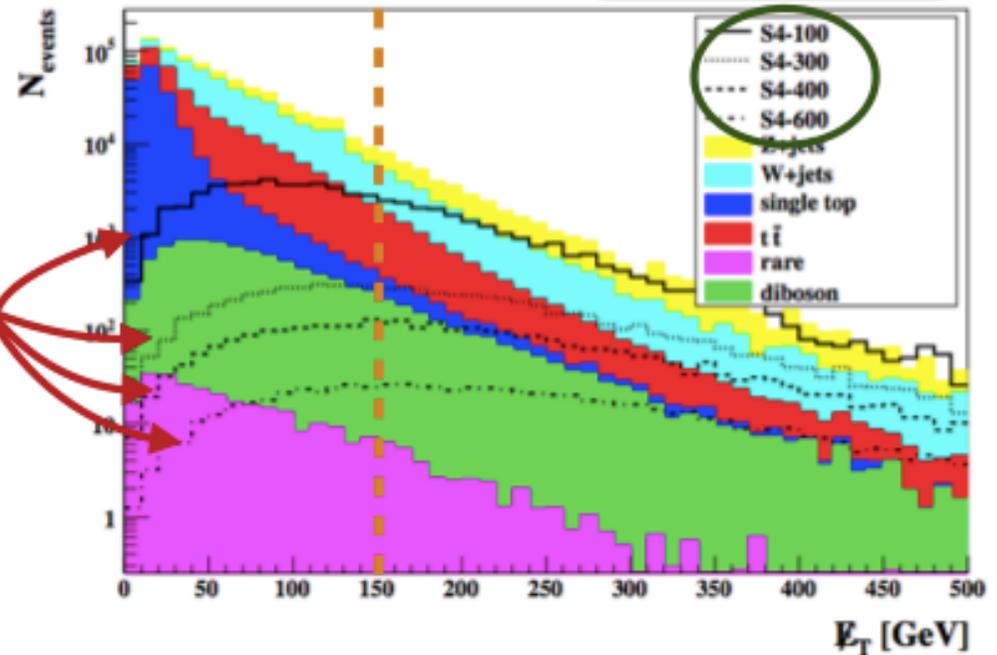
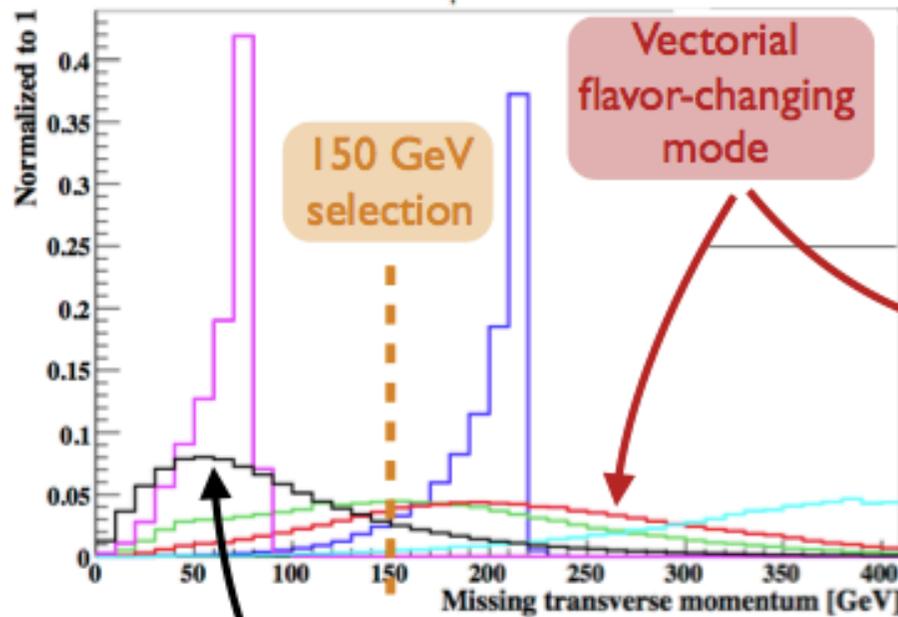
Heavier monotops are only visible in specific channels

(next) slides from Benjamin (Seminar at U. Strasbourg)

# Monotops with $20 \text{ fb}^{-1}$ of 8 TeV (I)

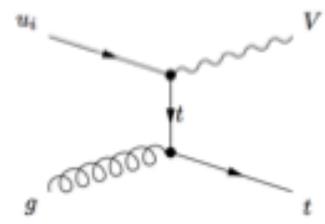
◆ Missing energy spectrum for non-resonant vectorial monotops

Different invisible masses



Invisible Z background

7 TeV, parton-level



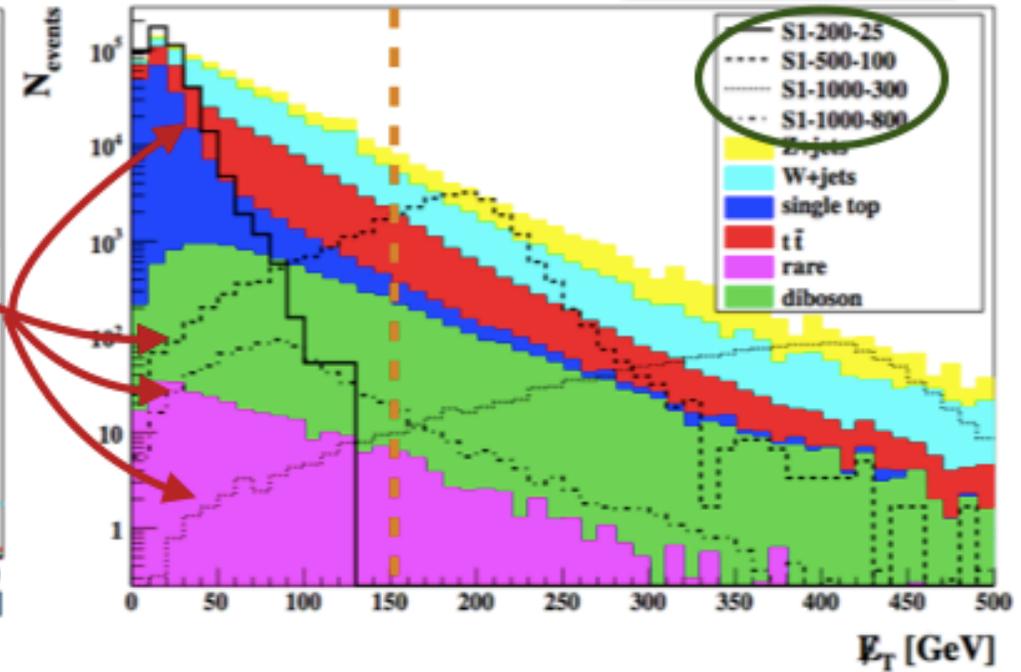
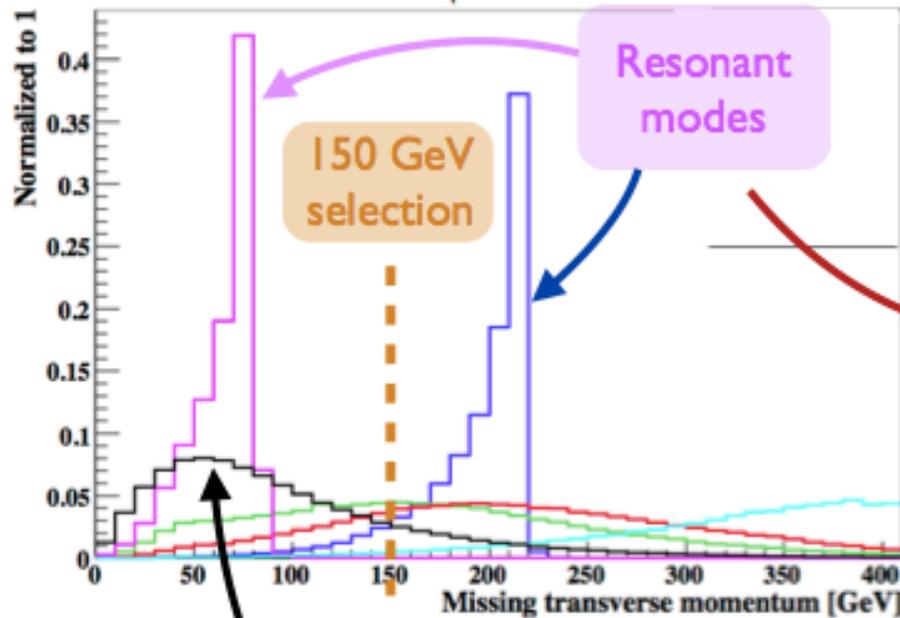
W+jets and (semileptonic)  $t\bar{t}$  contributions not negligible (even after the cut)

8 TeV, reconstructed-level

# Monotops with $20 \text{ fb}^{-1}$ of 8 TeV (II)

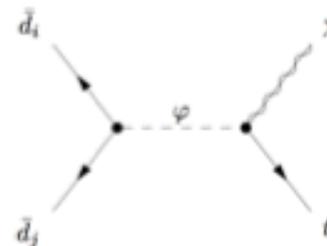
◆ Missing energy spectrum for scalar resonant monotops

Kinematics drives the peak position



Invisible Z background

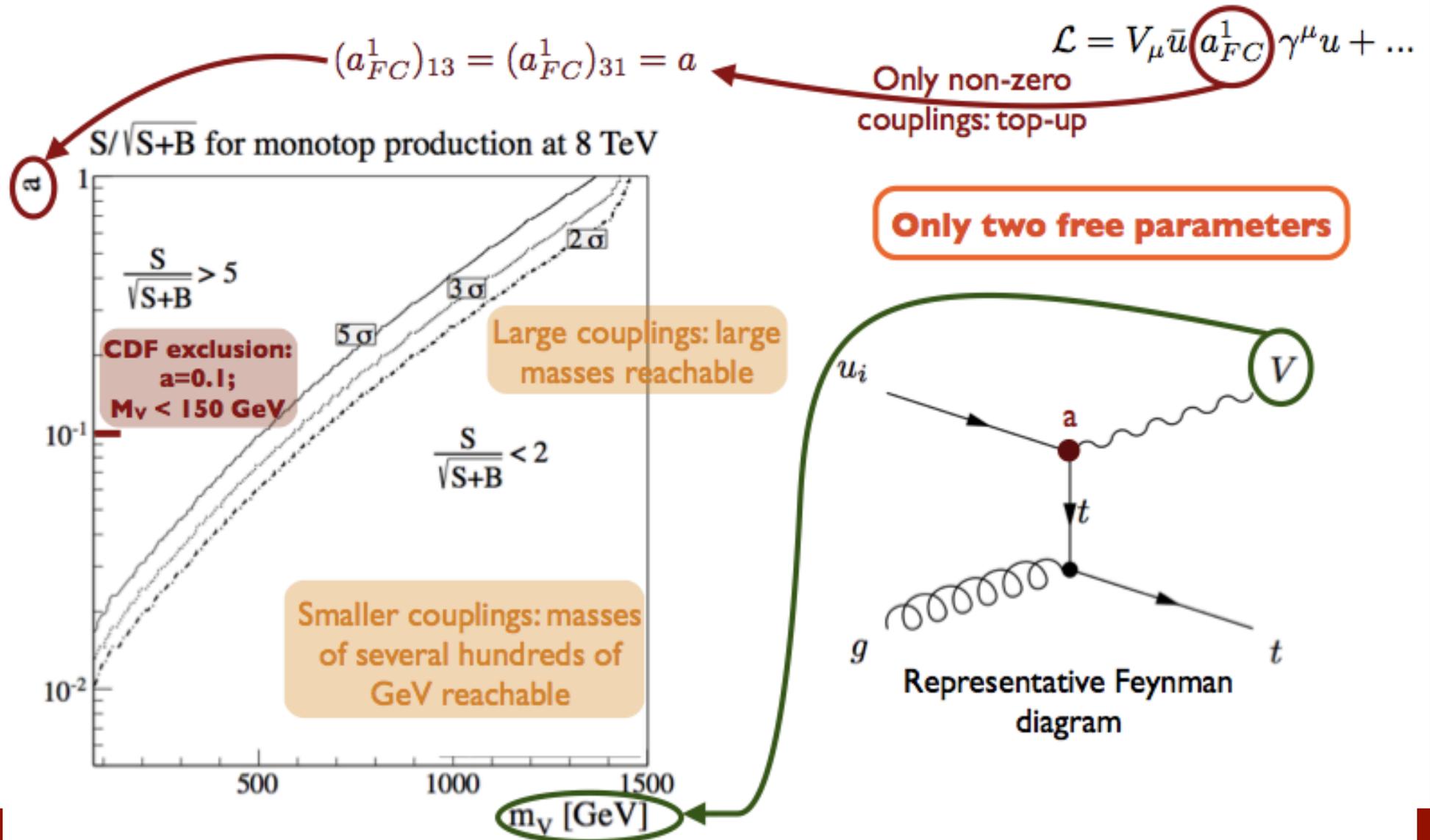
7 TeV, parton-level



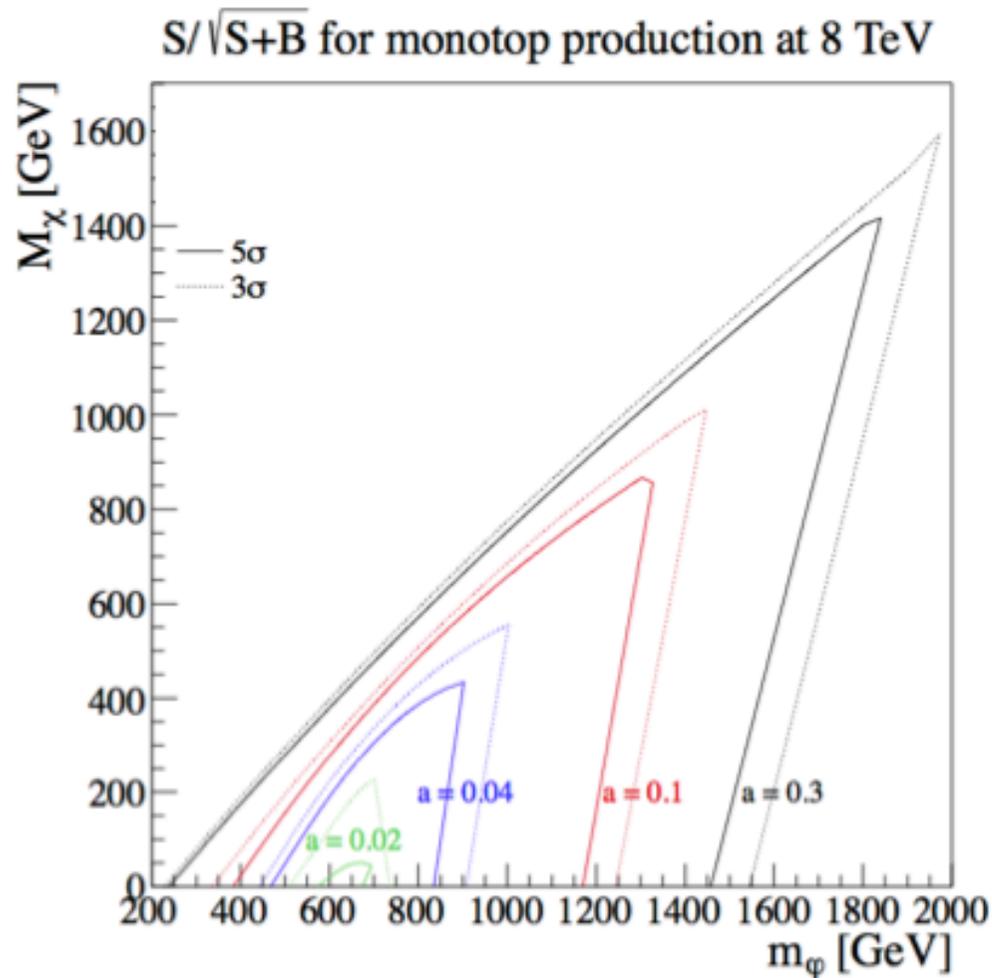
W+jets and (semileptonic)  $t\bar{t}$  contributions not negligible (even after the cut)

8 TeV, reconstructed-level

# Monotops with 20 fb<sup>-1</sup> of 8 TeV (III)



# Monotops with $20 \text{ fb}^{-1}$ of 8 TeV (IV)



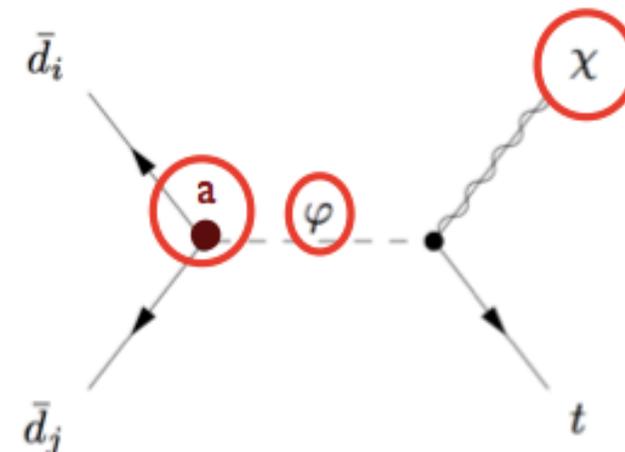
The sensitivity highly depends on the mass difference (as well as on the total rate)

$$\mathcal{L} = \epsilon^{ijk} \varphi_i \bar{d}_j^c a_{SR}^q d_k + \varphi_i \bar{u}^i a_{SR}^{1/2} \chi + \dots$$

$(a_{SR}^q)_{12} = -(a_{SR}^q)_{21} = a$

$\text{BR}(\varphi \rightarrow u\chi) = 100\%$   
 $\rightarrow$  total rate independent of  $a_{SR}^{1/2}$  and of  $m_\chi$ .

Only three free parameters

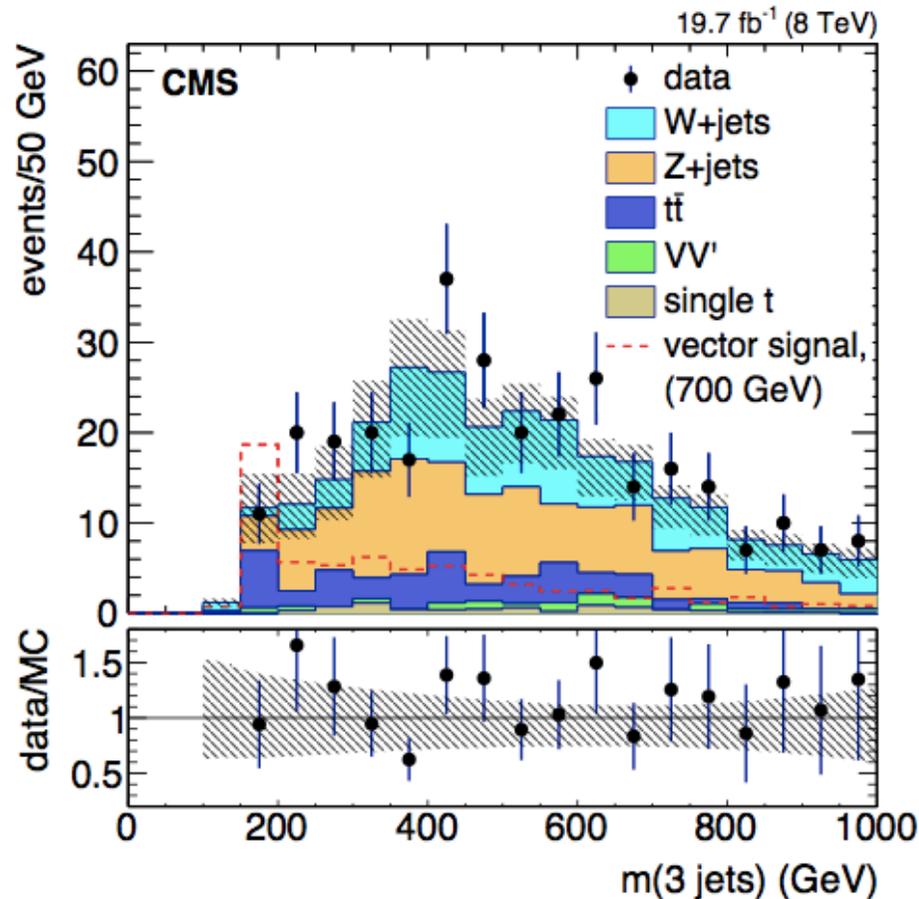


Representative Feynman diagram

# CMS monotop at 8 TeV

arXiv:1410.1149  
 Accepted by PRL

20 fb<sup>-1</sup> of 8 TeV



Baseline selection

jet  $p_T > 35$  GeV and  $|\eta| < 2.4$

leading jets  $p_T > 60, 60, 40$  GeV

Number of jets = 3

veto events w/ any additional jets

MET  $> 350$  GeV

$m_{j\bar{j}b}$  cut (see next page)

b tagging efficiency  $\approx 70\%$

mistag rate: 1-4 %

Figure 1: The invariant mass of the three jets prior to the selection on their mass to be less than 250 GeV, for events with one b-tagged jet. Data are compared to the simulated backgrounds. The expectation from a model for an invisible vector particle with a mass of 700 GeV is represented by the dashed line.

# Number of events

## 20 fb<sup>-1</sup> of 8 TeV

Table 1: Total number of selected events in data compared to the background prediction. The background yields are given with statistical (first) and systematic (second) uncertainties. The multijet background is calculated using all the other backgrounds and therefore its uncertainty is not included in the quadratic sum of background uncertainties.

	No b tag	One b tag
$t\bar{t}$	$6 \pm 0 \pm 5$	$12 \pm 0 \pm 12$
W+jets	$18 \pm 9 \pm 7$	$3 \pm 1 \pm 2$
Z+jets	$103 \pm 33 \pm 9$	$11 \pm 10 \pm 1$
single top	$2 \pm 1 \pm 1$	$1 \pm 1 \pm 1$
$VV'$	$5 \pm 0 \pm 0$	$0 \pm 0 \pm 0$
multijet	$6(\pm 39)$	$1(\pm 9)$
total bkgd	$140 \pm 36$	$28 \pm 16$
data	143	30

Baseline selection	
jet $p_T > 35$ GeV and $ \eta  < 2.4$	
leading jets $p_T > 60, 60, 40$ GeV	
Number of jets = 3	
veto events w/ any additional jets	
MET	$> 350$ GeV
$m_{jjb}$	$< 250$ GeV
b tagging efficiency $\approx 70\%$	
mistag rate: 1-4 %	

# Lower limits on mass

20 fb<sup>-1</sup> of 8 TeV

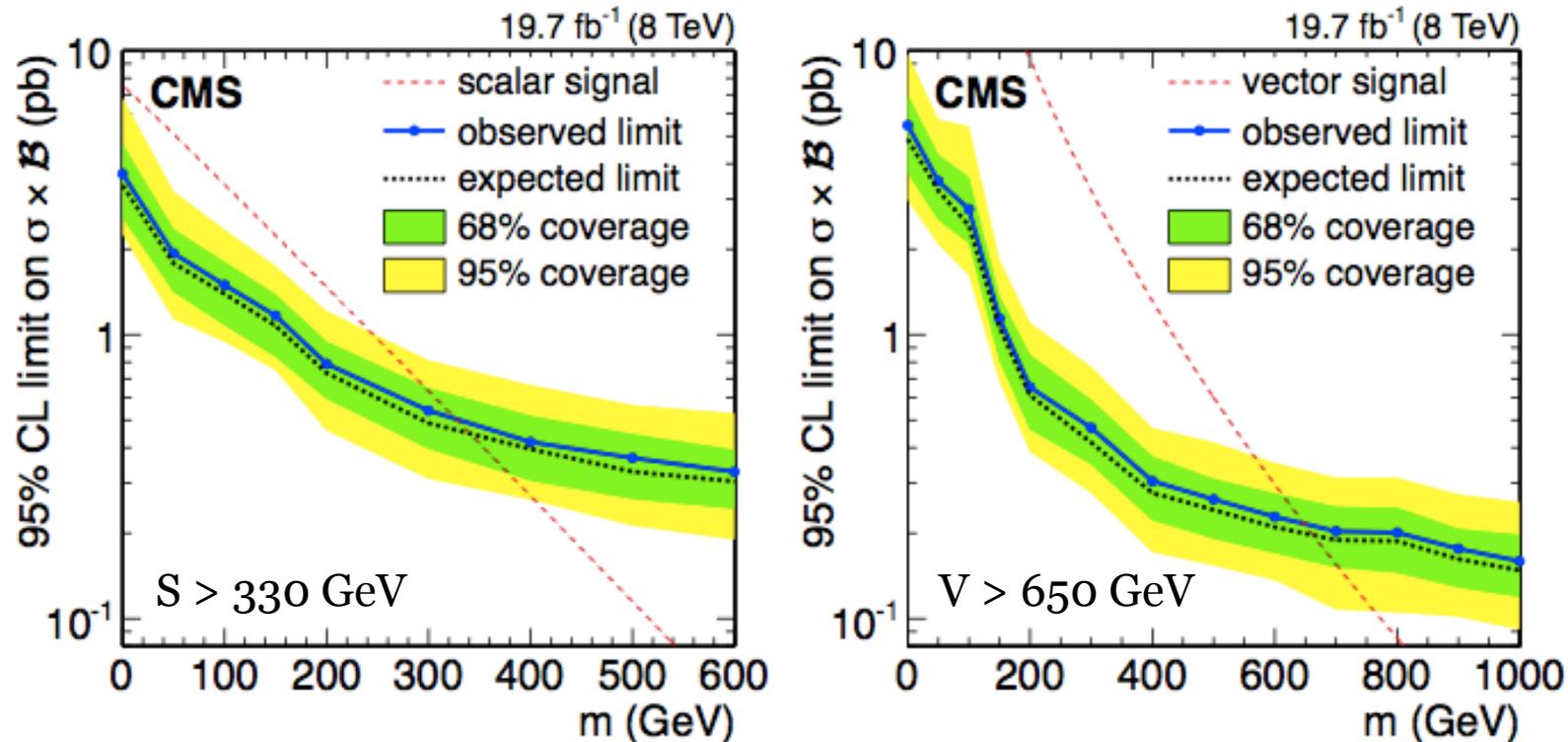
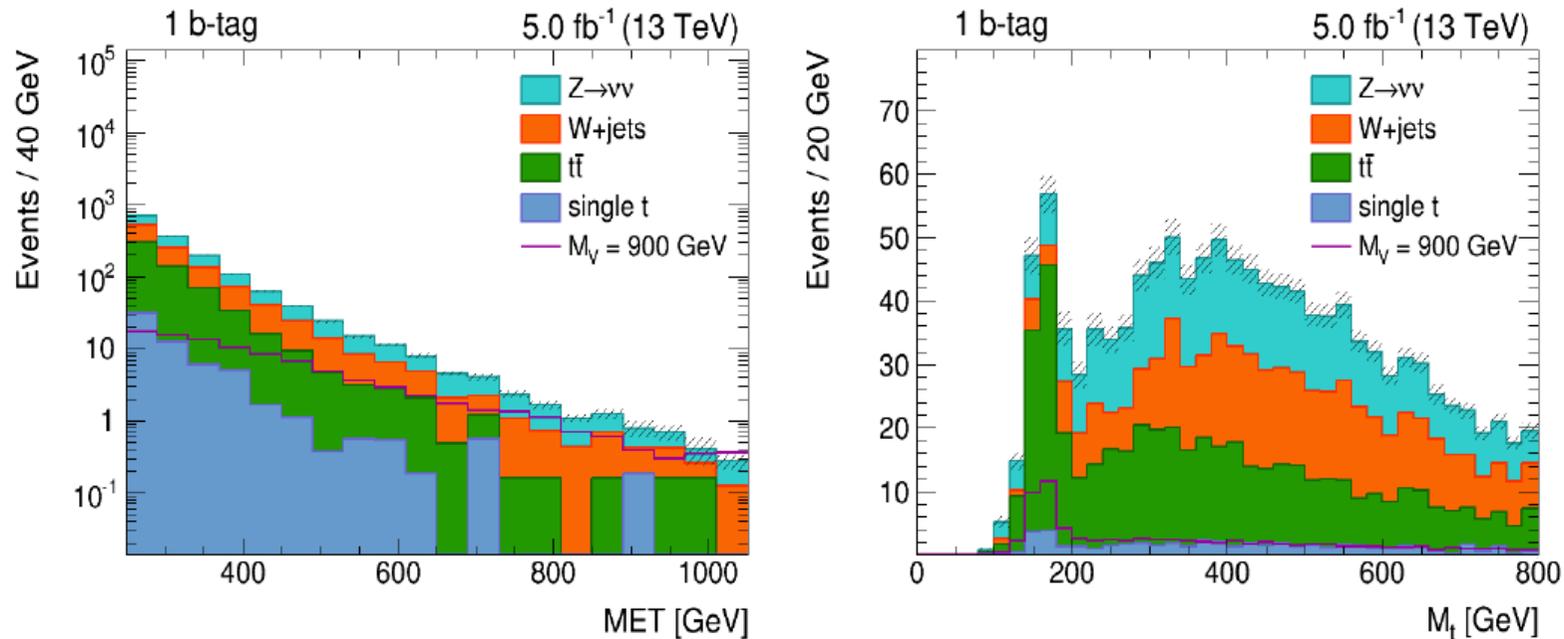


Figure 2: The 95% CL expected and observed  $CL_s$  limits as functions of the mass of a scalar (left) and vector (right) invisible particle. The expected magnitude of a signal as a function of mass, calculated at leading order, is shown by the dashed curve. The confidence intervals for the expected limit are given at 68% and 95% coverage probability.

# Expected yields for 5 fb<sup>-1</sup> at 13 TeV

## DM+mono top (hadronic) K. Sung



- Expected yields for 5 fb<sup>-1</sup> after signal selection:

Process	Z(vv)	W+jets	t $\bar{t}$	Single t	Total Bkg	mS=300	mS=600	mV=900	mV=1200
0 b-tags	74.58	38.28	6.18	0.73	<b>119.77</b>	13.48	5.24	8.46	2.73
1 b-tag	10.85	5.81	12.83	1.10	<b>30.59</b>	27.25	9.44	16.13	4.77

B2G PHYS14 status

Feb 12th, 2015

17

# Leptonic monotop at 8 TeV (AN-14-279)



## Event selection

- Single muon trigger (HLT\_IsoMu24\_eta2p1\_v\*) (with SF)
- Exactly **1 isolated muon** with : (with SF)
  - $R_{\text{ellso}} < 0.12$
  - $|\eta| < 2.1$
  - $p_T > 33 \text{ GeV}$
- No other isolated lepton (electron or muon) with  $p_T > 10 \text{ GeV}$  and  $|\eta| < 2.4$  ( $R_{\text{ellso}} = 0.20$  for muons and  $0.15$  for electrons)
- **Jet selection** :  $p_T > 30 \text{ GeV}$ ,  $|\eta| < 2.4$ , jet ID loose, correction of jet energy resolution,
- **Btag selection** : **Medium WP**, Btag CSV reshapes using data efficiencies,
- **MET Type1**, corrected for JER,
- Main backgrounds : W+jets, ttbar, single top.

*same backgrounds  
to hadronic monotop!*

# Leptonic monotop at 8 TeV (AN-14-279)



## Analysis strategy



- 1)** We define a W+jets enriched (1j0t) CR, a TTbar enriched (4j2t) CR and a Signal Region (1j1t).
- 2)** We produce  $m_T(W)$ -templates for signal and control regions accounting for **all backgrounds and systematics**.
- 3)** We fit the three regions (W+jets, TTbar, Signal) at the same time to better constrain the backgrounds. The fit is proceeded assuming a background-only hypothesis. **All systematics are treated as nuisance parameters**.
- 4)** We use the **theta framework** (using Bayesian techniques, will be cross-checked with CLs) to compute the exclusion limits at 95 % CL using each signal.

### Summary of the previous talk

- **QCD fully data-driven** from an « isolation inverted » region (iso > 0.4).
- **Split the W+jets** sample depending on the flavour (b, c, light).

# Leptonic monotop at 8 TeV (AN-14-279)



## Considered signals



### Resonant benchmarks (scalar mediator – S1):

- $m_{\text{Res}} = 1000 \text{ GeV}$ ,  $m_{\text{Inv}} = 800 \text{ GeV}$   $\sigma = 0.24 \text{ pb}$
- $m_{\text{Res}} = 1000 \text{ GeV}$ ,  $m_{\text{Inv}} = 100 \text{ GeV}$   $\sigma = 0.24 \text{ pb}$
- $m_{\text{Res}} = 500 \text{ GeV}$ ,  $m_{\text{Inv}} = 100 \text{ GeV}$   $\sigma = 5.58 \text{ pb}$  (covered by ATLAS)  
ATLAS :  $\sigma = 1.00 \text{ pb}$

### FCNC benchmarks (vector DM – S4) :

ATLAS

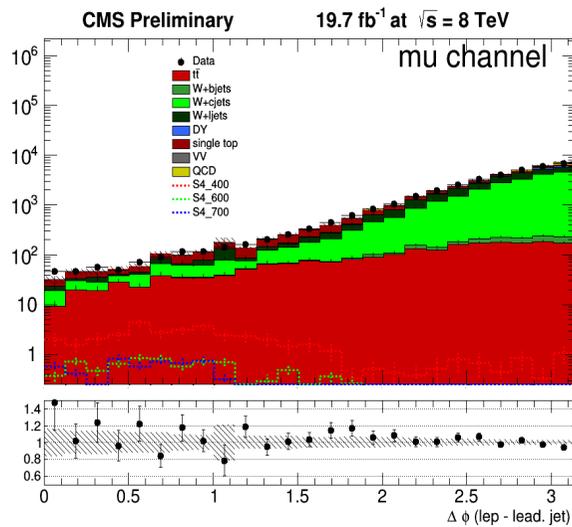
- $m_{\text{Inv}} = 400 \text{ GeV}$   $\sigma = 0.60 \text{ pb}$  (covered by ATLAS and CMS)  $\sigma = 1.37 \text{ pb}$
- $m_{\text{Inv}} = 600 \text{ GeV}$   $\sigma = 0.14 \text{ pb}$  (covered by ATLAS and CMS)  $\sigma = 0.32 \text{ pb}$
- $m_{\text{Inv}} = 700 \text{ GeV}$   $\sigma = 0.074 \text{ pb}$  (covered by ATLAS and CMS)  $\sigma = 0.17 \text{ pb}$

**Note :**  $\sigma$  is given here considering the leptonic decay and under these conventions (CMS).

# Leptonic monotop at 8 TeV (AN-14-279)

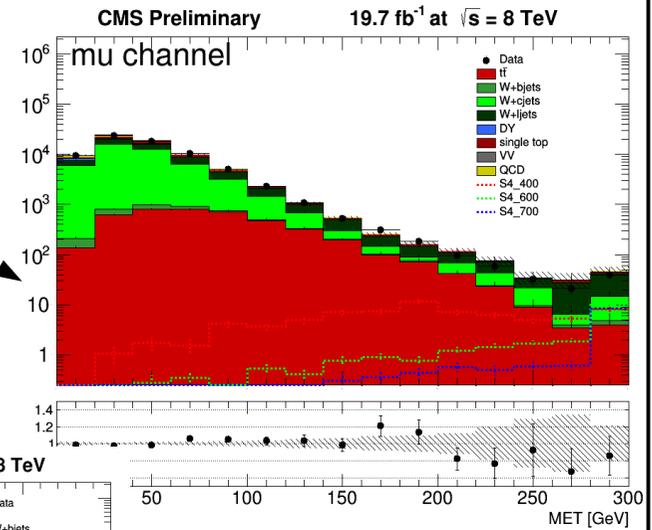
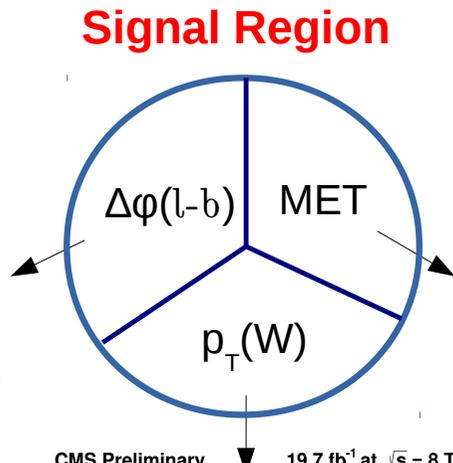


Focusing more on FCNC...



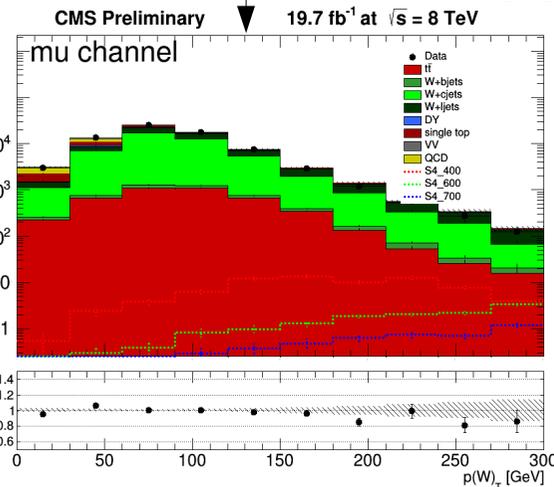
→  $\Delta\phi(l-b) < 1.7$

Adding  $\Delta\phi(l-b)$  templates in the fit.



→ MET > 100 GeV

Adding MET templates in the fit.



→  $p_T(W) > 50$  GeV

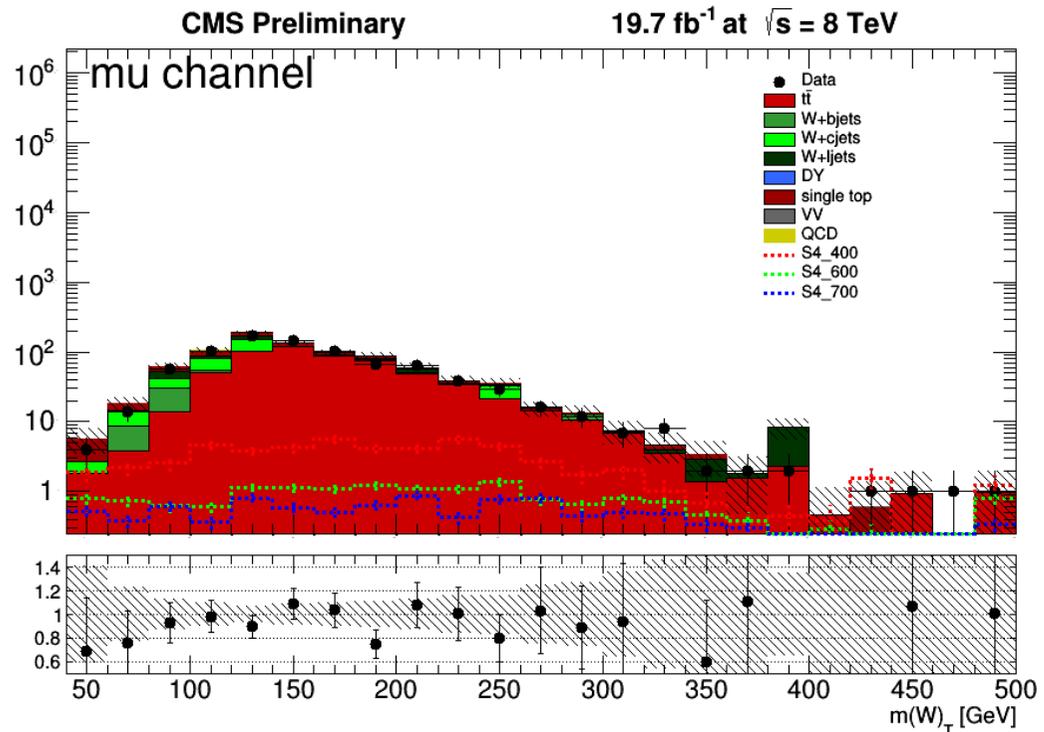
# Leptonic monotop at 8 TeV (AN-14-279)



## Signal Region postFit



- $M_T(W) > 40$  GeV
- $MET > 100$  GeV
- $P_T(W) > 50$  GeV
- $\Delta\phi(l-b) < 1.7$

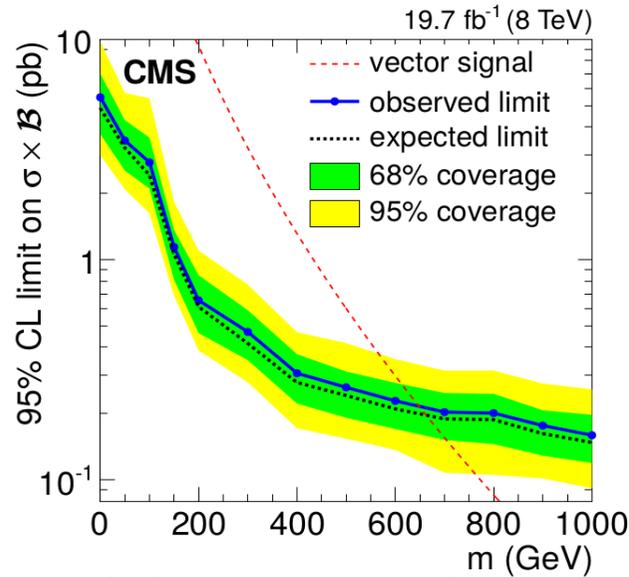


Lack of statistics especially in the very end of the tail but reasonable agreement.

# Leptonic monotop at 8 TeV (AN-14-279)

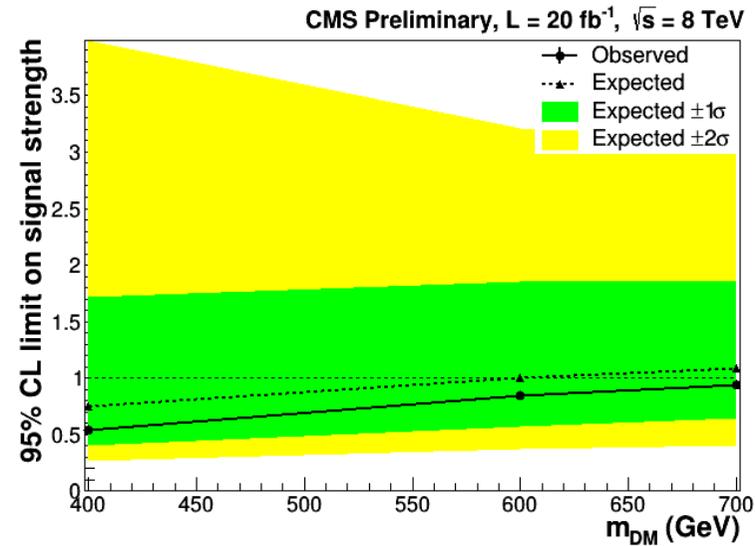


## Limits (FCNC) – Had. CMS



CMS Hadronic results

Excluded range :  $M(\text{Inv}) < 660 \text{ GeV}$



Our Leptonic results

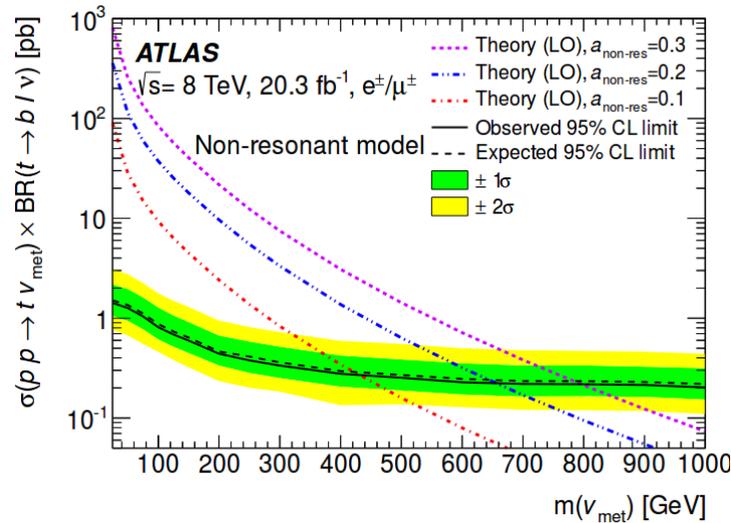
Excluded range :  $M(\text{Inv}) < \sim 700 \text{ GeV}$

We plan to present the results the same way as for the hadronic channel.

# Leptonic monotop at 8 TeV (AN-14-279)

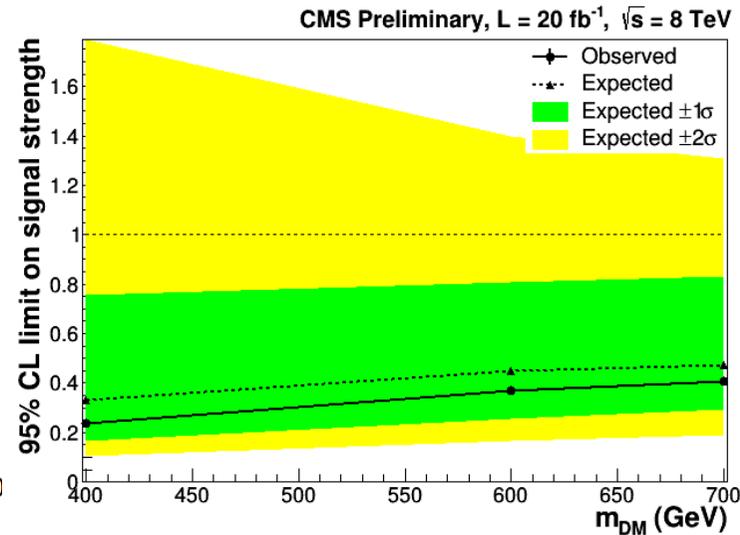


## Limits (FCNC) – Lep. ATLAS



**ATLAS results**

Excluded range :  **$M(\text{Inv}) < \sim 650 \text{ GeV}$**



**Our results**

Excluded range : **Comparable to ATLAS**

When rescaling to the same xsection (see ATLAS,  $a_{\text{non-res}} = 0.2$ )

**--> Scan more benchmarks !**

# Expected yields for 5 fb<sup>-1</sup> at 13 TeV (con't)



K. Sung, M. Buttignol, J. Andrea

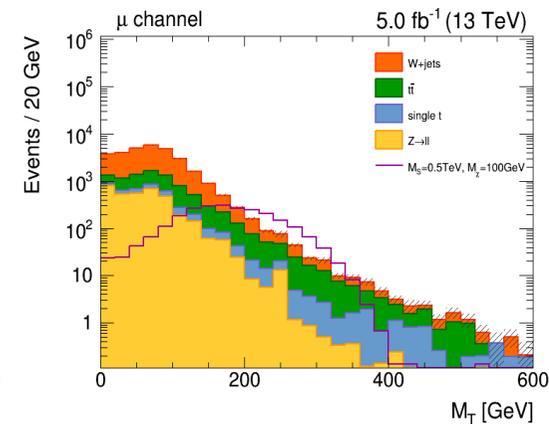
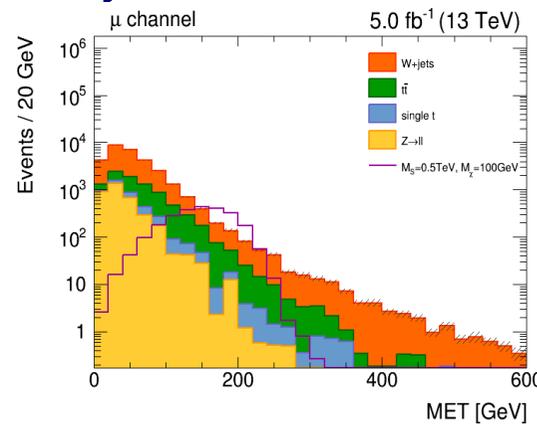


NORTHWESTERN  
UNIVERSITY

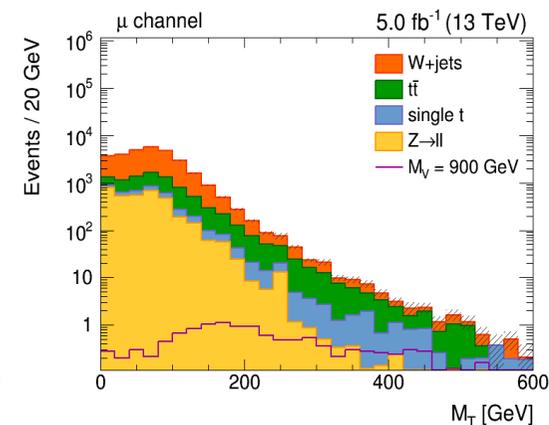
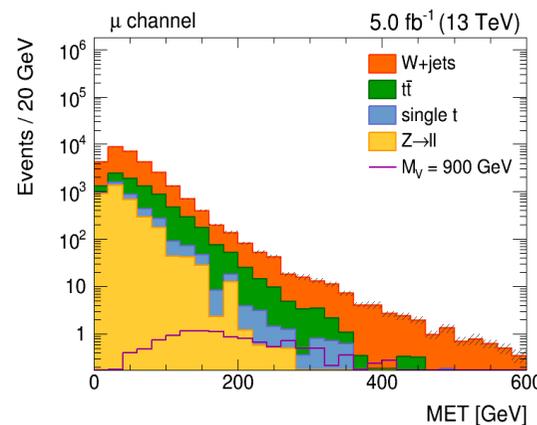
## Mono-top (lep) Selection

- MET and  $M_T$  distributions in muon channel
- Given bkg tails are longer, should consider  $M_T$  window for a cut-and-count analysis

Resonant model with  
 $M_S = 0.5$  TeV and  
 $M_X = 100$  GeV



Vector non-resonant  
model with  
 $M_V = 0.9$  TeV



February 3, 2015

B2G Phys14 Jamboree

19 | 18

# Initial proposal (not yet electron channel)

## Baseline cuts with a good vertex

### Hadronic monotop

MET trigger (HLT_PFMET150)	
veto events with isolated $\mu$ (e) $p_T > 10$ (20) GeV	
jet $p_T > 35$ GeV and $ \eta  < 2.4$	
leading jets $p_T$	$> 60, 60, 40$ GeV
number of jets =	3 jets (0 or 1 b-tagged jet)
veto events w/ any additional jets $p_T > 35$ GeV	
(caveat: zero b jets at low working point = b veto)	
$P_T$ (top)	$> 50$ GeV
MET Type1	$> 350$ GeV
$\Delta\phi(j,j)$	$< 2.8$
$m_{jjb}$	$< 250$ GeV
CSVM b tagging efficiency $\approx 70\%$	
mistag rate: 1-4 %	

### Leptonic monotop

Single muon trigger (HLT_IsoMu24_eta2p1)	
muon $p_T > 30$ GeV, $ \eta  < 2.1$ , Rel.Iso $< 0.12$	
veto events w/ other isolated $\mu$ (e) $p_T > 10$ (20) GeV	
jet $p_T > 30$ GeV and $ \eta  < 2.4$	
leading jet $p_T$	$> 70$ GeV
number of jets =	1 b-tagged jet
veto events w/ any additional jets $p_T > 30$ GeV	
$M_t$ (W)	$> 40$ GeV
$P_T$ (W)	$> 50$ GeV
MET Type1	$> 100$ GeV
$\Delta\phi(l,b)$	$< 1.7$
CSVM b tagging efficiency: TBC	
mistag rate:TBC	

# Summary

In case that we are missing some signature not predicted by any model (and not investigated before)

- Bottom-up approach:
  - Start from the signature
  - Construct an appropriate effective theory and study at colliders
- Monotop production at the LHC:
  - Search for hadronic/leptonic top in association with missing energy
  - Provide initial proposal of baseline cuts

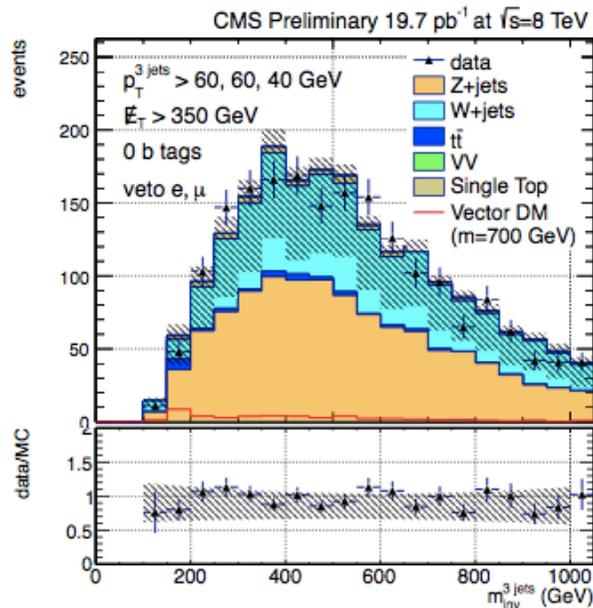
# Backup

# CMS monotop at 8 TeV

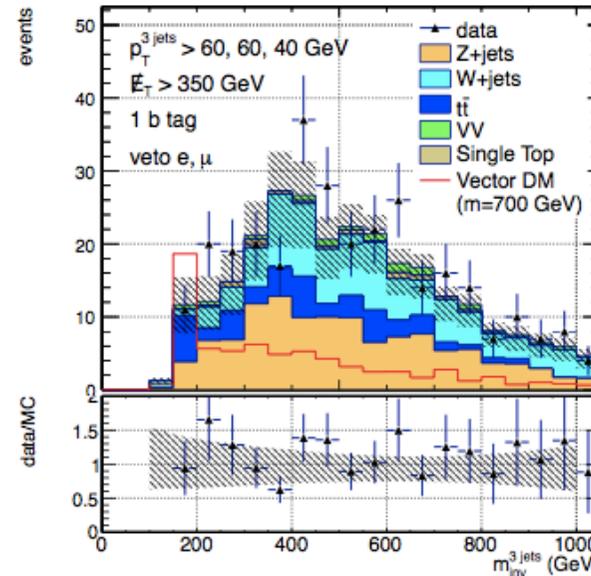
CMS-B2G-12-022  
 CERN-PH-EP-2014-225  
 arXiv:1410.1149  
 Accepted by PRL

## Zero b tags

## One b tag



(a)



(b)

**Baseline selection**

jet  $p_T > 35 \text{ GeV}$  and  $|\eta| < 2.4$

---

leading jets  $p_T > 60, 60, 40 \text{ GeV}$

Number of jets = 3

veto events w/ any additional jets

MET  $> 350 \text{ GeV}$

---

b tagging efficiency  $\approx 70\%$

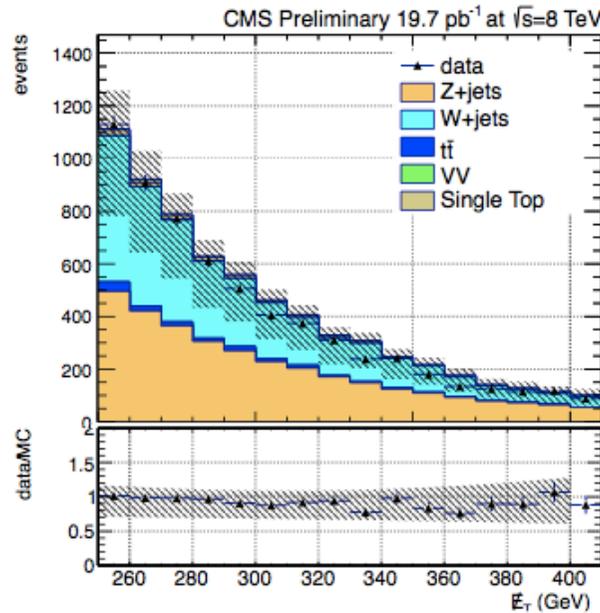
mistag rate: 1-4 %

Figure 2: The invariant mass of the three leading jets. In panels: (a) — zero b tags, (b) — one b tag. Measured distribution (points) are compared to the simulated backgrounds (stacked histograms) and one of the signal models (solid line) scaled to  $19.7 \text{ fb}^{-1}$ . The shaded area represents the square sum of the systematic uncertainties related to the renormalization and factorization scales for the  $t\bar{t}$  and V+jets backgrounds.

# Missing $E_T$ distribution

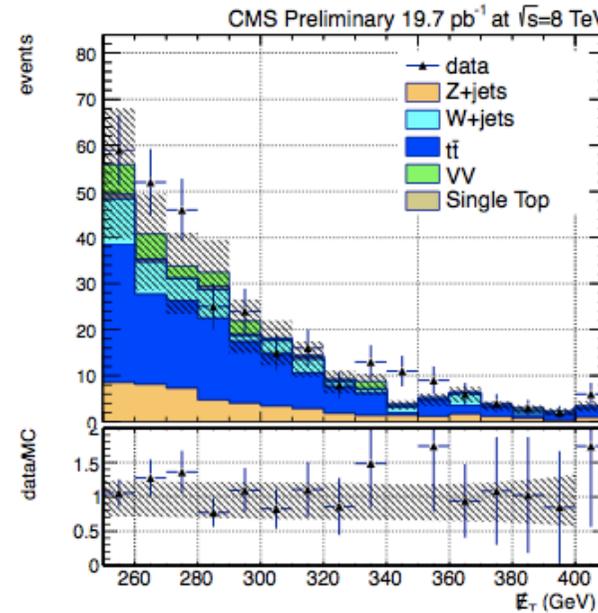
CMS-B2G-12-022  
CERN-PH-EP-2014-225  
arXiv:1410.1149  
Accepted by PRL

a b tag veto to enrich V+jets



(a)

Two b tags to enrich ttbar events



(b)

Figure 3:  $E_T^{\text{miss}}$  distribution in events after the signal selection with the modified b tag requirement. In panel (a) we use a b tag veto so as to enrich the sample with the V+jets events. In panel (b) we require two b tags so as to enrich the sample with  $t\bar{t}$  events. The shaded area represents the square sum of the systematic uncertainties related to the renormalization and factorization scales for the  $t\bar{t}$  and V+jets backgrounds.

# Number of events

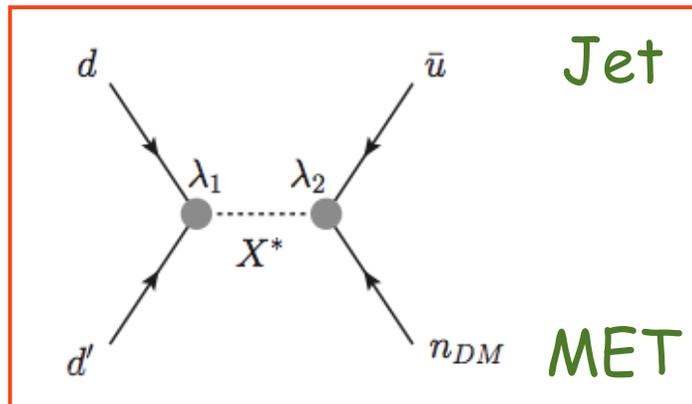
20 fb<sup>-1</sup> of 8 TeV

CMS-B2G-12-022  
arXiv:1410.1149  
Accepted by PRL

Table 5: Total number of selected events in data compared to the background prediction for  $E_T^{\text{miss}} > 350$  GeV. The background yields are given with statistical (first) and systematic (second) uncertainties. Uncertainty on the simulated backgrounds ( $t\bar{t}$ , single top, and  $VV$ ) are presented as a square sum of the uncertainties from all of the sources. The QCD background is calculated using all of the other backgrounds and data in Eq. 4. Uncertainty on the QCD background is 100% correlated with uncertainties on other backgrounds and therefore is dismissed. The final uncertainty on the sum of all backgrounds is the square sum of uncertainties on all but QCD backgrounds.

# of b tags	Zero CSVm b tag	One CSVm b tag
$t\bar{t}$	$6 \pm 0 \pm 5$	$12 \pm 0 \pm 12$
W+jets	$18 \pm 9 \pm 7$	$3 \pm 1 \pm 2$
Z+jets	$103 \pm 33 \pm 9$	$11 \pm 10 \pm 1$
Single top	$2 \pm 1 \pm 1$	$1 \pm 1 \pm 1$
$VV$	$5 \pm 0 \pm 0$	$0 \pm 0 \pm 0$
QCD	6	1
sum	$140 \pm 36$	$28 \pm 16$
Data	143	30

# Collider phenomenology



$M_{X1} = 1 \text{ TeV}$

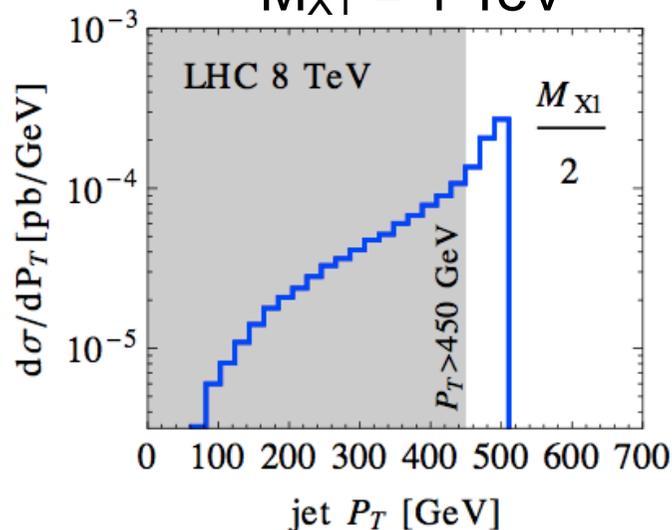


FIG. 4. Monojet  $p_T$  distribution for  $M_{X1}=1 \text{ TeV}$ . Among all the  $p_T$  cuts in Ref. [20], the 450 GeV cut is the closest to  $M_{X1}/2$  and gives the most stringent constraint.

## Single-X channel: $X \rightarrow u + n_{DM}$

- The monojet channel occurs via an s-channel  $X$  resonance
- The jet recoils against the missing particle  $n_{DM}$ , and its  $p_T$  peaks near one half of the resonance energy  $M_{X1}$  ()
- The interaction terms are described by two scalar couplings and the masses of two  $X$  fields

## High $p_T$ jet signature

- The signal requires a high  $p_T$  cut in monojet searches
- In contrast, in models where  $DM$  must be pair produced, monojet events arise from initial state radiation, and the jet  $p_T$  would peak at low energy due to infrared and collinear divergences