# Math 527 - Homotopy Theory Additional notes

Martin Frankland

February 4, 2013

The category **Top** is not Cartesian closed. In these notes, we explain how to remedy that problem.

### 1 Compactly generated spaces

This section and the next are essentially taken from  $[3, \S1, 2]$ .

#### 1.1 Basic definitions and properties

**Definition 1.1.** Let X be a topological space. A subset  $A \subseteq X$  is called k-closed in X if for any compact Hausdorff space K and continuous map  $u: K \to X$ , the preimage  $u^{-1}(A) \subseteq K$  is closed in K.

The collection of k-closed subsets of X forms a topology, which contains the original topology of X (i.e. closed subsets are always k-closed).

**Notation 1.2.** Let kX denote the space whose underlying set is that of X, but equipped with the topology of k-closed subsets of X. Because the k-topology contains the original topology on X, the identity function id:  $kX \to X$  is continuous.

**Definition 1.3.** A space X is **compactly generated** (CG), sometimes called a **k-space**, if  $kX \to X$  is a homeomorphism. In other words, every k-closed subset of X is closed in X.

Example 1.4. Every locally compact space is CG.

*Example* 1.5. Every first-countable space is CG. More generally, every sequential space is CG. *Example* 1.6. Every CW-complex is CG.

Notation 1.7. Let CG denote the full subcategory of Top consisting of compactly generated spaces.

Notation 1.8. The construction of kX defines a functor  $k \colon \mathbf{Top} \to \mathbf{CG}$ , called the *k*-ification functor.

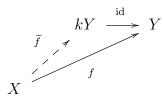
**Proposition 1.9.** Let X be a CG space and Y an arbitrary space. Then a function  $f: X \to Y$  is continuous if and only if for every compact Hausdorff space K and continuous map  $u: K \to X$ , the composite  $fu: K \to Y$  is continuous.

**Proposition 1.10.** For any space X, we have  $k^2X = kX$ , so that kX is always compactly generated.

**Proposition 1.11.** Let X be a CG space and Y an arbitrary space. Then a function  $f: X \to Y$  is continuous if and only it is continuous when viewed as a function  $f: X \to kY$ .

Proposition 1.11 can be reformulated in the following more suggestive way, as a universal property.

For any CG space X and continuous map  $f: X \to Y$ , there exists a unique continuous map  $\widetilde{f}: X \to kY$  satisfying  $f = \mathrm{id} \circ \widetilde{f}$ , i.e. making the diagram



commute. Note that  $\tilde{f}$  has the same underlying function as f. This exhibits  $kY \to Y$  as the "closest approximation" of Y by a CG space.

**Corollary 1.12.** The k-ification functor  $k: \operatorname{Top} \to \operatorname{CG}$  is right adjoint to the inclusion  $\iota: \operatorname{CG} \to \operatorname{Top}$ . In other words,  $\operatorname{CG}$  is a coreflective subcategory of  $\operatorname{Top}$ .

The identity function  $\iota kX \to X$  is the counit of the adjunction, whereas the unit  $W \to k\iota W$  is the identity map for any CG space W.

- **Proposition 1.13.** 1. The category CG is complete. Limits in CG are obtained by applying k to the limit in Top.
  - 2. The category CG is cocomplete. Colimits in CG are computed in Top.

*Proof.* Let I be a small category and  $F: I \to \mathbb{CG}$  an I-diagram. Let us write  $X_i := F(i)$  and, by abuse of notation,  $\lim_i X_i := \lim_I F$ .

(1) Viewing the CG spaces  $X_i$  as spaces  $\iota X_i$ , we can compute the limit of the diagram  $\iota F$  since **Top** is complete (c.f. Homework 4 Problem 2). Applying k yields the CG space  $k(\lim_i \iota X_i)$ . For any CG space W, we have a natural isomorphism

$$\operatorname{Hom}_{\mathbf{CG}}(W, k(\lim_{i} \iota X_{i})) \cong \operatorname{Hom}_{\mathbf{Top}}(\iota W, \lim_{i} \iota X_{i})$$
$$\cong \lim_{i} \operatorname{Hom}_{\mathbf{Top}}(\iota W, \iota X_{i})$$
$$= \lim_{i} \operatorname{Hom}_{\mathbf{CG}}(W, X_{i})$$

where the last equality comes from the fact that CG is a full subcategory of Top. This proves  $k(\lim_i \iota X_i) = \lim_i X_i$ .

(2) We can compute the colimit  $X = \operatorname{colim}_i \iota X_i$  of the diagram  $\iota F$  since **Top** is cocomplete (c.f. Homework 4 Problem 2 and Remark afterwards). Since X is a quotient of a coproduct of CG spaces  $\iota X_i$ , X is also CG, by [3, Prop. 2.1, Prop. 2.2]. Moreover it is the desired colimit

in CG. For any CG space Y, we have a natural isomorphism

$$\operatorname{Hom}_{\mathbf{CG}}(X, Y) = \operatorname{Hom}_{\mathbf{Top}}(\iota X, \iota Y)$$
$$= \operatorname{Hom}_{\mathbf{Top}}(\iota \operatorname{colim}_{i} \iota X_{i}, \iota Y)$$
$$= \operatorname{Hom}_{\mathbf{Top}}(\operatorname{colim}_{i} \iota X_{i}, \iota Y)$$
$$\cong \lim_{i} \operatorname{Hom}_{\mathbf{Top}}(\iota X_{i}, \iota Y)$$
$$= \lim_{i} \operatorname{Hom}_{\mathbf{CG}}(X_{i}, Y)$$

which proves  $X = \operatorname{colim}_i X_i$ .

In particular, products in CG may not agree with the usual product in **Top**.

**Notation 1.14.** For CG spaces X and Y, write  $X \times_0 Y = \iota X \times \iota Y$  for their usual product in **Top**, and write  $X \times Y = k(X \times_0 Y)$  for their product in **CG**.

#### **1.2** Mapping spaces

**Definition 1.15.** Let X and Y be CG spaces. For any compact Hausdorff space K, continuous map  $u: K \to X$ , and open subset  $U \subseteq Y$ , consider the set

$$W(u, K, U) := \{ f \colon X \to Y \text{ continuous } | fu(K) \subseteq U \}.$$

Denote by  $C_0(X, Y)$  the set of continuous maps from X to Y, equipped with the topology generated by all such subsets W(u, K, U). This topology is called the **compact-open topology**.

Note that  $C_0(X, Y)$  need not be CG. Write  $Map(X, Y) := kC_0(X, Y)$ .

**Theorem 1.16.** For any CG spaces X, Y, and Z, the natural map

$$\varphi \colon \operatorname{Map}(X \times Y, X) \to \operatorname{Map}(X, \operatorname{Map}(Y, Z))$$
(1)

is a homeomorphism.

The fact that  $\varphi$  is bijective tells us that **CG** is Cartesian closed, in the unenriched sense. The theorem is even better: **CG** is Cartesian closed, in the enriched sense. Note that **CG** is enriched in itself, given that the composition map

$$\operatorname{Map}(X, Y) \times \operatorname{Map}(Y, Z) \xrightarrow{\circ} \operatorname{Map}(X, Z)$$

is continuous.

*Remark* 1.17. The exponential object Map(X, Y) is often denoted  $Y^X$ . The isomorphism (1), which can be written as

$$Z^{X \times Y} \cong (Z^Y)^X$$

is often called the **exponential law**.

### 2 Weakly Hausdorff spaces

The category  $\mathbf{CG}$  would be good enough to work with, but we can also impose a separation axiom to our spaces.

**Definition 2.1.** A topological space X is **weakly Hausdorff** (WH) if for every compact Hausdorff space K and every continuous map  $u: K \to X$ , the image  $u(K) \subseteq X$  is closed in X.

Remark 2.2. Hausdorff spaces are weakly Hausdorff, since u(K) is compact and thus closed in X if X is Hausdorff. This justifies the terminology.

Moreover, weakly Hausdorff spaces are  $T_1$ , since the single point space \* is compact Hausdorff. Thus we have implications

Hausdorff  $\Rightarrow$  weakly Hausdorff  $\Rightarrow T_1$ .

Example 2.3. Every CW-complex is Hausdorff, hence in particular WH.

**Proposition 2.4.** If X is a WH space, then any larger topology on X is still WH. In particular, kX is still WH.

*Proof.* Let X' be the set X equipped with a topology containing the original topology, i.e. the identity function id:  $X' \to X$  is continuous. For any compact Hausdorff space K and continuous map  $u: K \to X'$ , the composite id $u: K \to X$  is continuous and so its image  $idu(K) \subseteq X$  is closed in X. Thus  $u(K) = id^{-1}idu(K)$  is closed in X'.  $\Box$ 

Proposition 2.5. Any subspace of a WH space is WH.

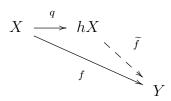
*Proof.* Let X be a WH space and  $i: A \hookrightarrow X$  the inclusion of a subspace. For any compact Hausdorff space K and continuous map  $u: K \to A$ , the composite  $iu: K \to X$  is continuous and so its image  $iu(K) \subseteq X$  is closed in X, and thus in A as well.  $\Box$ 

Notation 2.6. Let CGWH denote the full subcategory of CG consisting of compactly generated weakly Hausdorff spaces.

**Definition 2.7.** For any CG space X, let hX be the quotient of X by the smallest closed equivalence relation on X (see [3, Prop. 2.22]). Then hX is still CG since it is a quotient of a CG space [3, Prop. 2.1], and it is WH since we quotiented out a closed equivalence relation on X [3, Cor. 2.21].

This defines a functor  $h: \mathbf{CG} \to \mathbf{CGWH}$  called **weak Hausdorffification** 

By construction, the quotient map  $q: X \to hX$  satisfies the following universal property. For any CGWH space Y and continuous map  $f: X \to Y$ , there exists a unique continuous map  $\tilde{f}: hX \to Y$  satisfying  $f = \tilde{f}q$ , i.e. making the diagram



commute. This exhibits  $X \to hX$  as the "closest approximation" of X by a CGWH space.

**Corollary 2.8.** The functor  $h: CG \to CGWH$  is left adjoint to the inclusion functor  $\iota: CG \to CGWH$ . In other words, CGWH is a reflective subcategory of CG.

The quotient map  $q: X \to hX$  is the unit of the adjunction, whereas the counit  $h:W \to W$  is the identity map for any CGWH space W.

- **Proposition 2.9.** 1. The category CGWH is complete. Limits in CGWH are computed in CG.
  - 2. The category CGWH is cocomplete. Colimits in CGWH are obtained by applying h to the colimit in CG.

*Proof.* Let I be a small category and  $F: I \to \mathbf{CGWH}$  an I-diagram.

(1) The limit  $X = \lim_i \iota X_i$  computed in **CG**, which exists since **CG** is complete (by 1.13), is still WH. Indeed, an arbitrary product in **CG** of CGWH spaces is still WH [3, Cor. 2.16], and so is an equalizer in **CG** of two maps (by 2.5 and 2.4). Therefore X is also the limit in **CGWH**, by the same argument as 1.13 (2).

(2) We have  $h(\operatorname{colim}_i \iota X_i) = \operatorname{colim} X_i$  in **CGWH** by the same argument as 1.13 (1).

To summarize the situation, there are two adjoint pairs as follows:

$$\begin{array}{ccc} \mathbf{CG} & \stackrel{h}{\longleftrightarrow} & \mathbf{CGWH} \\ & & & \\ {}^{\iota} & \downarrow \uparrow {}^{k} & \\ \mathbf{Top} \end{array}$$

**Proposition 2.10.** If X is a CG space and Y is a CGWH space, then Map(X, Y) is CGWH.

Consequently, the category **CGWH** is enriched in itself. Note that it is also Cartesian closed (in the enriched sense). Indeed, for any X, Y, and Z in **CGWH**, the natural map

$$\varphi \colon \operatorname{Map}(X \times Y, X) \to \operatorname{Map}(X, \operatorname{Map}(Y, Z))$$

is a homeomorphism.

## 3 A convenient category of spaces

In this section, we explain in what sense it is preferable to work with the category **CGWH** instead of **Top**. We follow the treatment in [1], itself inspired by [2].

**Definition 3.1.** A convenient category of topological spaces is a full replete (meaning closed under isomorphisms of objects) subcategory C of **Top** satisfying the following conditions.

- 1. All CW-complexes are objects of  $\mathcal{C}$ .
- 2. C is complete and cocomplete.
- 3. C is Cartesian closed.

Note that **CG** and **CGWH** are replete full subcategories of **Top**, since both conditions of being CG or WH are invariant under homeomorphism. Let us summarize the discussion as follows.

Proposition 3.2. The categories CG and CGWH are convenient.

In fact, there are other desirable properties for a convenient category of spaces. For instance, one would like that closed subspaces of objects in C also be in C. Both **CG** and **CGWH** satisfy this additional condition.

**Proposition 3.3.** Consider inclusions of spaces  $A \subseteq B \subseteq X$ . If A is k-closed in B and B is k-closed in X, then A is k-closed in X.

Proof. Let K be a compact Hausdorff space and  $u: K \to X$  a continuous map. Then the preimage  $u^{-1}(B)$  is closed in K, hence compact (and also Hausdorff). Consider the restriction  $u|_{u^{-1}(B)}: u^{-1}(B) \to B$ . Since A is k-closed in B, the preimage  $u|_{u^{-1}(B)}^{-1}(A) = u^{-1}(A)$  is closed in  $u^{-1}(B)$  and thus in K as well.

Corollary 3.4. A closed subspace of a CG space is also CG.

*Proof.* Let X be a CG space and  $B \subseteq X$  a closed subspace. Let  $A \subseteq B$  be a k-closed subset of B. Then A is k-closed in X (since B is closed in X), hence closed in X (since X is CG). Therefore A is closed in B.

Corollary 3.5. A closed subspace of a CGWH space is also CGWH.

#### 4 Some applications

Here is a toy example illustrating the use of the exponential law.

**Proposition 4.1.** For any "spaces" X and Y, the natural map  $[X, Y] \xrightarrow{\cong} \pi_0 \operatorname{Map}(X, Y)$  is a bijection.

*Proof.* Since the map

 $\operatorname{Map}(X \times I, Y) \to \operatorname{Map}(I, \operatorname{Map}(X, Y))$ 

is a bijection, two maps  $f, g: X \to Y$  are homotopic if and only if they are connected by a (continuous) path in Map(X, Y).

Here is another benefit of working in the category CG.

**Proposition 4.2.** If X and Y are CW-complexes, then  $X \times Y$  inherits a CW-structure, where a p-cell of X and a q-cell of Y produce a (p+q)-cell of  $X \times Y$ .

Here we do mean the product  $X \times Y$  is **CG**, not in **Top**. A priori, the product  $X \times_0 Y$  in **Top** could fail to be a CW-complex. See Hatcher (A.6) for details.

#### References

- Ronald Brown, nLab: Convenient category of topological spaces (2012), available at http://ncatlab. org/nlab/show/convenient+category+of+topological+spaces.
- [2] N. E. Steenrod, A convenient category of topological spaces, Michigan Math. J. 14 (1967), 133–152.
- [3] Neil Strickland, The category of CGWH spaces (2009), available at http://www.neil-strickland.staff. shef.ac.uk/courses/homotopy/cgwh.pdf.