

# Pure cocycle perturbations of $E_0$ -semigroups

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*Dedicated to the memory of Bill Arveson*

**Abstract.** We establish a parameterization of the class of pure  $E_0$ -semigroups that are cocycle conjugate to a given  $E_0$ -semigroup  $\rho = \{\rho_t\}_{t \geq 0}$  of  $\mathcal{B}(H)$  in terms of the class of pure essential mixing states of the spectral  $C^*$ -algebra of the concrete product system of the semigroup  $\rho = \{\rho_t\}_{t \geq 0}$ .

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## 1. Introduction

Purification of  $E_0$ -semigroups is a central theme of the theory of noncommutative dynamics [1], a theme which has revolved around two main problems: (i) to decide whether or not every  $E_0$ -semigroup is cocycle conjugate to a pure  $E_0$ -semigroup [1][4], and; (ii) to describe the class of pure  $E_0$ -semigroups that are cocycle conjugate to a given  $E_0$ -semigroup [2][3]. A solution to the former problem was obtained in the affirmative by V. Liebscher, as a direct consequence of an intricate analysis of product systems of  $W^*$ -algebras [4, Theorem 7.23].

It is our main purpose, in paper, to initiate a study of the latter problem from the perspective of Arveson's theory of spectral  $C^*$ -algebras. More precisely, we show that Arveson's characterization of cocycle perturbations of an  $E_0$ -semigroup in terms of essential states of the spectral  $C^*$ -algebra pertains to the study of pure  $E_0$ -semigroups, and determines a surjective correspondence from the set of all pure essential mixing states of the spectral  $C^*$ -algebra  $C^*(E_\rho)$  of the concrete product system  $E_\rho$  of an arbitrary  $E_0$ -semigroup  $\rho = \{\rho_t\}_{t \geq 0}$  onto the set of all pure  $E_0$ -semigroups that are cocycle conjugate to the semigroup  $\rho = \{\rho_t\}_{t \geq 0}$ .

This self-contained paper is organized as follows. In Section 2, we collect several definitions and facts regarding  $E_0$ -semigroups. For a more detailed

treatment of various aspects of this subject, we refer the reader to [1]. In Section 3, we show that the regular representation of the concrete product system  $E_\rho$  of an  $E_0$ -semigroup  $\rho = \{\rho_t\}_{t \geq 0}$  induces a strongly continuous one-parameter contractive semigroup  $\alpha^* = \{\alpha_t^*\}_{t \geq 0}$  on the dual space of the spectral  $C^*$ -algebra  $C^*(E_\rho)$ . We then obtain a complete characterization of the class of essential bounded linear functionals of  $C^*(E_\rho)$  in terms of this semigroup. In Section 4, we introduce the new concept of mixing bounded linear functionals of the spectral  $C^*$ -algebra, which is defined with respect to the semigroup  $\alpha^* = \{\alpha_t^*\}_{t \geq 0}$ . We show that every mixing essential state completely defines the pureness of the natural  $E_0$ -semigroup acting on the von Neumann algebra generated by the spectral  $C^*$ -algebra under the GNS representation of the state. This result produces the aforementioned surjective correspondence between pure essential mixing states and pure  $E_0$ -semigroups.

## 2. Background on the theory of $E_0$ -semigroups, product systems, and spectral $C^*$ -algebras

Introduced by R. Powers in [5],  $E_0$ -semigroups are pointwise  $\sigma$ -weak continuous one parameter semigroups  $\rho = \{\rho_t\}_{t \geq 0}$  of unit-preserving normal  $*$ -endomorphisms acting on a von Neumann algebra  $M$ , usually a type  $I_\infty$  factor  $\mathcal{B}(H)$ , where  $H$  is a separable Hilbert space. Non-unital  $E_0$ -semigroups are conveniently called  $E$ -semigroups. An  $E_0$ -semigroup  $\rho = \{\rho_t\}_{t \geq 0}$  is said to be pure if its tail algebra is trivial, i.e.,

$$\bigcap_{t \geq 0} \rho_t(M) = \mathbb{C} \cdot \mathbf{1}.$$

The main focus of this theory is on the classification of  $E_0$ -semigroups up to conjugacy and cocycle conjugacy. Two  $E_0$ -semigroups  $\rho = \{\rho_t\}_{t \geq 0}$  and  $\sigma = \{\sigma_t\}_{t \geq 0}$  acting on  $\mathcal{B}(H)$ , respectively  $\mathcal{B}(K)$ , are said to be conjugate if there is a  $*$ -isomorphism  $\theta : \mathcal{B}(H) \rightarrow \mathcal{B}(K)$  such that  $\theta \circ \rho_t = \sigma_t \circ \theta$ ,  $t \geq 0$ . They are said to be cocycle conjugate if  $\sigma$  is conjugate to a cocycle perturbation of  $\rho$ , i.e., to an  $E_0$ -semigroup of the form  $\{\text{Ad}(u_t) \circ \rho_t\}_{t \geq 0}$ , where  $\{u_t\}_{t \geq 0} \subset \mathcal{B}(H)$  is a unitary  $\rho$ -cocycle, i.e., a strongly continuous family of unitaries  $u_t$  satisfying the cocycle relation  $u_{s+t} = u_s \rho_s(u_t)$ , for all  $s, t \geq 0$ .

As shown by Arveson, the classification problem of  $E_0$ -semigroups up to cocycle conjugacy is equivalent to the classification problem of product systems up to an isomorphism. More precisely, one can associate to each  $E_0$ -semigroup (or to an  $E$ -semigroup)  $\rho = \{\rho_t\}_{t \geq 0}$  acting on  $\mathcal{B}(H)$ , the Borel bundle  $E_\rho = \{E_\rho(t)\}_{t > 0} \subset \mathcal{B}(H)$  of Hilbert spaces of intertwining operators

$$E_\rho(t) = \{v \in \mathcal{B}(H) \mid \rho_t(x)v = vx, \text{ for all } x \in \mathcal{B}(H)\},$$

endowed with the inner product  $\langle u, v \rangle_{E_\rho(t)} \cdot \mathbf{1} = v^*u$ ,  $u, v \in E_\rho(t)$ . The bundle  $E_\rho = \{E_\rho(t)\}_{t > 0}$ , called the concrete product system of  $\rho$ , has the property that its isomorphism class is a complete cocycle conjugacy invariant, in the sense that two  $E_0$ -semigroups  $\rho$  and  $\sigma$  are cocycle conjugate iff  $E_\rho$  and  $E_\sigma$  are isomorphic as product systems. One should also note that there is a concept

of abstract product system, which is not defined in terms of the intertwining spaces of an  $E_0$ -semigroup. However, any abstract product system can be transformed, via an isomorphism, into a concrete product system by a procedure similar to the one that follows.

One can describe all  $E_0$ -semigroups  $\tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$  that are cocycle conjugate to a given  $E_0$ -semigroup  $\rho$  acting on  $\mathcal{B}(H)$  by making use of the representation theory of the concrete product system  $E_\rho$ . More precisely, if  $\phi$  is a representation of  $E_\rho$  on a Hilbert space  $K$ , i.e., a measurable operator-valued function  $\phi : E_\rho \rightarrow \mathcal{B}(K)$  satisfying the conditions (i)  $\phi(v)^*\phi(u) = \langle u, v \rangle_{E(t)} \cdot \mathbb{1}$ ,  $u, v \in E_\rho(t)$ ,  $t > 0$ ; and (ii)  $\phi(uv) = \phi(u)\phi(v)$ ,  $u \in E_\rho(s)$ ,  $v \in E_\rho(t)$ ,  $s, t > 0$ , then  $\{\phi(E_\rho(t))\}_{t > 0}$  is a Borel bundle of Hilbert spaces that is isomorphic to  $E_\rho$ , and  $\tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$ , where

$$\tilde{\rho}_t(x) = \sum_{n=1}^{\infty} \phi(e_n(t))x\phi(e_n(t))^*, \quad t > 0, x \in \mathcal{B}(K), \quad (2.1)$$

and  $\rho_0 := \text{Id}_{\mathcal{B}(K)}$ , is a  $E$ -semigroup acting on  $\mathcal{B}(K)$  with concrete product system  $E_{\tilde{\rho}} = \{\phi(E_\rho(t))\}_{t > 0}$ . Here  $\{e_n(t)\}_{n \in \mathbb{N}, t > 0}$  is a Borel orthonormal basis for  $E_\rho$ , i.e., for every  $n \in \mathbb{N}$ ,  $(0, \infty) \ni t \mapsto e_n(t) \in E_\rho(t)$  is a Borel section of  $E_\rho$  in such a way that  $\{e_n(t)\}_n$  is orthonormal basis for  $E_\rho(t)$ , for every  $t > 0$ . The semigroup  $\tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$  is an  $E_0$ -semigroup if and only if

$$[\phi(E_\rho(t))K] = K, \quad t > 0, \quad (2.2)$$

in which case we say that  $\phi$  is an essential representation of the product system  $E_\rho$ . At the opposite end are the singular representations of  $E_\rho$ , i.e., representations  $\phi$  satisfying  $\bigcap_{t > 0} [\phi(E_\rho(t))K] = \{0\}$ .

Essential representations of a concrete product system  $E_\rho = \{E_\rho(t)\}_{t > 0}$  or, equivalently,  $E_0$ -semigroups that are cocycle conjugate to  $\rho$ , can be constructed by making use of the representation theory of the spectral  $C^*$ -algebra  $C^*(E_\rho)$  of  $E_\rho$ , a  $C^*$ -algebra that plays the role of the ‘‘spectrum’’ of the  $E_0$ -semigroup  $\rho$ . To define this  $C^*$ -algebra, we consider, for  $p \in \{1, 2\}$ , the spaces  $L^p(E_\rho)$  of all measurable sections  $(0, \infty) \ni t \mapsto f(t) \in E_\rho(t)$  that are  $p$ -integrable, i.e.,  $\int_{(0, \infty)} \|f(t)\|^p dt < \infty$ , endowed with the usual norm  $\|f\|_p = (\int_{(0, \infty)} \|f(t)\|^p dt)^{1/p}$ . Every representation  $\phi$  of  $E_\rho$  on a Hilbert space  $K$  extends to a contractive representation of the Banach algebra  $L^1(E_\rho)$  on  $K$  by the formula

$$\phi(f) := \int_{(0, \infty)} \phi(f(t))dt, \quad f \in L^1(E_\rho). \quad (2.3)$$

The spectral  $C^*$ -algebra  $C^*(E_\rho) \subseteq \mathcal{B}(L^2(E_\rho))$  is then defined as the norm closure of the linear span of all products of the form  $\ell_f \ell_g^*$  with  $f, g \in L^1(E_\rho)$ , where  $\ell : E_\rho \rightarrow \mathcal{B}(L^2(E_\rho))$  is the regular representation of  $E_\rho$ ,

$$(\ell_x f)(s) = \begin{cases} xf(s-t), & s > t, \\ 0, & 0 < s \leq t, \end{cases}$$

for  $x \in E_\rho(t)$ ,  $t > 0$ , and  $f \in L^2(E_\rho)$ , and  $\ell_f, \ell_g$  are as in (2.3) for  $f, g \in L^1(E_\rho)$ . Note that the regular representation  $\ell$  is singular.

The spectral  $C^*$ -algebra  $C^*(E_\rho)$  is non-unital, separable, irreducible, nuclear, simple at least for spatial  $E_0$ -semigroups, and its representation theory echoes the representation theory of the product system  $E_\rho$ . More precisely, there is a 1-1 correspondence between nondegenerate representations  $\pi : C^*(E_\rho) \rightarrow \mathcal{B}(K)$  of the  $C^*$ -algebra  $C^*(E_\rho)$  and representations  $\phi : E_\rho \rightarrow \mathcal{B}(K)$  of the product system  $E_\rho$ , given by

$$\pi(\ell_f \ell_g^*) = \phi(f)\phi(g)^*, \quad f, g \in L^1(E_\rho). \quad (2.4)$$

A representation  $\pi$  of  $C^*(E_\rho)$  is said to be essential iff the corresponding representation  $\phi$  of  $E_\rho$  satisfying (2.4) is essential. In particular, a bounded linear functional  $\omega \in C^*(E_\rho)^*$  is said to be essential iff the GNS representation of the positive linear functional  $|\omega|$  obtained from the polar decomposition applied to  $\omega$  is essential. As shown by Arveson, the set of all essential bounded linear functionals of  $C^*(E_\rho)$  is a non-trivial, complementable norm-closed linear subspaces of  $C^*(E_\rho)^*$ .

### 3. A strongly continuous contractive semigroup on $C^*(E_\rho)^*$

Let  $\rho = \{\rho_t\}_{t \geq 0}$  be an  $E_0$ -semigroup of  $\mathcal{B}(H)$  with concrete product system  $E_\rho = \{E_\rho(t)\}_{t > 0}$ . We infer from the definition of the spectral  $C^*$ -algebra  $C^*(E_\rho)$  that the inclusion

$$\ell_u C^*(E_\rho) \ell_v^* \subseteq C^*(E_\rho), \quad (3.1)$$

holds true for all  $u \in E_\rho(s)$ ,  $v \in E_\rho(t)$ , and  $s, t > 0$ . In particular, if  $\{e_n(t)\}_{n \in \mathbb{N}, t > 0}$  is a Borel orthogonal basis for  $E_\rho$ , then one can associate to each bounded linear functional  $\omega \in C^*(E_\rho)^*$ , the family of bounded linear functionals  $\{\omega_{n,t}\}_{n \in \mathbb{N}, t > 0}$  on  $C^*(E_\rho)$ , defined as

$$\omega_{n,t}(x) = \omega(\ell_{e_n(t)} x \ell_{e_n(t)}^*), \quad x \in C^*(E_\rho). \quad (3.2)$$

In the following lemma, we discuss the convergence of the series determined by these functionals.

**Lemma 3.1.** *Suppose  $\rho = \{\rho_t\}_{t \geq 0}$  is an  $E_0$ -semigroup of  $\mathcal{B}(H)$  with concrete product system  $E_\rho = \{E_\rho(t)\}_{t > 0}$ . Let  $\{e_n(t)\}_{n \in \mathbb{N}, t > 0}$  be a Borel orthogonal basis for  $E_\rho$ , and let  $\omega \in C^*(E_\rho)^*$ . Then for every  $t > 0$ , the series  $\sum_n \omega_{n,t}$  converges uniformly in  $C^*(E_\rho)^*$ , and*

$$\sum_n \|\omega_{n,t}\| \leq \|\omega\|. \quad (3.3)$$

*Proof.* Applying the polar decomposition to  $\omega$ , and the GNS construction to  $|\omega|$ , one can find a representation  $\pi$  of the  $C^*$ -algebra  $C^*(E_\rho)$  on a Hilbert space  $K$ , and two cyclic vectors  $\xi, \eta \in K$ ,  $\|\xi\|^2 = \|\eta\|^2 = \|\omega\|$ , such that

$$\omega(x) = \langle \pi(x)\xi, \eta \rangle, \quad x \in C^*(E_\rho). \quad (3.4)$$

Let  $\phi : E_\rho \rightarrow \mathcal{B}(K)$  be the representation of the product system  $E_\rho$  associated with  $\pi$  as in (2.4), and  $\tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$  be the  $E$ -semigroup of  $\mathcal{B}(K)$  constructed as in (2.1). Let  $t > 0$  be fixed. For every positive integer  $n$  and every  $x \in C^*(E_\rho)$ , we have

$$\omega_{n,t}(x) = \omega(\ell_{e_n(t)} x \ell_{e_n(t)}^*) = \langle \pi_\omega(x) \xi_n(t), \eta_n(t) \rangle,$$

where  $\xi_n(t) = \phi(e_n(t))^* \xi$  and  $\eta_n(t) = \phi(e_n(t))^* \eta$ . Since

$$\tilde{\rho}_t(\mathbb{1}) = \sum_n \phi(e_n(t)) \phi(e_n(t))^*$$

is an orthogonal projection, we deduce that

$$\sum_{n=1}^{\infty} \|\xi_n(t)\|^2 = \|\tilde{\rho}_t(\mathbb{1}) \xi\|^2 \leq \|\xi\|^2 = \|\omega\|,$$

and similarly  $\sum_{n=1}^{\infty} \|\eta_n(t)\|^2 \leq \|\omega\|$ . Using now the Schwarz inequality, we obtain

$$\begin{aligned} \sum_{n=1}^{\infty} \|\omega_{n,t}\| &= \sum_{n=1}^{\infty} \sup_{\|x\| \leq 1} |\langle \pi(x) \xi_n(t), \eta_n(t) \rangle| \\ &\leq \sum_{n=1}^{\infty} \|\xi_n(t)\| \|\eta_n(t)\| \\ &\leq \|\tilde{\rho}_t(\mathbb{1}) \xi\| \|\tilde{\rho}_t(\mathbb{1}) \eta\| \leq \|\omega\|. \end{aligned}$$

This concludes the proof of the lemma.  $\square$

**Definition 3.2.** Let  $\rho = \{\rho_t\}_{t \geq 0}$  be an  $E_0$ -semigroup of  $\mathcal{B}(H)$  with concrete product system  $E_\rho = \{E_\rho(t)\}_{t > 0}$ , and  $\{e_n(t)\}_{n \in \mathbb{N}, t > 0}$  be a Borel orthogonal basis for  $E_\rho$ . For every  $t > 0$ , consider the mapping  $\alpha_t^* : C^*(E_\rho)^* \rightarrow C^*(E_\rho)^*$ , defined as

$$\alpha_t^*(\omega)(x) = \sum_n \omega_{n,t}(x) = \sum_n \omega(\ell_{e_n(t)} x \ell_{e_n(t)}^*),$$

for  $\omega \in C^*(E_\rho)^*$  and  $x \in C^*(E_\rho)$ . For  $t = 0$ , we set  $\alpha_0^*$  to be the identity mapping on  $C^*(E_\rho)^*$ .

It is readily seen that the above definition does not depend on the particular choice of the basis  $\{e_n(t)\}_{n \in \mathbb{N}}$ , for every  $t > 0$ .

**Proposition 3.3.**  $\alpha^* = \{\alpha_t^*\}_{t \geq 0}$  is a strongly continuous semigroup of contractions on the Banach space  $C^*(E_\rho)^*$ .

*Proof.* We firstly show that  $\{\alpha_t^*\}_{t \geq 0}$  is a one-parameter semigroup of contractions. Let  $s, t > 0$  be fixed. Since  $\{e_n(t) e_m(s)\}_{m, n \in \mathbb{N}}$  is an orthogonal basis for  $E_\rho(s+t)$ , we have

$$\begin{aligned} \alpha_{s+t}^*(\omega)(x) &= \sum_{m,n} \omega(\ell_{e_n(t) e_m(s)} x \ell_{e_n(t) e_m(s)}^*) = \sum_m \alpha_t^*(\omega)(\ell_{e_m(s)} x \ell_{e_m(s)}^*) \\ &= \alpha_s^* \circ \alpha_t^*(\omega)(x), \end{aligned}$$

for every  $\omega \in C^*(E_\rho)^*$  and  $x \in C^*(E_\rho)$ . Therefore  $\{\alpha_t^*\}_{t \geq 0}$  is a one-parameter semigroup, which is contractive by (3.3).

To show that the semigroup is strongly continuous, fix a bounded linear functional  $\omega \in C^*(E_\rho)^*$ , and consider the representation  $\pi$  of  $C^*(E_\rho)$  associated with  $\omega$  as in (3.4), as well as the corresponding  $E$ -semigroup  $\tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$  of  $\mathcal{B}(K)$ , constructed as in (2.1). A direct calculation produces the following relation between  $\tilde{\rho}_t$  and  $\alpha_t^*(\omega)$ :

$$\alpha_t^*(\omega)(x) = \langle \tilde{\rho}_t(\pi(x))\xi, \eta \rangle, \quad x \in C^*(E_\rho). \quad (3.5)$$

Indeed, it is enough to verify (3.5) on elements of the form  $x = \ell_f \ell_g^*$  with  $f, g \in L^1(E_\rho)$ . For such an  $x$ , we obtain by using (2.4) that

$$\begin{aligned} \alpha_t^*(\omega)(x) &= \sum_n \omega(\ell_{e_n(t)} \cdot f \ell_{e_n(t)}^* \cdot g) = \sum_n \left\langle \pi(\ell_{e_n(t)} \cdot f \ell_{e_n(t)}^* \cdot g)\xi, \eta \right\rangle \\ &= \sum_n \left\langle \phi(e_n(t))\pi(\ell_f \ell_g^*)\phi(e_n(t))^*\xi, \eta \right\rangle = \langle \tilde{\rho}_t(\pi(x))\xi, \eta \rangle, \end{aligned}$$

where  $e_n(t) \cdot f$  is the  $L^1(E_\rho)$ -section  $(e_n(t) \cdot f)(s) = \begin{cases} e_n(t)f(s-t), & s > t, \\ 0, & 0 < s \leq t, \end{cases}$ .

Now for every  $t > 0$ , we have:

$$\begin{aligned} \|\alpha_t^*(\omega) - \omega\| &= \sup_{\|x\| \leq 1} |\langle \tilde{\rho}_t(\pi(x))\xi, \eta \rangle - \langle \pi(x)\xi, \eta \rangle| \\ &\leq \|\omega_{\xi, \eta} \circ \tilde{\rho}_t - \omega_{\xi, \eta}\|, \end{aligned}$$

where  $\omega_{\xi, \eta}(x) = \langle x\xi, \eta \rangle$ ,  $x \in \mathcal{B}(K)$ . Since  $\tilde{\rho}$  is an  $E$ -semigroup, the last term tends to zero as  $t \rightarrow 0$  by [1, Prop. 2.3.1], and thus  $\{\alpha_t^*\}_{t \geq 0}$  is strongly continuous.  $\square$

Next, we describe the space of all essential bounded functionals on  $C^*(E_\rho)$  in terms of the semigroup  $\alpha^* = \{\alpha_t^*\}_{t \geq 0}$ .

**Theorem 3.4.** *Let  $\rho = \{\rho_t\}_{t \geq 0}$  be an  $E_0$ -semigroup of  $\mathcal{B}(H)$  with concrete product system  $E_\rho = \{E_\rho(t)\}_{t > 0}$ . A bounded linear functional  $\omega \in C^*(E_\rho)^*$  is essential if and only if  $\|\alpha_t^*(\omega)\| = \|\omega\|$ , for all  $t \geq 0$ .*

*Proof.* It follows immediately from the polar decomposition that, in order to prove this result, it is enough to consider positive linear functionals on  $C^*(E_\rho)$ . For such a functional  $\omega \in C^*(E_\rho)$ ,  $\omega \geq 0$ , we consider its GNS representation  $\pi : C^*(E_\rho) \rightarrow \mathcal{B}(K)$  with cyclic vector  $\xi$ ,  $\|\xi\|^2 = \|\omega\|$ , and the  $E$ -semigroup  $\tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$  of  $\mathcal{B}(K)$  constructed with respect to the product system representation  $\phi$ . As in (3.5), we have

$$\alpha_t^*(\omega)(x) = \langle \tilde{\rho}_t(\pi(x))\xi, \xi \rangle, \quad x \in C^*(E_\rho). \quad (3.6)$$

We claim that

$$\|\alpha_t^*(\omega)\| = \|\tilde{\rho}_t(\mathbf{1})\xi\|^2, \quad t > 0. \quad (3.7)$$

Indeed, if  $e_1 \leq e_2 \leq \dots$  is an approximate identity for  $C^*(E_\rho)$ , then  $\{\pi(e_n)\}_n$  converges strongly to the identity operator, and thus

$$\|\alpha_t^*(\omega)\| = \lim_{n \rightarrow \infty} \langle \tilde{\rho}_t(\pi(e_n))\xi, \xi \rangle = \langle \tilde{\rho}_t(\mathbf{1})\xi, \xi \rangle = \|\tilde{\rho}_t(\mathbf{1})\xi\|^2.$$

We are now ready to prove the theorem. The implication “ $\Rightarrow$ ” follows immediately from (3.7). For the converse implication, let  $\omega \in C^*(E_\rho)$ ,  $\omega \geq 0$  satisfying  $\|\alpha_t^*(\omega)\| = \|\omega\|$ , for every  $t > 0$ , and let  $\tilde{K} = \bigcap_{t>0} K_t$ , be the intersection of the decreasing family of Hilbert spaces

$$K_t = [\phi(E_\rho(t))K] = \tilde{\rho}_t(\mathbf{1})K, \quad t > 0.$$

Since  $\|\xi\|^2 = \|\omega\| = \|\alpha_t^*(\omega)\| = \|\tilde{\rho}_t(\mathbf{1})\xi\|$  for every  $t > 0$ , we have  $\xi \in \tilde{K}$ .

We claim that the Hilbert space  $\tilde{K}$  is invariant under  $\phi(E_\rho) \cup \phi(E_\rho)^*$ . It is obvious that

$$\phi(E_\rho(t))K_s \subseteq K_{t+s},$$

for all  $s, t > 0$ , so  $\tilde{K}$  is invariant under  $\phi(E_\rho)$ . Moreover, since  $\{\phi(E_\rho(t))\}_{t>0}$  is the concrete product system of the  $E$ -semigroup  $\tilde{\rho}$ , the Hilbert space  $\phi(E_\rho(s))$  is the closed linear span of  $\phi(E_\rho(t))\phi(E_\rho(s-t))$  for  $s > t$ , and we have

$$\begin{aligned} \phi(E_\rho(t))^*K_s &\subseteq [\phi(E_\rho(t))^*\phi(E_\rho(s))K] \\ &= [\phi(E_\rho(t))^*\phi(E_\rho(t))\phi(E_\rho(s-t))K] \\ &= [\phi(E_\rho(s-t))K] = K_{s-t}, \end{aligned}$$

for  $0 < t < s$ . Therefore

$$\phi(E_\rho(t))^*\tilde{K} \subseteq \bigcap_{s>t} [\phi(E_\rho(t))^*K_s] \subseteq \bigcap_{s>t} K_{s-t} = \tilde{K},$$

for every  $t > 0$ , so  $\tilde{K}$  is also invariant under  $\phi(E_\rho)^*$  as well, which proves our claim. It then follows that  $\tilde{K}$  is invariant under the von Neumann algebra generated by  $\phi(E_\rho)$ , which coincides with the von Neumann algebra  $\pi(C^*(E_\rho))''$ . But  $\xi$  is a cyclic vector for the representation  $\pi$ , and  $\xi \in \tilde{K}$ , thus  $\tilde{K} = K$ , i.e.,  $\omega$  is an essential functional.  $\square$

*Observation 3.5.* One can easily deduce from (3.7) that a representation  $\phi$  of the product system  $E_\rho$  associated with  $\omega \in C^*(E_\rho)^*$  is singular if and only if the orbit of  $\omega$  under the semigroup  $\{\alpha_t^*\}_{t \geq 0}$  is stable, i.e.,  $\lim_{t \rightarrow \infty} \|\alpha_t^*(\omega)\| = 0$ .

#### 4. Mixing states of $C^*(E_\rho)$ and purification

Let  $\rho = \{\rho_t\}_{t \geq 0}$  be an  $E_0$ -semigroup of  $\mathcal{B}(H)$  with concrete product system  $E_\rho = \{E_\rho(t)\}_{t > 0}$ . We use the semigroup  $\alpha^* = \{\alpha_t^*\}_{t \geq 0}$  from the previous section to introduce a property of bounded linear functionals on  $C^*(E_\rho)$  that resembles the notion of mixing in ergodic theory.

**Definition 4.1.** A bounded linear functional  $\omega \in C^*(E_\rho)^*$  is said to be mixing, if

$$\lim_{t \rightarrow \infty} \|\alpha_t^*(a\omega b) - \omega(ab)\alpha_t^*(\omega)\| = 0, \quad (4.1)$$

for every  $a, b \in C^*(E_\rho)$ . Here  $a\omega b$  is the bounded linear functional  $a\omega b(x) = \omega(axb)$ ,  $x \in C^*(E_\rho)$ .

The class of mixing bounded linear functionals is quite large, as shown by the following proposition.

**Proposition 4.2.** *Every vector state of  $C^*(E_\rho)$  is mixing.*

*Proof.* Let  $\xi \in L^2(E_\rho)$  be a unit vector, and  $\omega_\xi$  be the corresponding vector state. Since the  $C^*$ -algebra  $C^*(E_\rho)$  is irreducible, the GNS representation associated with  $\omega_\xi$  can be taken to be the identity representation, having  $\xi$  as corresponding cyclic vector.

Let now  $\alpha = \{\alpha_t\}_{t \geq 0}$  be the  $E$ -semigroup of  $\mathcal{B}(L^2(E_\rho))$  associated with the regular representation  $\ell$  of  $E_\rho$ . Since  $\ell$  is a singular representation, we have  $\alpha_t(\mathbf{1}) \downarrow 0$  as  $t \rightarrow \infty$ . Moreover, using (3.6), we obtain

$$\alpha_t^*(a\omega_\xi b)(x) = \langle \alpha_t(x)b\xi, a^*\xi \rangle = \langle \alpha_t(x)\alpha_t(\mathbf{1})b\xi, \alpha_t(\mathbf{1})a^*\xi \rangle,$$

for all  $a, b, x \in C^*(E_\rho)$ . Therefore

$$\sup_{\|x\| \leq 1} |\alpha_t^*(a\omega_\xi b)(x)| \leq \|\alpha_t(\mathbf{1})a^*\xi\| \|\alpha_t(\mathbf{1})b\xi\| \rightarrow 0$$

as  $t \rightarrow \infty$ . Using (3.7), we also have

$$\sup_{\|x\| \leq 1} |\omega_\xi(ab)\alpha_t^*(\omega_\xi)(x)| \leq |\omega_\xi(ab)| \cdot \|\alpha_t(\mathbf{1})\xi\|^2 \rightarrow 0,$$

as  $t \rightarrow \infty$ . In particular,  $\|\alpha_t^*(a\omega_\xi b) - \omega_\xi(ab)\alpha_t^*(\omega_\xi)\| \rightarrow 0$  as  $t \rightarrow \infty$ .  $\square$

*Observation 4.3.* Although the vector states of  $C^*(E_\rho)$  are mixing states, they fail to be essential states, as one can easily see from (3.7) and Theorem 3.4.

Next, we describe the relation between mixing essential states and pure  $E_0$ -semigroups. We need, for this purpose, the following proposition, which is a straightforward variation of Arveson's classical characterization of pure  $E_0$ -semigroups acting on a von Neumann algebra in terms of the asymptotic behavior of the action of the semigroup on the predual [2][1].

**Proposition 4.4.** *Suppose  $\rho = \{\rho_t\}_{t \geq 0}$  is an  $E_0$ -semigroup of a von Neumann algebra  $M \subseteq \mathcal{B}(K)$ . The following conditions are equivalent:*

1.  $\rho$  is pure;
2. for any two normal states  $\omega, \psi$  of  $\mathcal{B}(K)$ ,  $\|\omega \upharpoonright_{\rho_t(M)} - \psi \upharpoonright_{\rho_t(M)}\|$  converges to 0 as  $t \rightarrow \infty$ ;
3. for any two unit vectors  $\xi, \eta \in K$ ,  $\|\omega_\xi \circ \rho_t - \omega_\eta \circ \rho_t\|$  converges to 0 as  $t \rightarrow \infty$ .

*Proof.* (1)  $\Leftrightarrow$  (2) is similar to the proof of [1, Proposition 2.9.2].

(2)  $\Rightarrow$  (3) follows immediately from the fact that  $\|\omega \circ \rho_t\| = \|\omega \upharpoonright_{\rho_t(M)}\|$ , for every normal linear functional on  $\mathcal{B}(K)$  and every  $t \geq 0$ .

(3)  $\Rightarrow$  (2) Let  $\xi \in K$  be a fixed unit vector. Using the triangle inequality and the previous fact, it is enough to show that  $\|\omega \circ \rho_t - \omega_\xi \circ \rho_t\|$  converges to 0 as  $t \rightarrow \infty$ , for every normal state  $\omega$  of  $\mathcal{B}(K)$ . To prove this, realize the state  $\omega$



as  $\omega = \sum_n \lambda_n \omega_{\xi_n}$ , where  $\{\xi_n\}_n \subset K$  is a sequence of unit vectors and  $\{\lambda_n\}_n$  is a sequence of positive real numbers summing up to 1. We then have

$$\|\omega \circ \rho_t - \omega_\xi \circ \rho_t\| \leq \sum_n \lambda_n \|\omega_{\xi_n} \circ \rho_t - \omega_\xi \circ \rho_t\| \rightarrow 0,$$

as  $t \rightarrow \infty$ . The proof is complete.  $\square$

**Theorem 4.5.** *Let  $\rho = \{\rho_t\}_{t \geq 0}$  be an  $E_0$ -semigroup of  $\mathcal{B}(H)$  with concrete product system  $E_\rho = \{E_\rho(t)\}_{t > 0}$ , and  $\omega$  be an essential state of  $C^*(E_\rho)$ . Let  $\pi : C^*(E_\rho) \rightarrow \mathcal{B}(K)$  be GNS representation of  $\omega$ , and  $\tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$  be the corresponding  $E_0$ -semigroup of  $\mathcal{B}(K)$ . The following statements are equivalent:*

1. *The restriction of  $\tilde{\rho}$  to the von Neumann algebra  $M = \pi(C^*(E_\rho))''$  is a pure  $E_0$ -semigroup of  $M$ .*
2.  *$\omega$  is a mixing state.*

*Proof.* It is clear from the definition of the  $E_0$ -semigroup  $\tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$  that  $\tilde{\rho}_t(M) \subseteq M$ , so that  $\tilde{\rho}$  restricts to an  $E_0$ -semigroup of the von Neumann algebra  $M$ . Let  $\xi \in K$  be the cyclic unit vector in the GNS construction associated with  $\omega$ .

(1)  $\Rightarrow$  (2): Consider an element  $a \in C^*(E_\rho)$ . If  $\omega(a^*a) \neq 0$ , then let  $\eta \in K$  be the unit vector

$$\eta = \|\pi(a)\xi\|^{-1} \pi(a)\xi.$$

Since  $\tilde{\rho}$  is pure, we have

$$\lim_{t \rightarrow \infty} \|\omega_\xi \circ \rho_t - \omega_\eta \circ \rho_t\| = 0 \quad (4.2)$$

by the previous proposition. Substituting  $\eta$  into (4.2), and using (3.6), we obtain

$$\lim_{t \rightarrow \infty} \sup_{\|x\| \leq 1} \left| \alpha_t^*(\omega)(x) - \frac{\alpha_t^*(a^*\omega a)(x)}{\omega(a^*a)} \right| = 0 \quad (4.3)$$

so that one has

$$\lim_{t \rightarrow \infty} \|\omega(a^*a)\alpha_t^*(\omega) - \alpha_t^*(a^*\omega a)\| = 0. \quad (4.4)$$

Clearly (4.4) holds true if  $\omega(a^*a) = 0$ , and hence for all  $a \in C^*(E_\rho)$ . A direct polarization argument shows that (4.4) is equivalent to (4.1), and the conclusion follows.

(2)  $\Rightarrow$  (1) is similar: if  $\omega$  is mixing, then property (4.4) holds true for all  $a \in C^*(E_\rho)$ . This implies (4.3) when  $\omega(a^*a) \neq 0$ . Using the fact that the most general unit vector in  $\pi(C^*(E_\rho))\xi$  is of the form  $\|\pi(a)\xi\|^{-1} \pi(a)\xi$  where  $\omega(a^*a) \neq 0$ , (4.3) implies that (4.2) holds true for all unit vectors  $\eta$  in the dense linear manifold  $\pi(C^*(E_\rho))\xi$  of  $K$ . In particular, since  $\xi$  is cyclic, (4.2) holds for all unit vectors  $\eta \in H$ . Now using the triangle inequality and Proposition 4.4, we obtain that  $\tilde{\rho}$  is a pure  $E_0$ -semigroup of  $M$ . This ends the proof of the theorem.  $\square$

**Corollary 4.6.** *Every essential mixing state of  $C^*(E_\rho)$  is a factor state.*

*Proof.* It is easily seen that the center of the von Neumann algebra  $M = \pi(C^*(E_\rho))''$  coincides with the fixed point algebra  $\{x \in M \mid \tilde{\rho}_t(x) = x, \forall t \geq 0\}$  of the restriction of the  $E_0$ -semigroup  $\tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$  to  $M$ . Since the tail algebra of  $\tilde{\rho}$  contains the fixed point algebra, the conclusion follows.  $\square$

The main result of our paper is a straightforward consequence of the previous theorem.

**Theorem 4.7.** *Let  $\rho = \{\rho_t\}_{t \geq 0}$  be an  $E_0$ -semigroup of  $\mathcal{B}(H)$ . The mapping*

$$\omega \longmapsto \tilde{\rho} = \{\tilde{\rho}_t\}_{t \geq 0}$$

*that appears in Theorem 4.5 restricts to a surjective mapping from the set of all pure essential mixing states of the spectral  $C^*$ -algebra  $C^*(E_\rho)$  onto the set of all pure  $E_0$ -semigroups that are cocycle conjugate to  $\rho$ .*

Using the purification theorem of V. Liebscher that was mentioned in the introduction, we obtain the following:

**Corollary 4.8.** *For every  $E_0$ -semigroup  $\rho = \{\rho_t\}_{t \geq 0}$  of  $\mathcal{B}(H)$ , there exists pure essential mixing states of the spectral  $C^*$ -algebra  $C^*(E_\rho)$ .*

The structure of the set of all pure essential mixing states will be discussed in a subsequent publication.

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