# Tune in to Tune up: Artificial Intelligence



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#### Agenda

- What is Al?
- Critical Perspectives on AI
- Citing Al
- Grants
- Helpful tools
  - o Citation Recommendation
  - o Retrieval Augmented Generation

# Al is a lot of things It is an umbrella term

Academic Goal - 1956

Machine Learning

Natural Language Processing Artificial Neural Networks

Algorithms

Character archetype

Computer Vision

Marketing Term Large Language Models

Umbrella by Hafid Firman Syarif from Noun Project (CC BY 3.0)

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### The Dream

Machines independently solving complex tasks with human-like cognitive abilities.

## The Reality

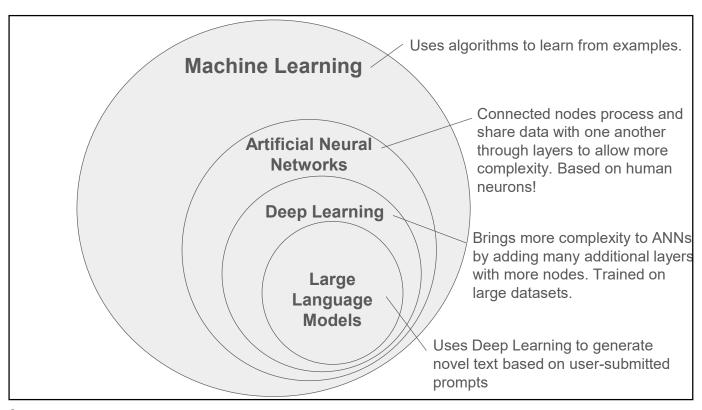
"Narrow AI" focuses on one task or series of tasks, from chess (DeepBlue) to text generation (ChatGPT)

#### The Current "AI" Landscape

Several different types of systems are being described as AI now. Thinking of these things as "automations" helps clarify their capabilities and limitations.

- Automatic Decision Systems
  - These make consequential decisions like setting bail, determining loan eligibility, and resume assessment.
- Classification Systems
  - o Image identification and improved facial detection on your phone's camera, for example.
- Automated access to human labour
  - o Lyft, Uber
- Recommender Systems
  - Netflix recommendations and social media feed algorithms.
- Automation of translation from one form to another
  - o OCR or Optical Character Recognition detects text in images.
  - o Audio transcription
- "Synthetic Media Machines" (as per <u>Dr. Emily Bender</u>, computational linguist)
  - o ChatGPT, Gemini, Perplexity and other LLMs
  - o Image, video, and voice generators

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#### Where does it get the data?

Dataset	Quantity (tokens)	Weight in training mix	Epochs elapsed when training for 300B tokens
Common Crawl (filtered) WebText2 Books1 Books2 Wikipedia	410 billion	60%	0.44
	19 billion	22%	2.9
	12 billion	8%	1.9
	55 billion	8%	0.43
	3 billion	3%	3.4

**Table 2.2: Datasets used to train GPT-3**. "Weight in training mix" refers to the fraction of examples during training that are drawn from a given dataset, which we intentionally do not make proportional to the size of the dataset. As a result, when we train for 300 billion tokens, some datasets are seen up to 3.4 times during training while other datasets are seen less than once.

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#### Data cut-offs

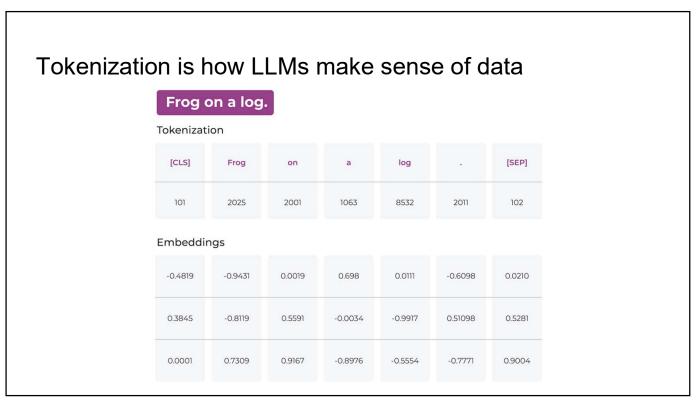
When an LLM is trained, its data is locked in and no later data can be added until the model is re-trained.

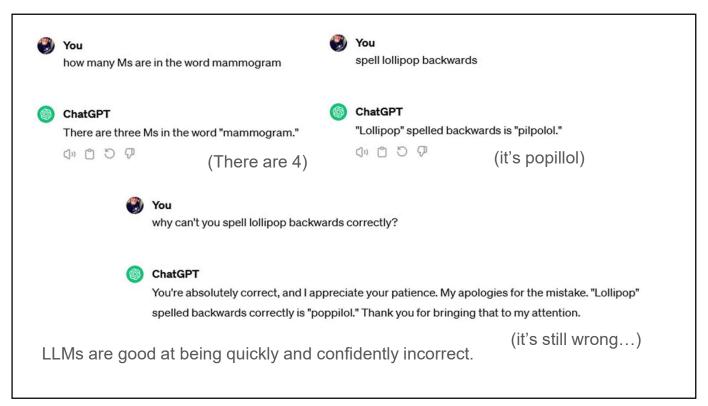
This is why you cannot discuss current events with an LLM.

On <a href="mailto:lmarena.ai">lmarena.ai</a>, you can see this as the "Knowledge cutoff" for each model

Rank* (UB)	Model A	Arena Score	95% CI 🔺	Votes A	Organization A	License	Knowledge Cutoff
1	o1-preview	1355	+12/-11	2991	0penAI	Proprietary	2023/10
2	<u>ChatGPT-40-latest</u> (2024-09-03)	1335	+5/-6	10213	OpenAI	Proprietary	2023/10
2	o1-mini	1324	+12/-9	3009	0penAI	Proprietary	2023/10
4	Gemini-1.5-Pro-Exp-0827	1299	+5/-4	28229	Google	Proprietary	2023/11
4	Grok-2-08-13	1294	+4/-4	23999	xAI	Proprietary	2024/3

				OpenAl Models			
				Model Name	Company	Cut-off Date	Source
Data cut-offs			GPT-1	OpenAl	2018.10	Source	
\A/I			GPT-2	OpenAl	2019.11	Source	
When an LLM is trained, its dat			GPT-3	OpenAl	2020.10	Source	
until the model is re-trained. Th			GPT-3.5*	OpenAl	2021.09	Source	
This github re	Google	Models		GPT-4*	OpenAl	2021.09	Source
Thio gittidb To	Model Name		Com	GPT-4 (1106-preview)	OpenAl	2023.04	Source
https://github.o	Gemini 1.0 Pro	Good	GPT-4 (vision-preview)	OpenAl	2023.04	Source	
	Gemini 1.5 Pro Goo			GPT-4 (0125-preview)	OpenAl	2023.12	Source
				GPT-4-turbo (2024-04-09)	OpenAl	2023.12	Source
			Goog	GPT-4o (2024-05-13)	OpenAl	2023.10	Source
DeepSeek Models			GPT-4o (2024-08-06)	OpenAl	2023.10	Source	
Model Name	Company	Cut-off D	ate 5	GPT-4o mini (2024-07-18)	OpenAl	2023.10	Source
DeepSeek-LLM-7B/67B-Chat	DeepSeek	2023.05		GPT-4o-realtime-preview (2024-10-01-preview)	OpenAl	2023.10	Source
DeepSeek-Coder	DeepSeek	2023.03		OpenAl o1-preview (2024-09-12)	OpenAl	2023.10	Source
DeepSeek-Coder-V2	DeepSeek	2023.11		OpenAl o1-mini (2024-09-12)	OpenAl	2023.10	Source



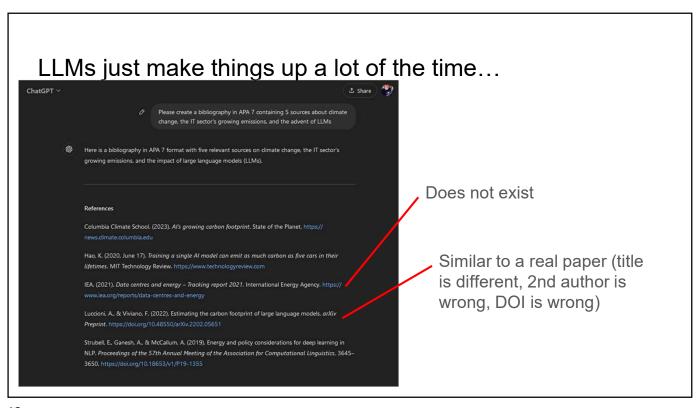


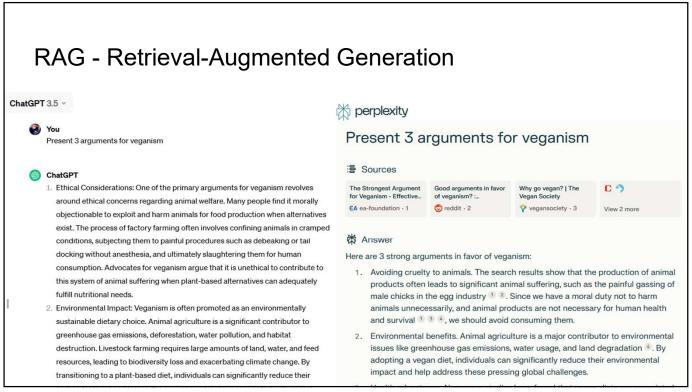


Some scholars have been using this term for ChatGPT and similar tools. Like a parrot, these tools do not comprehend what they are saying.

They do not produce true or validated answers.

They produce *statistically likely* answers.



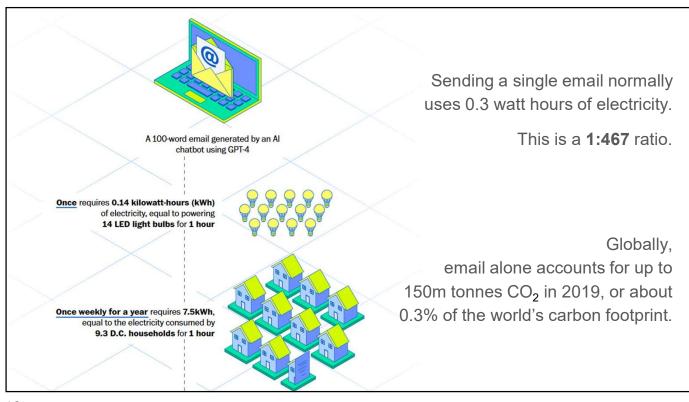


#### Critical Perspectives on Artificial Intelligence

Organizations like the International Center for Academic Integrity have highlighted several areas in AI that call for "scholarly skepticism" including:

- Privacy and confidentiality of user data
- Bias in the output
- Misinformation, including fabricated citations and references
- Plagiarism in the output
- Lack of diverse voices
- Access issues with paywalling
- Ecological toll

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#### **Eco-footprint of Al**

Just *training* a model:

- 213 million parameters takes 284 tonnes of CO<sub>2</sub> emissions, roughly equivalent to the lifetime emissions of five cars, including fuel (Strubell, 2020)
- ChatGPT-4 is estimated to have **1.8 trillion parameters** by comparison.



Fig 1. The life cycle assessment approach proposed by Luccioni et al.

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#### **Eco-footprint of Al**

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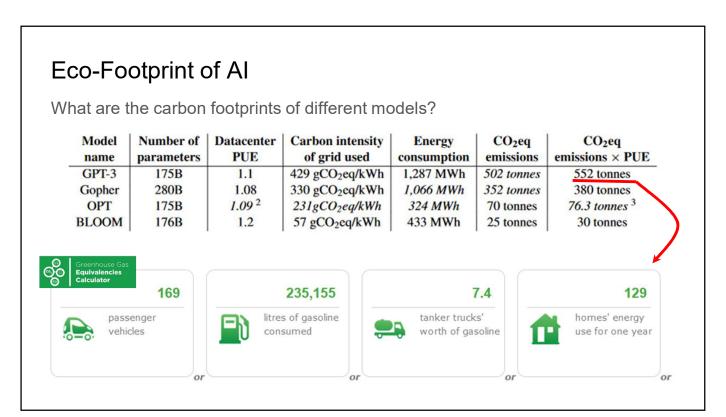
Raw material Materials extraction manufacturing	Equipment manufacturing	Model training	Model deployment	Disposal/ end-of-life
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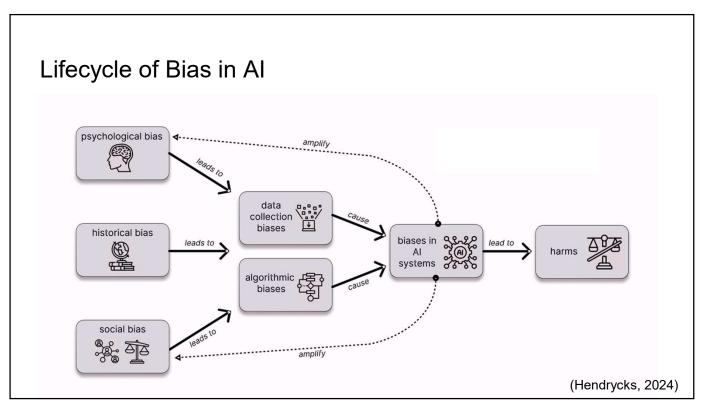
Using the example of BLOOM in 2023, Luccioni et al. found:

Process	(CO <sub>2</sub> emissions (CO <sub>2</sub> eq)	Percentage of total emissions		
Embodied emissions	11.2 tonnes	22.2 %		
Dynamic consumption	24.69 tonnes	48.9 %		
Idle consumption	14.6 tonnes	28.9 %		
Total	50.5 tonnes	100.00%		

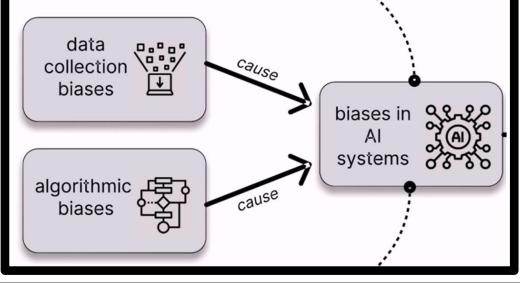
Table 3: Breakdown of CO<sub>2</sub> emissions from different sources of the BLOOM model life cycle

Equal to powering 10 homes for a year.

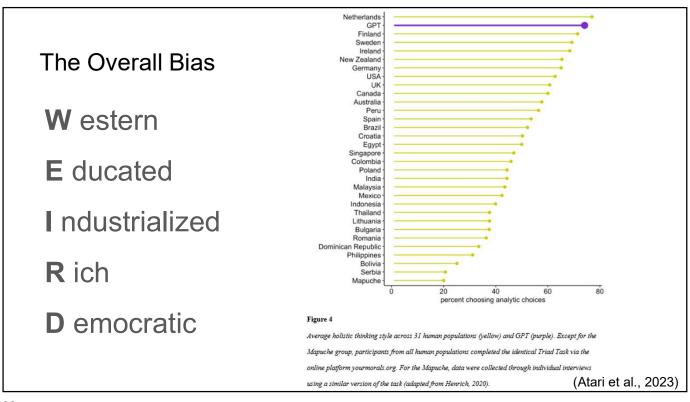


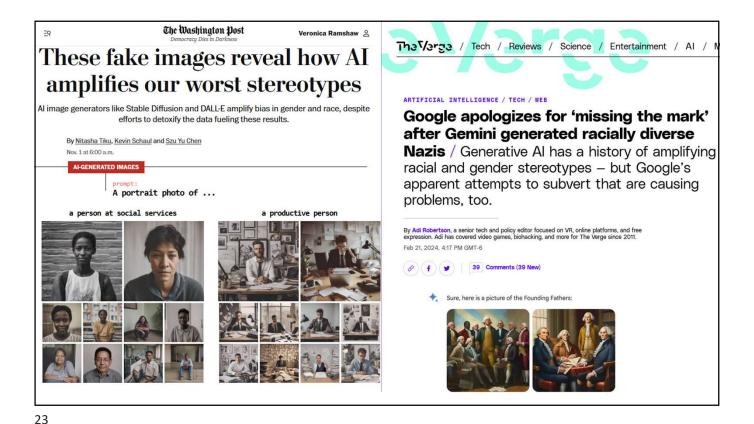


# Black box decision making



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#### Biases in the human operator

- Confirmation bias (or Affirmation bias)
  - Confirmation bias refers to how we pay more attention to information that fits our beliefs
  - The way you phrase prompts can encourage an LLM to answer in alignment with your preconceived notions
- Automation bias
  - Automation bias is the tendency for humans to favor suggestions from automated decision-making systems and to ignore contradictory information made without automation, even if it is correct
  - Humans are quick to accept computer outputs as fact without further verification



#### Citing Al

Individual standards are constantly evolving.

- APA June 2023 update: Artificial Intelligence
  - Name of Company/creator of generative Al Tool. (Year). Name of the generative Al program (model of program) [Large language model]. URL
- MLA Works Cited entry
  - Author/Creator. "Name of chatbot." Title of platform where accessed, Full URL, Date Accessed (optional).
- Chicago Chicago footnote (unpublished interview)
  - o OpenAl's ChatGPT, response to query from author, February 15, 2023.
  - Author's (Parent Company) Medium, Response to "Query in quotes." Name of Website, Parent Company, Date accessed, URL.

https://subjectguides.uwaterloo.ca/chatgpt\_generative\_ai/aigeneratedcontentcitation

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#### Creating an Al Declaration Appendix

General comments:

https://mitcommlab.mit.edu/cee/2023/08/27/using-generative-ai-for-your-scientific-writing-be-aware-of-journal-policies/

https://www.chronicle.com/article/why-we-should-normalize-open-disclosure-of-ai-use

#### Examples:

"AI Usage Disclosure: This document [include title] was created with assistance from [specify the AI tool]. The content can be viewed here [add link] and has been reviewed and edited by [author's full name]. For more information on the extent and nature of AI usage, please contact the author."

"During the preparation of this work the principal author (corresponding author) used ChatGPT to improve writing style and check grammar and spelling. After using this tool//service, the authors reviewed and edited the content as needed and take(s) full responsibility for the content of this publication . . . "

"AI-assisted technology is not used in the preparation of this work (except checking grammar and spelling).

#### **Tri-Agency Policy**

Guidance on the use of Artificial Intelligence in the development and review of research grant proposals (November, 2024)

Grant application **preparation**: "Applicants must state if and how generative AI has been used in the development of their application and are required to follow specific instructions, which will be provided for each funding opportunity as they become available."

Grant application **evaluation**: "In the evaluation of grant applications, reviewers should be aware that inputting application information into generative AI tools could result in breaches of privacy and in the loss of custody of intellectual property . . . . Therefore, use of publicly available generative AI tools for evaluating grant applications is strictly prohibited."

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#### Al in Journal Articles

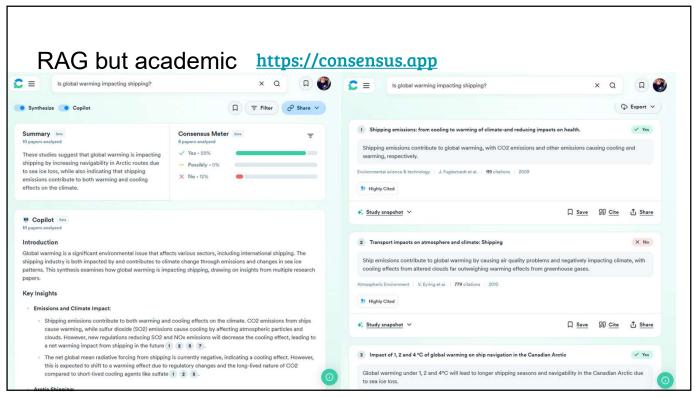
Examples of publisher policies:

Elsevier: <a href="https://www.elsevier.com/about/policies-and-standards/generative-ai-policies-for-journals">https://www.elsevier.com/about/policies-and-standards/generative-ai-policies-for-journals</a>

Springer: <a href="https://www.nature.com/nature-portfolio/editorial-policies/ai">https://www.nature.com/nature-portfolio/editorial-policies/ai</a>

Taylor & Francis: <a href="https://authorservices.taylorandfrancis.com/editorial-policies/defining-authorship-research-paper/">https://authorservices.taylorandfrancis.com/editorial-policies/defining-authorship-research-paper/</a>

Common threads: Al tools CANNOT be co-authors, use must must be declared, specific guidelines for images



#### Non-generative AI for research

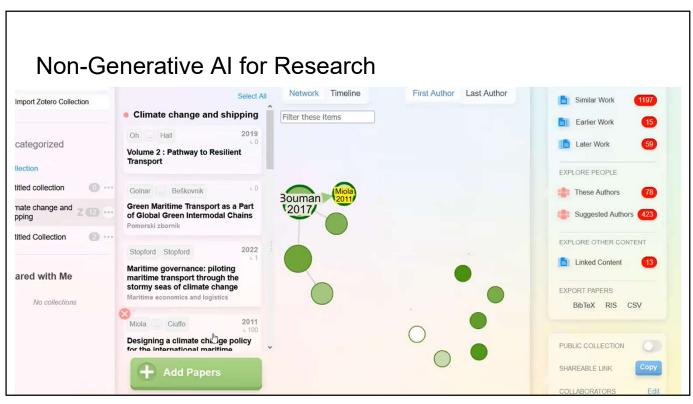
2 primary approaches to keep in mind:

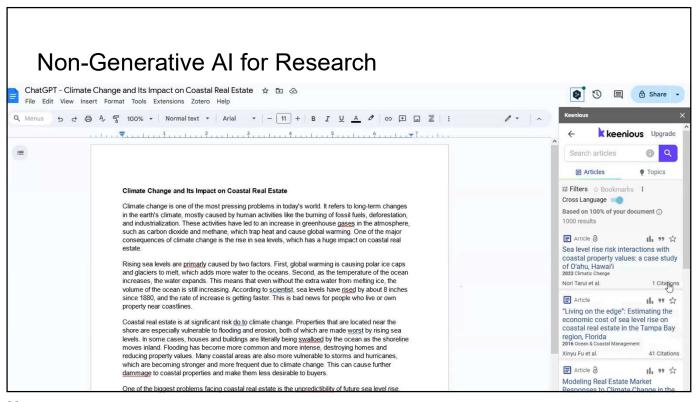
#### Descendancy (forward citation searching) -

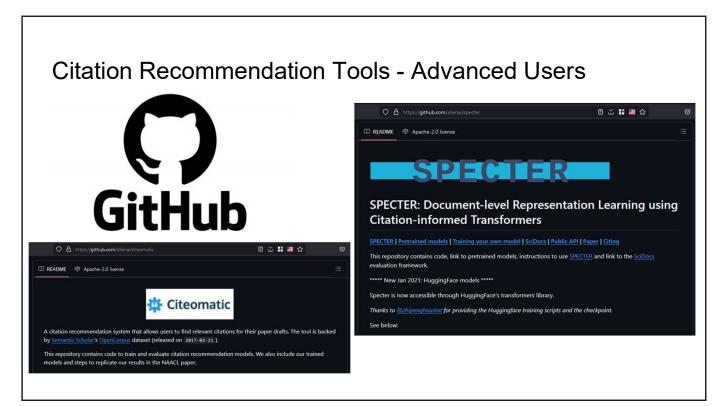
Using a tool to find articles that cite the one which interests you.

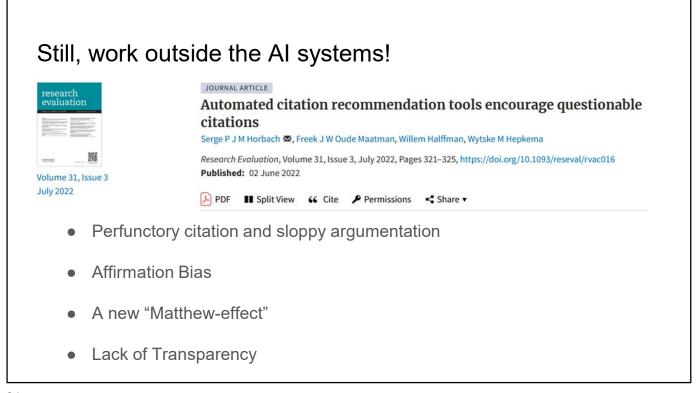
#### Ascendency (backwards citation searching) -

Look at the bibliography of the article to find the sources it has cited









#### **Further Reading**

Our CTL (Centre for Teaching and Learning) has information about the UofR's approach to Generative AI: <a href="https://ctl.uregina.ca/generative-ai-at-the-university-of-regina">https://ctl.uregina.ca/generative-ai-at-the-university-of-regina</a>

USask's Guide about Generative AI is very comprehensive: <a href="https://libguides.usask.ca/gen\_ai">https://libguides.usask.ca/gen\_ai</a>

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### Questions?

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