

Minimum Number of Distinct Eigenvalues of Cycles

In this document we prove that for any even cycle C_n , $q(C_n)$ is equal to the diameter, i.e. $n/2$ and for any odd cycle C_n , $q(C_n)$ is equal to the diameter plus one, i.e. $\lfloor n/2 \rfloor + 1$.

Assume, first, that $n \geq 4$ is even and consider the sequence of $n \times n$ matrices $\{I = B^{(0)}, B^{(1)}, B^{(2)}, \dots\}$, in which, for any $k \geq 1$, we define

$$B_{i,j}^{(k)} = \begin{cases} B_{i,j-1}^{(k-1)} + B_{i,j+1}^{(k-1)} & : 1 < j < n \\ B_{i,2}^{(k-1)} - B_{i,n}^{(k-1)} & : j = 1 \\ B_{i,n-1}^{(k-1)} - B_{i,1}^{(k-1)} & : j = n. \end{cases}$$

It is easy to see that

$$B^{(1)} = \begin{bmatrix} 0 & 1 & 0 & 0 & \cdots & 0 & -1 \\ 1 & 0 & 1 & 0 & \cdots & 0 & 0 \\ 0 & 1 & 0 & 1 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & 1 & 0 \\ 0 & 0 & 0 & 0 & \cdots & 0 & 1 \\ -1 & 0 & 0 & 0 & \cdots & 1 & 0 \end{bmatrix} \in \mathcal{S}(C_n).$$

Let $A = B^{(1)}$. We prove the following

Lemma 1. *For any $k \geq 0$, we have $A^k = B^{(k)}$.*

Proof. We prove the lemma by induction on k . The base case is trivial. Assume the lemma holds for k . For any i, j , with $1 < j < n$, we have

$$\begin{aligned} (A^{k+1})_{i,j} &= (A^k A)_{i,j} = (B^{(k)} A)_{i,j} \\ &= (B^{(k)})_{i,1} A_{1,j} + \cdots + (B^{(k)})_{i,j-1} A_{j-1,j} + (B^{(k)})_{i,j} A_{j,j} + (B^{(k)})_{i,j+1} A_{j+1,j} + \cdots + (B^{(k)})_{i,n} A_{n,j} \\ &= (B^{(k)})_{i,j-1} + (B^{(k)})_{i,j+1} = (B^{(k+1)})_{i,j}. \end{aligned}$$

Also, if $j = 1$, we have

$$\begin{aligned} (A^{k+1})_{i,1} &= (A^k A)_{i,1} = (B^{(k)} A)_{i,1} \\ &= (B^{(k)})_{i,1} A_{1,1} + (B^{(k)})_{i,2} A_{2,1} + (B^{(k)})_{i,3} A_{3,1} + \cdots + (B^{(k)})_{i,n-1} A_{n-1,1} + (B^{(k)})_{i,n} A_{n,1} \\ &= (B^{(k)})_{i,2} - (B^{(k)})_{i,n} = (B^{(k+1)})_{i,1}; \end{aligned}$$

and similarly

$$(A^{k+1})_{i,n} = (B^{(k)})_{i,n-1} - (B^{(k)})_{i,1} = (B^{(k+1)})_{i,n},$$

which completes the proof. \square

For any $n \times n$ matrix X , we define $\Delta_j(X)$ to be the j -th “super-diagonal” of X , $j = 1, \dots, n$. More precisely,

$$\Delta_j(X) = (X_{1,j}, X_{2,j+1}, \dots, X_{n-j+1,n}).$$

Using Lemma 1 and induction on k , it is not hard to show that for any k , and $1 \leq j \leq n$,

$$\Delta_j(A^k) = ((A^k)_{1,j}, (A^k)_{2,j+1}, \dots, (A^k)_{n-j+1,n});$$

i.e. all the elements on the super-diagonals are equal (to the entry on the first row). For any k define $\text{supp}(A^k)$ to be the set of indices $j \in \{1, \dots, n\}$ for which $(A^k)_{1,j} \neq 0$. Let $n = 2m$.

Lemma 2. Assume $k \leq m - 1$.

(a) If k is even, then

$$\text{supp}(A^k) = \{1, 3, \dots, k + 1\} \cup \{n - 1, n - 3, \dots, n - k\}.$$

(b) If k is odd, then

$$\text{supp}(A^k) = \{2, 4, \dots, k + 1\} \cup \{n, n - 2, \dots, n - k + 1\}.$$

Furthermore, $(A^k)_{1,j} = -(A^k)_{1,n-j+2} \pmod n$, for any $j \leq k + 1$.

Proof. The proof is by induction on k . The lemma is clearly true for $k = 0, 1$. Assume the lemma holds for k , and let $k + 1$ be even. By Lemma 1, the first row of A^{k+1} is as follows:

$$\begin{aligned} & [(A^{k+1})_{1,1}, \dots, (A^{k+1})_{1,k+1}, (A^{k+1})_{1,k+2}, \dots, (A^{k+1})_{1,n-k}, (A^{k+1})_{1,n-k+1}, \dots, (A^{k+1})_{1,n}] \\ &= [(A^k)_{1,2} - (A^k)_{1,n}, (A^k)_{1,1} + (A^k)_{1,3}, \dots, \\ & \quad (A^k)_{1,k} + (A^k)_{1,k+2}, (A^k)_{1,k+1} + (A^k)_{1,k+3}, \dots, \\ & \quad (A^k)_{1,n-k-1} + (A^k)_{1,n-k+1}, (A^k)_{1,n-k} + (A^k)_{1,n-k+2}, \dots, \\ & \quad (A^k)_{1,n-2} + (A^k)_{1,n}, \dots, (A^k)_{1,n-1} - (A^k)_{1,1}]. \end{aligned}$$

Therefore, using part (b) of the lemma and the induction hypothesis, it suffices to show that

$$(A^k)_{1,k+1} + (A^k)_{1,k+3} = -(A^k)_{1,n-k-1} - (A^k)_{1,n-k+1}.$$

To see this, note that since $k < m - 1$, we have $k + 3 < n - k + 1$ and so $(A^k)_{1,k+3} = 0$ and we have $n - k - 1 > k + 1$ and so $(A^k)_{1,n-k-1} = 0$. Now by induction hypothesis $(A^k)_{1,k+1} = -(A^k)_{1,n-k+1}$ which completes the proof of part (a).

Proof of part (b) is similar. □

The following is an easy consequence of Lemma 2.

Corollary 3. (a) If m is even, then

$$\text{supp}(A^m) = \text{supp}(A^{m-2}) = \{1, 3, \dots, m - 1\} \cup \{n - 1, n - 3, \dots, m + 2\}.$$

(b) If m is odd, then

$$\text{supp}(A^m) = \text{supp}(A^{m-2}) = \{2, 4, \dots, m - 1\} \cup \{n, n - 2, \dots, m + 3\}.$$

Furthermore, $(A^m)_{1,j} = -(A^m)_{1,n-j+2} \pmod n$, for any $j \leq m - 1$.

Now we are ready to prove the main result.

Theorem 4. For any even $n \geq 4$, we have

$$q(C_n) = n/2 = \text{diam}(C_n).$$

Proof. Assume m is even; the other case is similar. First note that, according to Lemma 3, there is a real constant a_{m-2} such that

$$\text{supp}(A^m + a_{m-2}A^{m-2}) = \{1, 3, \dots, m - 3\} \cup \{n - 1, n - 3, \dots, m\} = \text{supp}(A^{m-4}).$$

Now using Lemma 2, one can find real constants a_{m-4}, \dots, a_2, a_0 such that

$$\text{supp}(A^m + a_{m-2}A^{m-2} + a_{m-4}A^{m-4} + \dots + a_2A^2 + a_0I) = \emptyset.$$

In other words, A satisfies in the polynomial $p(t) = t^m + a_{m-2}t^{m-2} + \dots + a_2t^2 + a_0$. This means that the minimal polynomial of A is of degree at most m . Therefore, A has at most m distinct eigenvalues. We have, thus, proved that $q(C_n) \leq m$.

On the other hand, since the diameter of C_n is m , no matrix in $\mathcal{S}(G)$ can satisfy in a polynomial of degree $< m$. Thus $q(C_n) \geq m$, which completes the proof. □

Theorem 4 is not true for odd cycles. According to Shaun's conclusion, we have

$$\text{diam}(C_n) \leq q(C_n) \leq \text{diam}(C_n) + 1. \quad (1)$$

We prove the following

Theorem 5. *For any odd $n \geq 3$, we have*

$$q(C_n) = \lceil n/2 \rceil + 1 = \text{diam}(C_n) + 1.$$

Proof. Assume $m = \lceil n/2 \rceil$. Suppose $A \in \mathcal{S}(C_n)$. It is not hard to see by induction on k that for any $k \leq m$ and for any polynomial $p(t)$ of degree k , we have

$$(p(A))_{i,i+k} = A_{i,i+1} A_{i+1,i+2} \cdots A_{i+k-1,i+k}, \quad \text{for all } i = 1, \dots, n-k.$$

In particular, if $p(t)$ is a polynomial of degree m , then we have

$$(p(A))_{1,m+1} = A_{1,2} A_{2,3} \cdots A_{m,m+1}. \quad (2)$$

On the other hand, if $q(C_n) = m$, then $p(A) = 0$, for some polynomial $p(t)$ of degree m . According to (2), then, we must have

$$A_{1,2} A_{2,3} \cdots A_{m,m+1} = 0,$$

which implies that at least one of the entries $A_{1,2}, A_{2,3}, \dots, A_{m,m+1}$ must be zero. But this contradicts the fact that $A \in \mathcal{S}(C_n)$. Thus $q(C_n) > m$. Noting (1) then will complete the proof. \square