

# Eigenvalues of the Partition Graphs

November 23, 2009

## 1 Bound for Max Clique in the Partition Graph

For positive integers  $n, k, \ell$  with  $n = k\ell$ , a *uniform  $k$ -partition of an  $n$ -set* is a partition of an  $n$ -set into  $k$  classes each of size  $\ell$ . If  $k$  does not divide  $n$ , it is not possible to have uniform  $k$ -partitions of an  $n$ -set. In this case, *almost-uniform partitions* are considered. For positive integers  $n, k, \ell$  with  $n = k\ell + r$  where  $0 \leq r < k$ , an almost-uniform  $k$ -partition of an  $n$ -set is a partition of an  $n$ -set into  $k$  classes, each of size  $\ell$  or  $\ell + 1$ .

Partitions  $P = \{P_1, P_2, \dots, P_k\}$  and  $Q = \{Q_1, Q_2, \dots, Q_k\}$  are called *qualitatively independent* if for all  $i, j \in \{1, \dots, k\}$

$$P_i \cap Q_j \neq \emptyset.$$

If  $P$  and  $Q$  are qualitatively independent  $k$ -partitions of an  $n$ -set then the characteristic vectors of  $P$  and  $Q$  could be two rows in a covering array with parameters  $CA(n, b, k)$ .

**1.1 Definition** (Partition Graph). *Let  $n, k, \ell$  be positive integers such that  $n = k\ell + r$  where  $0 \leq r < k \leq \ell$ . The partition graph  $P(n, k)$  is the graph whose vertex set is the set of all almost-uniform  $k$ -partitions of an  $n$ -set. Vertices are adjacent if and only if the corresponding partitions are qualitatively independent.*

The almost-uniform qualitative independence graphs are vertex transitive. The number of vertices in this graph is

$$|V(AUQI(n, k))| = AU(n, k) = \frac{1}{r!(k-r)!} \binom{n}{\ell} \binom{n-\ell}{\ell} \cdots \binom{n-(k-r-1)\ell}{\ell} \\ \binom{r(\ell+1)}{\ell+1} \binom{(r-1)(\ell+1)}{\ell+1} \cdots \binom{\ell+1}{\ell+1}.$$

A clique of size  $\omega$  in  $P(n, k)$  is a covering array with parameters  $CA(n, \omega, k)$ .

## 2 Ratio Bound

**2.1 Theorem.** *If  $X$  is an arc-transitive graph then*

$$\omega(X) \leq 1 - \frac{1}{\tau} \quad (2.1)$$

where  $\tau$  is the least eigenvalue.

Can use the bound

$$\omega(X) \leq 1 - \frac{1}{\tau'}$$

where  $\tau'$  is any negative eigenvalue of  $X$ .

The graph  $P(k^2 + i, k)$  with  $0 \leq i \leq k$  are arc-transitive.

## 3 $P(k^2, k)$

Two partitions  $P$  and  $Q$  in the vertex set of  $P(k^2, k)$  are adjacent if and only if every cell of  $P$  intersects every cell of  $Q$  in exactly one place.

**3.1 Lemma.** *The graph  $P(k^2, k)$  is arc-transitive.*

So the ratio bound for cliques holds. Next we find some eigenvalues of this graph by using an equitable partition.

Let  $S_{1,2}$  be the set of all partitions with 1, 2 in the same cell. Then  $\{S_{1,2}, V(P(k^2, k)) \setminus S_{1,2}\}$  is an equitable partition of the vertices in  $P(k^2, k)$ . It is equitable because it is the orbit partition of the group  $\text{Sym}(2) \times \text{Sym}(k^2 - 2)$ .

The quotient graph is

$$\begin{pmatrix} 0 & d \\ a & d - a \end{pmatrix}$$

where  $a = (k!)^{k-1}/k = d/k$  and  $d = (k!)^{k-1}$ .

The eigenvalues are  $d$  and  $-d/k$ . Putting these into the ratio bound we have that

$$\omega(P(k^2, k)) \leq 1 - \frac{d}{-d/k} = k + 1.$$

## 4 $P(k^2 + k, k)$

If two partitions  $P$  and  $Q$  are adjacent in  $P(k^2 + k, k)$  then each cell of  $P$  has intersection of size 2 with exactly one cell of  $Q$  and intersection of size 1 with all other cells of  $Q$ .

**4.1 Lemma.** *The graph  $P(k^2 + k, k)$  is arc-transitive.*

This means that the ratio bound for cliques hold for  $P(k^2 + k, k)$ ,

$$\omega(P(k^2 + k, k)) \leq 1 - \frac{d}{\tau}$$

where  $d$  is the degree of  $P(k^2 + k, k)$  and  $\tau$  is the least eigenvalue of  $P(k^2 + k, k)$ . Further for any eigenvalue  $\lambda$  with  $\tau \leq \lambda < 0$  it is true that

$$\omega(P(k^2 + k, k)) \leq 1 - \frac{d}{\tau} \leq 1 - \frac{d}{\lambda}.$$

This means that any negative eigenvalue will give a bound on the size of the maximum clique.

Let  $S_{1,2}$  be the set of all partitions with 1, 2 in the same cell. Then  $\{S_{1,2}, V(P(k^2 + k, k)) \setminus S_{1,2}\}$  is an equitable partition of the vertices in  $P(k^2 + k, k)$ . It is equitable because it is the orbit partition of the group  $\text{Sym}(2) \times \text{Sym}(k^2 + k - 2)$ .

The quotient graph is

$$\begin{pmatrix} a & d - a \\ \frac{d-a}{k+1} & d - \frac{d-a}{k+1} \end{pmatrix}$$

where  $a = (\frac{(k+1)!}{2})^{k-1}(k-1)!$  and  $d = (\frac{(k+1)!}{2})^k$ .

The eigenvalues are  $d$  and  $\frac{(k+2)a-d}{k+1}$ .

**4.2 Theorem.** *For  $k \geq 4$ , the maximum clique in  $P(k^2 + k, k)$  is no bigger than  $k + 2$ .*

*Proof.* By the ratio bound for cliques we have that

$$\begin{aligned} \omega(P(k^2 + k, k)) &\leq 1 - \frac{d}{\frac{(k+2)a-d}{k+1}} \\ &= 1 + \frac{(k+1)(k+1)!}{(k+1)! - 2k - 4} \end{aligned}$$

For  $k \geq 4$

$$\left\lfloor \frac{(k+1)(k+1)!}{(k+1)! - 2k - 4} \right\rfloor = k + 1.$$

□

**4.3 Corollary.** For  $n \leq k^2 + k$

$$\omega(P(n, k)) \leq k + 2$$

## 5 $P(k^2 + i, k)$ with $0 \leq i \leq k$

This is where some numbers are needed. I would like to know for which  $i$  is the bound on the clique size of  $P(k^2 + i, k)$  is  $k + 1$  and for which values of  $i$  the bound is  $k + 2$ .

The degree of  $P(k^2 + i, k)$  is

$$d = \left(\frac{k+i}{2}\right)_i \binom{k}{i} i! (k!)^{k-1}$$

(this should be checked)

The vertices of  $P(k^2 + i, k)$  are partitions of  $\{1, 2, \dots, k^2 + i\}$  with cells of size  $k$  or  $k + 1$ . There are 5 orbits from the action of  $\text{Sym}(2) \times \text{Sym}(k^2 + i - 2)$  on the vertices.

- a all partitions with 1 and 2 together in a cell of size  $k$ .
- b all partitions with 1 and 2 together in a cell of size  $k + 1$ .
- c all partitions with 1 and 2 in separate cells and both cells have size  $k$
- d all partitions with 1 and 2 in separate cells and both cells have size  $k + 1$
- e all partitions with 1 and 2 in separate cells and one cell has size  $k$  and the other cell has size  $k + 1$ .

## 6 TheQuotient Graph

The adjacency matrix for the quotient graph is:

$$\begin{pmatrix} 0 & 0 & \frac{(k-i)(k-i-1)}{k(k-1)} & \frac{i(i-1)}{k(k-1)} & 2 \frac{i(k-i)}{k(k-1)} \\ 0 & 2 \frac{1}{k(k+1)} & \frac{(k-i)(k-i-1)}{k(k+1)} & \frac{(i+2)(i-1)}{k(k+1)} & 2 \frac{(k-i)(i+1)}{k(k+1)} \\ \frac{k-i}{k^2} & \frac{i}{k^2} & \frac{(k-i)(k-i-1)}{k^2} & \frac{i(i-1)}{k^2} & 2 \frac{i(k-i)}{k^2} \\ \frac{k-i}{(k+1)^2} & \frac{i+2}{(k+1)^2} & \frac{(k-i)(k-i-1)}{(k+1)^2} & \frac{i^2+i-1}{(k+1)^2} & 2 \frac{(k-i)(i+1)}{(k+1)^2} \\ \frac{k-i}{k(k+1)} & \frac{i+1}{k(k+1)} & \frac{(k-i)(k-i-1)}{k(k+1)} & \frac{i^2-1}{k(k+1)} & \frac{(k-i)(2i+1)}{k(k+1)} \end{pmatrix}$$

The eigenvalues of this quotient matrix are 0, 1,  $-\frac{-k+i}{(k+1)k}$  and

$$-1/2 \left( \frac{k^4 - 2k^2 - i^2k - ik + k + i + i^2}{k^2(-1+k^2)(k+1)} \pm \frac{\sqrt{x}}{k^2(-1+k^2)(k+1)} \right)$$

with

$$\begin{aligned} x = & 9k^2 + i^2 + k^8 + 4k^7 - 6ik - 12k^4 - 10ik^2 + 2i^3k^2 + 24ik^3 - 17i^2k^2 + 14ik^4 + 2i^3 \\ & + 4i^3k - 8i^3k^3 + 14i^2k^4 + 2i^2k^5 - 8ik^6 - 14ik^5 - 6k^5 + i^4 + 4k^6 + i^4k^2 - 2i^4k \end{aligned}$$

**6.1 Theorem.** *The following bounds hold*

$$\begin{aligned} \omega(P(k^2 + k/2 - 1, k)) &\leq k + 1 \\ \omega(P(k^2 + k/2, k)) &\leq k + 2 \\ \omega(P(k^2 + k - 2, k)) &\leq k + 2 \\ \omega(P(k^2 + k - 3, k)) &\leq k + 3 \end{aligned}$$

*Proof.* Let  $i = k/2 - 1$  then the least eigenvalue from the above quotient graph is

$$\tau = -1/8 \frac{4k^3 - k^2 - 5k + 2 + \sqrt{16k^6 + 8k^5 + 89k^4 + 138k^3 - 235k^2 - 148k + 132}}{(k^2 - 1)(k + 1)k}.$$

Then

$$\begin{aligned}
\tau &< -1/8 \frac{4k^3 - k^2 - 5k + 2 + \sqrt{16k^6 + 8k^5 - 23k^4 - 22k^3 + 5k^2 - 12k + 4}}{(k^2 - 1)(k + 1)k} \\
&= -1/8 \frac{4k^3 - k^2 - 5k + 2 + 4k^3 + k^2 - 3k - 2}{(k^2 - 1)(k + 1)k} \\
&= -\frac{1}{k + 1}
\end{aligned}$$

if  $k > 1$ . By Inequality 2.1 we have that

$$\omega(P(k^2 + k/2 - 1, k)) \leq 1 - \frac{1}{\tau} < 1 - \frac{1}{\tau'} = k + 2.$$

for  $i = k/2$

$$-1/8 \frac{4k^3 - k^2 - 9k + 6 + \sqrt{16k^6 + 8k^5 - 7k^4 + 18k^3 - 59k^2 - 76k + 100}}{(k^2 - 1)(k + 1)k}$$

try something like:

$$\begin{aligned}
\tau &< -1/8 \frac{4k^3 - k^2 - 9k + 6 + \sqrt{16k^6 - 56k^5 - 87k^4 + 478k^3 - 131k^2 - 1020k + 900}}{(k^2 - 1)(k + 1)k} \\
&= -1/8 \frac{4k^3 - k^2 - 9k + 6 + 4k^3 - 7k^2 - 17k + 30}{(k^2 - 1)(k + 1)k}
\end{aligned}$$

if  $i = k - 2$  the least evalule is:

$$-1/2 \frac{k^4 - k^3 + 2k^2 - 4k + 2 + \sqrt{4 - 64k + 80k^2 - 12k^3 - 40k^4 + 28k^5 + 5k^6 - 2k^7 + k^8}}{(k^2 - 1)k^2(k + 1)}$$

for  $i := k - 1$ ;

$$-1/2 \frac{k^2 + \sqrt{k^4 + 16k + 16}}{(k + 1)^2 k}$$

which give a bound of  $k+3$  in Inequality 2.1. (Use the fact that  $k^4 + 16k + 16 < k^4$ .)