

TORIC VARIETIES

1. BACKGROUND MATERIAL I. AFFINE VARIETIES

Definition. An *affine variety* in \mathbb{C}^n is the common zero locus of a finite set of polynomials $f_1, \dots, f_p \in \mathbb{C}[X_1, \dots, X_n]$. If I is the ideal of $\mathbb{C}[X_1, \dots, X_n]$ generated by f_1, \dots, f_p , then we denote

$$\mathcal{V}(I) := \{x \in \mathbb{C}^n : f(x) = 0, \forall f \in I\}.$$

There are two basic questions which arise in this context: given two ideals $I_1, I_2 \subset \mathbb{C}[x_1, \dots, x_n]$, decide whether the varieties $\mathcal{V}(I_1)$ and $\mathcal{V}(I_2)$ are equal, respectively isomorphic (in an appropriate sense).

In order to answer the first question, we note that if we enlarge I to the ideal

$$\sqrt{I} := \{f \in \mathbb{C}[X_1, \dots, X_n] : f^m \in I \text{ for some } m \in \mathbb{Z}, m \geq 0\},$$

then the zero locus doesn't change, i.e.

$$\mathcal{V}(I) = \mathcal{V}(\sqrt{I}).$$

Obviously $\sqrt{I_1} = \sqrt{I_2} \Rightarrow \mathcal{V}(I_1) = \mathcal{V}(I_2)$. The converse is also true, in view of *Hilbert's Nullstellensatz*: first, we attach to each variety $V \subset \mathbb{C}^n$ the ideal

$$\mathcal{I}(V) := \{f \in \mathbb{C}[x_1, \dots, x_n] : f(x) = 0, \forall x \in V\};$$

then Hilbert's Nullstellensatz says that for any ideal $I \subset \mathbb{C}[X_1, \dots, X_n]$ we have

$$\mathcal{I}(\mathcal{V}(I)) = \sqrt{I}.$$

In other words, algebraic varieties in \mathbb{C}^n are in one-to-one correspondence with *radical ideals*, which are ideals I with the property $I = \sqrt{I}$.

Example. Consider the ideal $I = \langle X^2Y \rangle$ of $\mathbb{C}[X, Y]$. Then $\sqrt{I} = \langle XY \rangle$.

To answer the second question, we define a *morphism* between two algebraic varieties $V_1 \subset \mathbb{C}^n$ and $V_2 \subset \mathbb{C}^m$ as a polynomial function $\varphi : \mathbb{C}^n \rightarrow \mathbb{C}^m$ such that $\varphi(V_1) \subset V_2$. We attach to each variety V the *coordinate ring*

$$\mathbb{C}[V] = \mathbb{C}[X_1, \dots, X_n] / \mathcal{I}(V).$$

Every morphism $\varphi : V_1 \rightarrow V_2$ induces the \mathbb{C} -algebra homomorphism

$$\varphi^* : \mathbb{C}[V_2] \rightarrow \mathbb{C}[V_1], \quad \varphi^*([f]) = [f \circ \varphi].$$

Consequently, if V_1 and V_2 are isomorphic as varieties, then $\mathbb{C}[V_1]$ and $\mathbb{C}[V_2]$ are isomorphic as \mathbb{C} -algebras. The converse is also true, as the following proposition says.

Proposition 1.1. (a) For any \mathbb{C} -algebra homomorphism $F : \mathbb{C}[V_2] \rightarrow \mathbb{C}[V_1]$ there is a unique variety homomorphism $\varphi : V_1 \rightarrow V_2$ such that $F = \varphi^*$.

(b) Two affine varieties are isomorphic if and only if their coordinate rings $\mathbb{C}[V_1]$ and $\mathbb{C}[V_2]$ are isomorphic.

Proof. (a) We first prove the existence of φ . Consider the polynomials $\varphi_1, \dots, \varphi_m \in \mathbb{C}[X_1, \dots, X_n]$ such that the homomorphism

$$F : \mathbb{C}[Y_1, \dots, Y_m] / \mathcal{I}(V_2) \rightarrow \mathbb{C}[V_1] = \mathbb{C}[X_1, \dots, X_n] / \mathcal{I}(V_1)$$

has the form

$$F([f(Y_1, \dots, Y_m)]) = [f(\varphi_1, \dots, \varphi_m)].$$

Note that if $f(Y_1, \dots, Y_m) \in \mathcal{I}(V_2)$, then $f(\varphi_1, \dots, \varphi_m) \in \mathcal{I}(V_1)$. We claim that the polynomial function $\varphi := (\varphi_1, \dots, \varphi_m) : \mathbb{C}^n \rightarrow \mathbb{C}^m$ maps V_1 to V_2 . Indeed, for any $g(Y_1, \dots, Y_m) \in \mathcal{I}(V_2)$ we have $g(\varphi_1, \dots, \varphi_m) \in \mathcal{I}(V_1)$. Consequently, if $x^0 \in V_1$ then $(\varphi_1(x^0), \dots, \varphi_m(x^0))$ is in V_2 , because it lies in the kernel of g , where g was chosen arbitrary in $\mathcal{I}(V_2)$. Finally, the equation $F = \varphi^*$ is obvious.

In order to prove the uniqueness, we assume that φ and ψ are both homomorphisms $V_1 \rightarrow V_2$, such that $\varphi^* = \psi^*$, as maps $\mathbb{C}[V_2] = \mathbb{C}[Y_1, \dots, Y_m]/\mathcal{I}(V_2) \rightarrow \mathbb{C}[V_1] = \mathbb{C}[X_1, \dots, X_n]/\mathcal{I}(V_1)$. This implies that for any $f \in \mathbb{C}[Y_1, \dots, Y_m]$ we have $f \circ \varphi - f \circ \psi \in \mathcal{I}(V_1)$. Consequently, if we fix $x^0 \in V_1$, then for any $f \in \mathbb{C}[Y_1, \dots, Y_m]$ we have $f(\varphi(x^0)) = f(\psi(x^0))$. This implies $\varphi(x^0) = \psi(x^0)$.

(b) Because of the functoriality properties

$$\varphi^* \circ \psi^* = (\psi \circ \varphi)^*, \quad \text{id}^* = \text{id}$$

it is sufficient to show that if $\varphi : V \rightarrow V$ is a morphism such that $\varphi^* = \text{id}$, then $\varphi = \text{id}$. This follows from (a). \square

Example. The varieties defined by $xy = 1$, respectively $x^2 - y^2 = 1$ in \mathbb{C}^2 are isomorphic. Their coordinate rings are $\mathbb{C}[X, Y]/\langle XY - 1 \rangle$ and $\mathbb{C}[X, Y]/\langle X^2 - Y^2 - 1 \rangle$ are obviously isomorphic, e.g. via $x \mapsto X - Y, y \mapsto X + Y$.

Corollary 1.2. *There is a one-to-one correspondence between points in V and \mathbb{C} -algebra homomorphisms $\mathbb{C}[V] \rightarrow \mathbb{C}$.*

Proof. Let $x^0 = (x_1^0, \dots, x_n^0)$ be a point of V . The affine variety in \mathbb{C}^n described by all polynomials $\mathcal{I}(V)$ together with $X_1 - x_1^0, \dots, X_n - x_n^0$ consists of the point x^0 . Because the coordinate ring of the latter variety is

$$\mathbb{C}[X_1, \dots, X_n]/\langle \mathcal{I}(V), X_1 - x_1^0, \dots, X_n - x_n^0 \rangle = \mathbb{C},$$

the inclusion map $\{x^0\} \hookrightarrow V$ is a homomorphism of affine varieties, hence it induces a \mathbb{C} -algebra homomorphism¹ from $\mathbb{C}[V]$ to \mathbb{C} . Conversely, if $F : \mathbb{C}[V] \rightarrow \mathbb{C}$ is a \mathbb{C} -algebra homomorphism, we regard \mathbb{C} as $\mathbb{C}[X_1, \dots, X_n]/\langle X_1, \dots, X_n \rangle$, namely the coordinate ring of the point 0 in \mathbb{C}^n , and we deduce from the previous proposition that $F = \varphi^*$, where $\varphi : \{0\} \rightarrow V$ is a morphism of varieties. The point induced by F is then $x^0 := \varphi(0)$. \square

This corollary gives a direct relationship between the coordinate ring $\mathbb{C}[V]$ and the affine variety V . Formally, we can express this by saying

$$(1) \quad V = \text{Hom}_{\mathbb{C}\text{-alg}}(\mathbb{C}[V], \mathbb{C}),$$

where $\text{Hom}_{\mathbb{C}\text{-alg}}$ stands for the set of all \mathbb{C} -algebra homomorphisms. In turn, the latter can be identified with the space $\text{Specm}(\mathbb{C}[V])$ of all maximal ideals of $\mathbb{C}[V]$. This leads us to the abstract notion of *affine scheme* associated to an arbitrary commutative ring R . By definition, this is the space $\text{Spec}(R)$ of all *prime* ideals of R . We will not need anything of this in our treatment of toric varieties. For more details one can consult for instance [Cox1, section 1] (especially eq. (1.1) and the references), as well as [Ga, chapter 5] or [Ha, chapter 2].

¹Strictly speaking, this is just the natural map

$$\mathbb{C}[X_1, \dots, X_n]/\mathcal{I}(V) \rightarrow \mathbb{C}[X_1, \dots, X_n]/\langle \mathcal{I}(V), X_1 - x_1^0, \dots, X_n - x_n^0 \rangle$$

We will end up this section with an answer to the following question: among all \mathbb{C} -algebras characterize those which are coordinate rings of affine varieties. The answer is given in the following proposition (see [Su, Lecture 1, Proposition 1.1]).

Proposition 1.3. *A \mathbb{C} -algebra R is the coordinate ring of an affine variety if and only if R is a finitely generated algebra with no nonzero nilpotents (i.e. if $f \in R$ satisfies $f^m = 0$, then $f = 0$).*

Proof. First assume that $R = \mathbb{C}[X_1, \dots, X_n]/\mathcal{I}(V)$ for some affine variety $V \subset \mathbb{C}^n$. If $f \in \mathbb{C}[X_1, \dots, X_n]$ has the property that f^m is equal to 0 modulo $\mathcal{I}(V)$, then $f^m \in \mathcal{I}(V)$. Since $\mathcal{I}(V)$ is a radical ideal, we deduce that $f \in \mathcal{I}(V)$.

Conversely, if R is finitely generated, there exists a surjective homomorphism of \mathbb{C} -algebras $A : \mathbb{C}[X_1, \dots, X_n] \rightarrow R$. One can prove that $I := \ker A$ is a radical ideal of $\mathbb{C}[X_1, \dots, X_n]$. By Hilbert's Nullstellensatz, if we take the variety $V := \mathcal{V}(I)$, then $\mathcal{I}(V) = \sqrt{I}$, which is the same as I (since R has no nonzero nilpotents). Consequently, we can write R as $\mathbb{C}[X_1, \dots, X_n]/I(V)$. \square

2. FIRST EXAMPLES OF TORIC VARIETIES

We will start by looking at a few examples of affine varieties. They will arise in the following naive way. We start with a finitely generated ring R without nonzero nilpotents (see Proposition 1.3) and construct the variety $V = \text{Hom}_{\mathbb{C}\text{-alg}}(R, \mathbb{C})$ whose coordinate ring is R by expressing

$$R = \mathbb{C}[Y_1, \dots, Y_r]/I.$$

The variety we need is $V := \mathcal{V}(I) \subset \mathbb{C}^r$.

Examples. 1. Consider the ring $R = \mathbb{C}[X_1, X_1^{-1}, \dots, X_n, X_n^{-1}]$ of all Laurent polynomials in the variables X_1, \dots, X_n . We have the following presentation (in fact, ring isomorphism):

$$R \simeq \mathbb{C}[X_1, \dots, X_n, X_{n+1}]/\langle X_1 \dots X_{n+1} - 1 \rangle,$$

where $\mathbb{C}[X_1, \dots, X_{n+1}] \ni f(X_1, \dots, X_{n+1}) \mapsto f(X_1, \dots, X_n, X_1^{-1} \dots X_n^{-1})$. We deduce that the corresponding variety is

$$\mathcal{V}(X_1 \dots X_{n+1} - 1) \subset \mathbb{C}^{n+1}.$$

Note that there is a natural embedding of this variety into \mathbb{C}^n , given by

$$\mathcal{V}(X_1 \dots X_{n+1} - 1) \ni (x_1, \dots, x_n, x_{n+1}) \mapsto (x_1, \dots, x_n).$$

The image of this embedding is actually $(\mathbb{C}^*)^n$. This is why² the variety $\mathcal{V}(I)$ is called the complex n -dimensional torus. We note that there are some other embeddings of the variety $\mathcal{V}(X_1 \dots X_{n+1} - 1)$ into \mathbb{C}^n , whose image is also $(\mathbb{C}^*)^n$. For instance we have

$$\mathcal{V}(X_1 \dots X_{n+1} - 1) \ni (x_1, \dots, x_n, x_{n+1}) \mapsto (x_{i_1}^{\pm 1}, \dots, x_{i_n}^{\pm 1}),$$

for a choice of the indices $1 \leq i_1 < \dots < i_n \leq n + 1$ and of the signs \pm (see example 3 below).

Finally, it is useful to note that the ring R we started with can be expressed as

$$R = \mathbb{C}[S],$$

which means that R is the group ring of the semigroup S , where

$$S := \mathbb{Z}e_1 + \dots + \mathbb{Z}e_n = \mathbb{Z}_{\geq 0}e_1 \oplus \mathbb{Z}_{\geq 0}(-e_1) \oplus \dots \oplus \mathbb{Z}_{\geq 0}e_n \oplus \mathbb{Z}_{\geq 0}(-e_n).$$

²The point is that if we “complexify” the “real” torus $S^1 \times \dots \times S^1$ as a Lie group, the result is just $\mathbb{C}^* \times \dots \times \mathbb{C}^*$.

Here $e_1 = (1, 0, \dots, 0), \dots, e_n = (0, \dots, 0, 1)$ is the standard basis in \mathbb{R}^n . Indeed, by definition, the ring $\mathbb{C}[S]$ consists of all elements χ^u , $u \in S$, equipped with the multiplication

$$\chi^u \cdot \chi^v := \chi^{u+v},$$

$u, v \in S$. We just set $\chi^{e_i} = X_i$, $1 \leq i \leq n$.

2. This time we start with the semigroup

$$S = \mathbb{Z}_{\geq 0}e_1 \oplus \dots \oplus \mathbb{Z}_{\geq 0}e_k \oplus \mathbb{Z}e_{k+1} \oplus \dots \oplus \mathbb{Z}e_n$$

and the corresponding group ring

$$R := \mathbb{C}[S] = \mathbb{C}[X_1, \dots, X_k, X_{k+1}, X_{k+1}^{-1}, \dots, X_n, X_n^{-1}].$$

In order to describe the affine variety V whose coordinate ring is this, we use again the presentation

$$\mathbb{C}[S] \simeq \mathbb{C}[X_1, \dots, X_{n+1}] / \langle X_{k+1} \cdot \dots \cdot X_{n+1} = 1 \rangle$$

and obtain

$$V = \mathbb{C}^k \times (\mathbb{C}^*)^{n-k}.$$

Note that S can be described in terms of the cone

$$\sigma := \mathbb{R}_{\geq 0}e_1 \oplus \dots \oplus \mathbb{R}_{\geq 0}e_k \subset \mathbb{R}^n$$

as follows: it is the intersection of the dual cone³

$$\sigma^\vee := \{v \in \mathbb{R}^n : \langle v, u \rangle \geq 0, \forall u \in \sigma\}$$

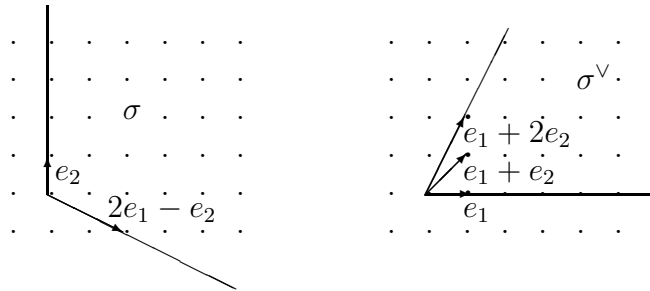
with the lattice \mathbb{Z}^n . In other words,

$$S = \sigma^\vee \cap \mathbb{Z}^n.$$

We write

$$U_\sigma = \mathbb{C}^k \times (\mathbb{C}^*)^{n-k}.$$

3. (see [Fu, section 1.1]) We consider the cone σ in \mathbb{R}^2 generated by e_2 and $2e_1 - e_2$.



The dual cone is

$$\sigma^\vee := \{v \in \mathbb{R}^2 : \langle v, u \rangle \geq 0, \forall u \in \sigma\}$$

A vector $v = v_1e_1 + v_2e_2$ leaves in σ^\vee if and only if

$$\langle v_1e_1 + v_2e_2, 2ye_1 + (x - y)e_2 \rangle = 2v_1y + v_2(x - y) = v_2x + (2v_1 - v_2)y \geq 0$$

³In this case we have $\sigma^\vee = \sigma$. But in general, this is not the case, as one can see in the next examples.

for any $x, y \geq 0$. This gives $v_2 \geq 0$ and $2v_1 - v_2 \geq 0$. Because

$$v_1 e_1 + v_2 e_2 = (2v_1 - v_2) \frac{1}{2} e_1 + v_2 \left(\frac{1}{2} e_1 + e_2 \right),$$

we deduce that σ^\vee is the cone in \mathbb{R}^2 generated by e_1 and $e_1 + 2e_2$. The semigroup $\sigma^\vee \cap \mathbb{Z}^n$ is

$$S_\sigma := \sigma^\vee \cap \mathbb{Z}^n = \mathbb{Z}_{\geq 0} e_1 + \mathbb{Z}_{\geq 0} (e_1 + e_2) + \mathbb{Z}_{\geq 0} (e_1 + 2e_2).$$

In order to obtain the affine variety corresponding to the group ring $\mathbb{C}[S]$, we express the latter in terms of generators and relations. The generators are

$$U := \chi^{e_1}, V = \chi^{e_1 + e_2}, W = \chi^{e_1 + 2e_2},$$

and the (obvious) relation is $V^2 = UW$. So

$$\mathbb{C}[S] = \mathbb{C}[U, V, W] / \langle V^2 - UW \rangle.$$

We deduce that the resulting affine variety, call it U_σ , is in \mathbb{C}^3 , described by $v^2 = uw$.

The next examples are more complicated, in the sense that we will start from a collection of cones, which is called a fan, then we will construct the varieties corresponding to each cone and we will glue those together in fairly natural way.

4. (see [Fu, section 1.1]) A simple example of a fan is given in the figure from below.

$$\begin{array}{ccc} \sigma_2 = \mathbb{R}_{\geq 0}(-e_1) & \sigma_0 = O & \sigma_1 = \mathbb{R}_{\geq 0}e_1 \\ \mathbb{C}[X^{-1}] & \mathbb{C}[X, X^{-1}] & \mathbb{C}[X] \end{array}$$

We have a collection of three cones, σ_0 , σ_1 , and σ_2 , with $\sigma_1 \cap \sigma_2 = \sigma_0$. To each of them we attach a variety by using the method exposed above. More precisely, we have $\sigma_1^\vee = \sigma_1$, so the semigroup S_{σ_1} is $\mathbb{Z}_{\geq 0} e_1$, hence $\mathbb{C}[S_{\sigma_1}]$ is the polynomial ring $\mathbb{C}[X]$, via

$$X = \chi^{e_1}.$$

If we use the latter convention, then $\mathbb{C}[S_{\sigma_2}] = \mathbb{C}[X^{-1}]$. Finally, $\sigma_0^\vee = \mathbb{R}$, hence the semigroup $S_{\sigma_0} := \sigma_0^\vee \cap \mathbb{Z} e_1 = \mathbb{Z} e_1$ is generated by e_1 and $-e_1$. Consequently, if we set $X_1 := \chi^{e_1}$, $X_2 := \chi^{-e_1}$, then we have

$$\mathbb{C}[S_{\sigma_0}] = \mathbb{C}[X_1, X_2] / \langle X_1 X_2 - 1 \rangle.$$

The corresponding varieties are

$$U_{\sigma_1} \simeq \mathbb{C}, \quad U_{\sigma_0} \simeq \mathbb{C}^*, \quad U_{\sigma_2} \simeq \mathbb{C}.$$

Now the obvious embedding $S_{\sigma_1} \hookrightarrow S_{\sigma_0}$ induces the natural inclusion

$$\mathbb{C}[X] = \mathbb{C}[S_{\sigma_1}] \hookrightarrow \mathbb{C}[S_{\sigma_0}] = \mathbb{C}[X_1, X_2] / \langle X_1 X_2 - 1 \rangle, \quad f(X) \mapsto f(X_1).$$

This gives the inclusion

$$\mathbb{C}^* = \mathcal{V}(X_1 X_2 - 1) \hookrightarrow \mathbb{C}, \quad (x_1, x_2) \mapsto x_1.$$

Similarly, the embedding $S_{\sigma_2} \hookrightarrow S_{\sigma_0}$ induces

$$\mathbb{C}[X^{-1}] = \mathbb{C}[S_{\sigma_2}] \hookrightarrow \mathbb{C}[S_{\sigma_0}] = \mathbb{C}[X_1, X_2] / \langle X_1 X_2 - 1 \rangle, \quad f(X^{-1}) \mapsto f(X_2).$$

This gives the inclusion

$$\mathbb{C}^* = \mathcal{V}(X_1 X_2 - 1) \hookrightarrow \mathbb{C}, \quad (x_1, x_2) \mapsto x_2 = x_1^{-1}.$$

We have obtained the following two embeddings of \mathbb{C}^* into \mathbb{C} :

$$U_{\sigma_0} = \mathbb{C}^* \hookrightarrow U_{\sigma_1} = \mathbb{C} \text{ via } x \mapsto x,$$

and

$$U_{\sigma_0} = \mathbb{C}^* \hookrightarrow U_{\sigma_2} = \mathbb{C} \text{ via } x \mapsto x^{-1}.$$

We consider the space X obtained by gluing U_{σ_1} and U_{σ_2} along U_{σ_0} . This means

$$X = \mathbb{C}_1 \amalg \mathbb{C}_2 / \sim$$

where \mathbb{C}_1 and \mathbb{C}_2 are two different copies of \mathbb{C} and the equivalence relation \sim is given by

$$x \sim y \text{ if } x = y \text{ or } x \in \mathbb{C}_1, y \in \mathbb{C}_2 \text{ and } y = x^{-1}.$$

We note that the space X can be naturally identified with

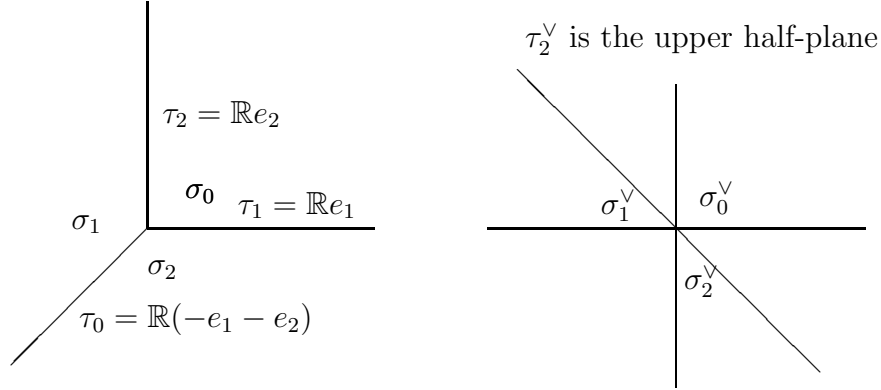
$$\mathbb{C}^2 / \mathbb{C} := \{\mathbb{C}(z_1, z_2) : (z_1, z_2) \in \mathbb{C}^2\}.$$

More precisely, the identification is given by

$$\mathbb{C}_1 \ni x \mapsto \mathbb{C}(x, 1), \quad \mathbb{C}_2 \ni x \mapsto \mathbb{C}(1, x).$$

The map is well-defined, because $\mathbb{C}(1, x) = \mathbb{C}(x^{-1}, 1)$. Finally, note that $\mathbb{C}^2 / \mathbb{C}$ represents the space of all complex lines in \mathbb{C}^2 , which is just the complex projective space \mathbb{P}^1 .

5. We consider the fan from below, consisting of the cones $\sigma_0, \sigma_1, \sigma_2$.



It is obvious that $\sigma_0^v = \sigma_0$. The cone σ_1 is generated by e_2 and $-e_1 - e_2$. A vector $v = v_1 e_1 + v_2 e_2$ leaves in σ_1^v if and only if

$$\langle v_1 e_1 + v_2 e_2, (x - y)e_2 - y e_1 \rangle = v_2(x - y) - v_1 y = v_2 x - (v_1 + v_2)y \geq 0$$

for all $x, y \geq 0$. This gives $v_2 \geq 0$ and $v_1 + v_2 \leq 0$. Since

$$v_1 e_1 + v_2 e_2 = v_2(e_2 - e_1) - (v_1 + v_2)(-e_1),$$

we deduce that σ_1^v is generated by $-e_1 + e_2$ and $-e_1$. Similarly (in fact, interchanging e_1 and e_2), we deduce that σ_2^v is generated by $e_1 - e_2$ and $-e_2$.

The semigroups S_{σ_0} , S_{σ_1} and S_{σ_2} (i.e. the intersections of σ_0^v , σ_1^v respectively σ_2^v with \mathbb{Z}^2) are generated by $\{e_1, e_2\}$, $\{-e_1, -e_1 + e_2\}$ and $\{-e_2, -e_2 + e_1\}$. Set

$$X_1 = \chi^{e_1}, X_2 = \chi^{e_2}.$$

Then

$$\mathbb{C}[S_{\sigma_0}] = \mathbb{C}[X_1, X_2], \quad \mathbb{C}[S_{\sigma_1}] = \mathbb{C}[X_1^{-1}, X_1^{-1}X_2], \quad \mathbb{C}[S_{\sigma_2}] = \mathbb{C}[X_1X_2^{-1}, X_2^{-1}].$$

Each of the corresponding affine varieties is \mathbb{C}^2 . Now we look at the affine variety corresponding to $\tau_2 = \mathbb{R}e_2$. The semigroup S_{τ_2} is generated by e_1, e_2 , and $-e_1$. Set

$$X_3 = \chi^{-e_1}, X_4 = \chi^{-e_2}.$$

We have

$$\mathbb{C}[S_{\tau_2}] = \mathbb{C}[X_1, X_2, X_3]/\langle X_1X_3 - 1 \rangle.$$

Similarly,

$$\mathbb{C}[S_{\tau_1}] = \mathbb{C}[X_1, X_2, X_4]/\langle X_1X_4 - 1 \rangle$$

and

$$\mathbb{C}[S_{\tau_0}] = \mathbb{C}[X_1^{-1}, X_1^{-1}X_2, X_1X_2^{-1}]/\langle (X_1^{-1}X_2)(X_1X_2^{-1}) - 1 \rangle.$$

Consider now the inclusion

$$\mathbb{C}[S_{\sigma_0}] \hookrightarrow \mathbb{C}[S_{\tau_2}], \quad f(X_1, X_2) \mapsto f(X_1, X_2, X_3).$$

This induces the inclusion

$$U_{\tau_2} = \mathcal{V}(X_1X_3 - 1) = \mathbb{C} \times \mathbb{C}^* \hookrightarrow \mathbb{C}^2 = U_{\sigma_0}, \quad (x_1, x_2, x_3) \mapsto (x_1, x_2).$$

Similarly, we obtain the inclusion

$$U_{\tau_2} = \mathcal{V}(X_1X_3 - 1) = \mathbb{C} \times \mathbb{C}^* \hookrightarrow \mathbb{C}^2 = U_{\sigma_1}, \quad (x_1, x_2, x_3) \mapsto (x_1^{-1}, x_1^{-1}x_2).$$

The other obvious inclusions can be described in a similar way. The induced gluings lead to the space

$$X = ((\mathbb{C}^2)_1 \amalg (\mathbb{C}^2)_2 \amalg (\mathbb{C}^2)_3) / \sim,$$

where $(\mathbb{C}^2)_1, (\mathbb{C}^2)_2, (\mathbb{C}^2)_3$ are three different copies of \mathbb{C}^2 and the equivalence relation \sim is defined as follows:

$$\begin{aligned} (\mathbb{C}^* \times \mathbb{C})_1 \ni (x_1, x_2) &\sim (x_1^{-1}, x_1^{-1}x_2) \in (\mathbb{C}^* \times \mathbb{C})_2 \\ (\mathbb{C} \times \mathbb{C}^*)_1 \ni (x_1, x_2) &\sim (x_1x_2^{-1}, x_2^{-1}) \in (\mathbb{C} \times \mathbb{C}^*)_3 \\ (\mathbb{C} \times \mathbb{C}^*)_2 \ni (x_1, x_2) &\sim (x_2^{-1}, x_1x_2^{-1}) \in (\mathbb{C}^* \times \mathbb{C})_3. \end{aligned}$$

Like in the previous example, there is a natural identification between X and the space

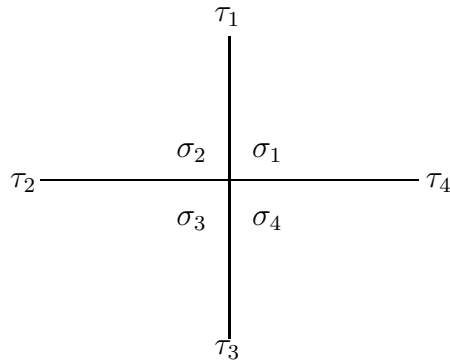
$$\mathbb{C}^3 \setminus \{0\} / \mathbb{C} = \{\mathbb{C}(z_1, z_2, z_3) : (z_1, z_2, z_3) \in \mathbb{C}^3 \setminus \{0\}\}.$$

This is given by

$$(\mathbb{C}^2)_1 \ni (x_1, x_2) \mapsto \mathbb{C}(x_1, x_2, 1), (\mathbb{C}^2)_2 \ni (x_1, x_2) \mapsto \mathbb{C}(1, x_2, x_1), (\mathbb{C}^2)_3 \ni (x_1, x_2) \mapsto \mathbb{C}(x_1, 1, x_2).$$

Finally we note that $\mathbb{C}^3 \setminus \{0\} / \mathbb{C}$ represents the space of all complex lines in \mathbb{C}^3 , which is the complex projective plane \mathbb{P}^2 .

6. We consider the fan in the figure from below.



We have $\sigma_i^\vee = \sigma_i$, $i = 1, 2, 3, 4$. If we set

$$X_1 = \chi^{e_1}, \quad X_2 = \chi^{e_2}, \quad X_3 = \chi^{-e_1}, \quad X_4 = \chi^{-e_2},$$

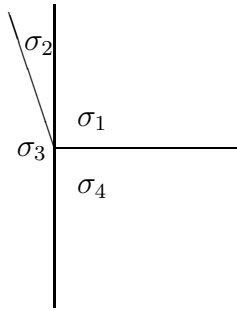
then

$$\mathbb{C}[S_{\sigma_1}] = \mathbb{C}[X_1, X_2], \quad \mathbb{C}[S_{\sigma_2}] = \mathbb{C}[X_2, X_3], \quad \mathbb{C}[S_{\sigma_3}] = \mathbb{C}[X_3, X_4], \quad \mathbb{C}[S_{\sigma_4}] = \mathbb{C}[X_4, X_1],$$

$$\mathbb{C}[S_{\tau_1}] = \mathbb{C}[X_1, X_2, X_3], \quad \mathbb{C}[S_{\tau_2}] = \mathbb{C}[X_2, X_3, X_4], \quad \mathbb{C}[S_{\tau_3}] = \mathbb{C}[X_3, X_4, X_1], \quad \mathbb{C}[S_{\tau_4}] = \mathbb{C}[X_4, X_1, X_2].$$

This shows that all U_{σ_i} are isomorphic to \mathbb{C}^2 and all U_{τ_i} are isomorphic to $\mathbb{C}^* \times \mathbb{C}$. By gluing U_{σ_1} and U_{σ_2} we obtain $\mathbb{P}^1 \times \mathbb{C}$ (where the coordinate on \mathbb{C} is X_2), and by gluing U_{σ_3} and U_{σ_4} we obtain again $\mathbb{P}^1 \times \mathbb{C}$ (this time the coordinate on \mathbb{C} is X_4). The remaining two gluings — of U_{σ_1} and U_{σ_4} , respectively U_{σ_2} and U_{σ_3} — are equivalent to the gluing of the two copies of \mathbb{C} from above, which gives another \mathbb{P}^1 . Consequently, the space we obtain after all gluings is $\mathbb{P}^1 \times \mathbb{P}^1$.

A slightly more complicated space is obtained from the following fan.



Here σ_2 is determined by $(0, 1)$ and $(-1, a)$, where a is a positive integer. The resulting space is called the Hirzebruch surface, usually denoted Σ_a , or \mathbb{F}_a . This turns out to be a smooth projective manifold, which has a natural structure of a \mathbb{P}^1 bundle over \mathbb{P}^1 (for more details, see [Fu, section 1.1] or [Gu, Example 2.2]).

3. BACKGROUND MATERIAL II: GLUING ALGEBRAIC VARIETIES

The gluing process we made use of in the previous section is a common procedure in the context of algebraic varieties. The goal of this section is to provide a few basic things needed to understand this construction. It is worth mentioning in advance (see the upcoming section ??) that a toric variety is in general obtained by gluing (affine) algebraic varieties, and the result will be an algebraic variety.

The main reference for this section are A. Gathmann's notes [Ga].

We return to the general setup from section 1. Let $V = \mathcal{V}(I) \subset \mathbb{C}^n$ be an affine variety. On V we can define the Zariski topology. By definition, the closed spaces in this topology are exactly the affine varieties contained in V . The sets

$$V_f := \{x \in V : f(x) \neq 0\}$$

where $f \in \mathbb{C}[X_1, \dots, X_n]$ are called principal open sets and they generate the Zariski topology.

Remarks about the Zariski topology. 1. Any two nonempty open sets have a nonempty intersection (in particular, the Zariski topology is not Hausdorff). To see that, just take two open sets of the form \mathbb{C}_f^n and \mathbb{C}_g^n in \mathbb{C}^n .

2. Any open subset in V is dense. In order to understand the idea of the proof, let us just show that \mathbb{C}_f^n is dense in \mathbb{C}^n . Take $x \in \mathbb{C}^n$ with $f(x) = 0$ and U an open set in \mathbb{C}^n which contains x . The subspace U is open in the usual topology as well, because the latter is finer than the Zariski topology. Consequently, U has intersection points with \mathbb{C}_f^n , because otherwise f would vanish on U , hence f would be identically 0.

Definition. 1. We say that V is an *irreducible* variety if we cannot write $V = V_1 \cup V_2$, where V_1 and V_2 are closed subsets of V , non-empty and different from X .

2. We say that V is *connected* if we cannot write $V = V_1 \cup V_2$, where V_1 and V_2 are closed subsets of V , $V_1 \cap V_2 = \emptyset$, V_1 and V_2 different from X

Remark. If V is irreducible, then V is connected, but not conversely. For example, $V = \mathcal{V}(X_1 X_2)$ in \mathbb{C}^2 is not irreducible, but it is connected. Indeed, the former assertion is clear; to prove the latter one, we just need to note that the Zariski topology is coarser than the usual topology, and V (the union of $\mathcal{V}(X_1)$ and $\mathcal{V}(X_2)$) is connected in the usual topology.

We have the following characterization of irreducible affine varieties.

Proposition 3.1. *Let $V \subset \mathbb{C}^n$ be an algebraic variety. The following assertions are equivalent.*

- (i) V is irreducible.
- (ii) $\mathcal{I}(V) \subset \mathbb{C}[X_1, \dots, X_n]$ is a prime ideal⁴.
- (iii) the coordinate ring $\mathbb{C}[V]$ is an (integral) domain.

Proof. For the proof of the equivalence (i) \Leftrightarrow (ii) one can see for instance [Ga, Lemma 1.3.4]. The equivalence (ii) \Leftrightarrow (iii) is obvious. \square

Examples. 1. The variety $\mathcal{V}(X^2 - Y^2) \subset \mathbb{C}^2$ is obviously not irreducible.

2. Consider the variety $V = \mathcal{V}(XY - ZV) \subset \mathbb{C}^4$. The polynomial $XY - ZV$ is prime, consequently the ideal $\langle XY - ZV \rangle$ is a radical ideal. This implies $\mathcal{I}(V) = \langle XY - ZV \rangle$. Again because the polynomial $XY - ZV$ is prime, we deduce that $\mathcal{I}(V)$ is a prime ideal, hence V is an irreducible variety.

Remark. One can show that any affine variety in \mathbb{C}^n is a disjoint union of finitely many irreducible varieties (see [Ga, Proposition 1.3.8]).

Our next goal is to define the structure sheaf of an affine variety. First, we need a definition.

Definition. Let U be an open subspace of the affine variety V . A function $\varphi : U \rightarrow \mathbb{C}$ is called *regular* if for any $x_0 \in U$ there exists an open neighbourhood U_{x_0} of x_0 in U and two polynomials $f, g \in \mathbb{C}[X_1, \dots, X_n]$ such that for any $x \in U_{x_0}$ we have $g(x) \neq 0$ and $\varphi(x) = \frac{f(x)}{g(x)}$.

Example. Take $V = \mathcal{V}(X_1 X_2 - X_3 X_4) \subset \mathbb{C}^4$ and $U = V_{x_2} \cup V_{x_3}$. The function $\varphi : U \rightarrow \mathbb{C}$ given by

$$\varphi(x) = \begin{cases} \frac{x_1}{x_3}, & \text{if } x_3 \neq 0 \\ \frac{x_4}{x_2}, & \text{if } x_2 \neq 0 \end{cases}$$

is regular.

We denote by

$$\mathcal{O}_V(U) := \{\varphi : U \rightarrow \mathbb{C} : \varphi \text{ is regular}\}.$$

⁴By definition, this means that if $f_1, f_2 \in \mathbb{C}[X_1, \dots, X_n]$ have the property $f_1 f_2 \in \mathcal{I}(V)$, then f_1 or f_2 are in $\mathcal{I}(V)$

This space has an obvious ring structure. It is called the ring of regular functions on U . We note that the assignment $\{U \subset V : U \text{ is open}\} \rightarrow \{\text{rings}\}, U \mapsto \mathcal{O}_V(U)$ is a sheaf of functions⁵ on V , in the sense that it (obviously) satisfies the following properties.

- S1. For any two open subspaces U_1, U_2 with $U_1 \subset U_2$, the set-theoretic restriction map $\varphi \mapsto \varphi|_{U_1}$ maps $\mathcal{O}_V(U_2)$ to $\mathcal{O}_V(U_1)$.
- S2. If $U \subset V$ is an open subspace, $\{U_i\}_{i \in I}$ an open cover of U and $\varphi_i \in \mathcal{O}_V(U_i)$, $i \in I$, such that $\varphi_i|_{U_i \cap U_j} = \varphi_j|_{U_i \cap U_j}$ for all $i, j \in I$, then there exists a unique $\varphi \in \mathcal{O}_V(U)$ such that $\varphi|_{U_i} = \varphi_i$, for all $i \in I$.

In general, a topological space X with a sheaf \mathcal{F} is called a ringed space. There exists a naturally defined notion of morphism of ringed space, which is a map satisfying the two conditions mentioned below in Proposition 3.2 (a). We can talk about the category of ringed spaces (for the details, see [Ga, Definition 2.3.1]).

The following result shows that an affine variety is determined uniquely by its structure sheaf.

Proposition 3.2. (a) *A map $f : V_1 \rightarrow V_2$ between the affine varieties V_1 and V_2 is a homomorphism in the sense defined in section 1 if and only if*

- *f is continuous, and*
- *for any open subset $U \subset V_2$ and any $\varphi \in \mathcal{O}_{V_2}(U)$, the function $f \circ \varphi : \varphi^{-1}(U) \rightarrow \mathbb{C}$ is in $\mathcal{O}_{V_1}(\varphi^{-1}(U))$.*

(b) *Consequently, two affine varieties V_1 and V_2 are isomorphic in the sense defined in section 1 if and only if the pairs (V_1, \mathcal{O}_{V_1}) and (V_2, \mathcal{O}_{V_2}) are isomorphic as ringed spaces.*

Proof. See [Ga, Lemma 2.3.7]. □

We are ready to define algebraic varieties.

Definition. A prevariety is a ringed space (X, \mathcal{O}_X) such that X has an open cover $\{V_i\}_{i \in I}$ with the property that for any $i \in I$, the pair $(V_i, \mathcal{O}_X|_{V_i})$ is isomorphic as a ringed space to an affine variety.

Alternatively, we can say that a prevariety is constructed by gluing affine varieties. More precisely, it arises from a collection $(\{V_i\}_{i \in I}, \{U_{ij}\}_{i, j \in I}, \{g_{ij}\}_{i, j \in I})$, where V_i is an affine variety, $U_{ij} \subset V_i$ is open and $g_{ij} : (U_{ij}, \mathcal{O}_{V_i}|_{U_{ij}}) \rightarrow (U_{ji}, \mathcal{O}_{V_j}|_{U_{ji}})$ is an isomorphism with the properties that

- $g_{ii} = \text{id}_{V_i}$,
- $g_{jk}|_{U_{jk} \cap U_{ji}} \circ g_{ij}|_{U_{ik} \cap U_{ij}} = g_{ik}|_{U_{ik} \cap U_{ij}}$ for all i, j, k

as follows. We set

$$(2) \quad X = \coprod_{i \in I} V_i / \sim,$$

where

$$V_i \ni x \sim y \in V_j \text{ if } x \in U_{ij} \text{ and } y = g_{ij}(x).$$

We equip X with the quotient topology and we patch the sheaves \mathcal{O}_{V_i} to produce a sheaf \mathcal{O}_X (for the details, see [Ga, section 2.4]).

⁵There exists many examples of sheaves in mathematics: continuous functions on a topological space, smooth functions on a manifold, sections of a vector bundle (this is, by the way, not a sheaf of functions, but rather a sheaf of sections) etc.

The next goal is to define the notion of variety. To this end we have to define first the notion of *product* of two varieties. First, if $V = \mathcal{V}(I) \subset \mathbb{C}^n$ and $W = \mathcal{V}(J) \subset \mathbb{C}^m$ are two affine varieties, then we can see that the set theoretic cartesian product $V \times W$ is the zero locus in $\mathbb{C}^n \times \mathbb{C}^m = \mathbb{C}^{n+m}$ of the polynomials $f(X_1, \dots, X_n) + g(Y_1, \dots, Y_m)$, where $f \in I$ and $g \in J$. In other words, the product of the varieties V and W is

$$V \times W = \mathcal{V}(\langle I + J \rangle).$$

Note that the Zariski topology on $V \times W$ is *not* the product of the Zariski topologies on V and W . Now assume that X and Y are two prevarieties, obtained from the gluing of the affine varieties $\{V_i\}_{i \in I}$, respectively $\{W_\alpha\}_{\alpha \in A}$. There is a natural way of gluing the affine varieties $\{V_i \times W_\alpha\}_{(i, \alpha) \in I \times A}$. Set theoretical, the resulting space is the cartesian product $X \times Y$. The latter will come equipped with a structure of a prevariety.

Definition. A prevariety X is called a variety if the diagonal map

$$\Delta : X \mapsto X \times X, \quad x \mapsto (x, x),$$

is a closed map⁶.

Remark. Any affine variety is a variety. To prove this, take $V = \mathcal{V}(I) \subset \mathbb{C}^n$. The image of Δ in $V \times V \subset \mathbb{C}^n \times \mathbb{C}^n$ is the joint zero locus of the polynomials

$$f(X_1, \dots, X_n), \text{ for } f \in I, X_1 - Y_1, \dots, X_n - Y_n.$$

The question is now, when is the prevariety X described by (2) a variety? The answer is given by the following proposition.

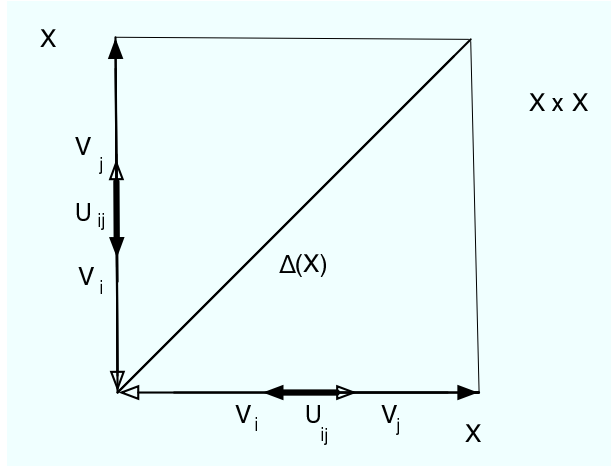


FIGURE 1. The product $X \times X$ is represented as a square.

Proposition 3.3. *If for any $i, j \in I$, the diagonal embedding⁷*

$$U_{ij} \hookrightarrow V_i \times V_j, \quad x \mapsto (x, x)$$

is closed⁸, then the prevariety X given by (2) is actually a variety.

⁶By this we just mean that the image of Δ in $X \times X$ is a closed subset.

⁷Strictly speaking, this is the map $(x, x) \mapsto (x, g_{ij}(x))$; but we assume that U_{ij} and U_{ji} have been identified.

⁸It may be important to note that $V_i \times V_j$ is the product of the affine varieties V_i and V_j , in the sense defined above.

Proof. We need to show that the space

$$(X \times X) \setminus \Delta(X) = \bigcup (V_i \times V_j) \setminus \Delta(U_{ij})$$

is open. But a union of open spaces is always open, which finishes the proof. \square

Now let us try to understand the gluings in examples 4 and 5 from the previous section by using the formalism just exposed. In example 4, we have the affine varieties $U_{\sigma_0} = \mathbb{C}_1$ and $U_{\sigma_1} = \mathbb{C}_2$, which are two copies of \mathbb{C} . Inside each of them we have \mathbb{C}^* , which is (Zariski) open – prove this!. More precisely, $\mathbb{C}_1^* \subset \mathbb{C}_1$ and $\mathbb{C}_2^* \subset \mathbb{C}_2$. We consider the map

$$g_{12} : \mathbb{C}_1^* \rightarrow \mathbb{C}_2^*, \quad x \mapsto x^{-1},$$

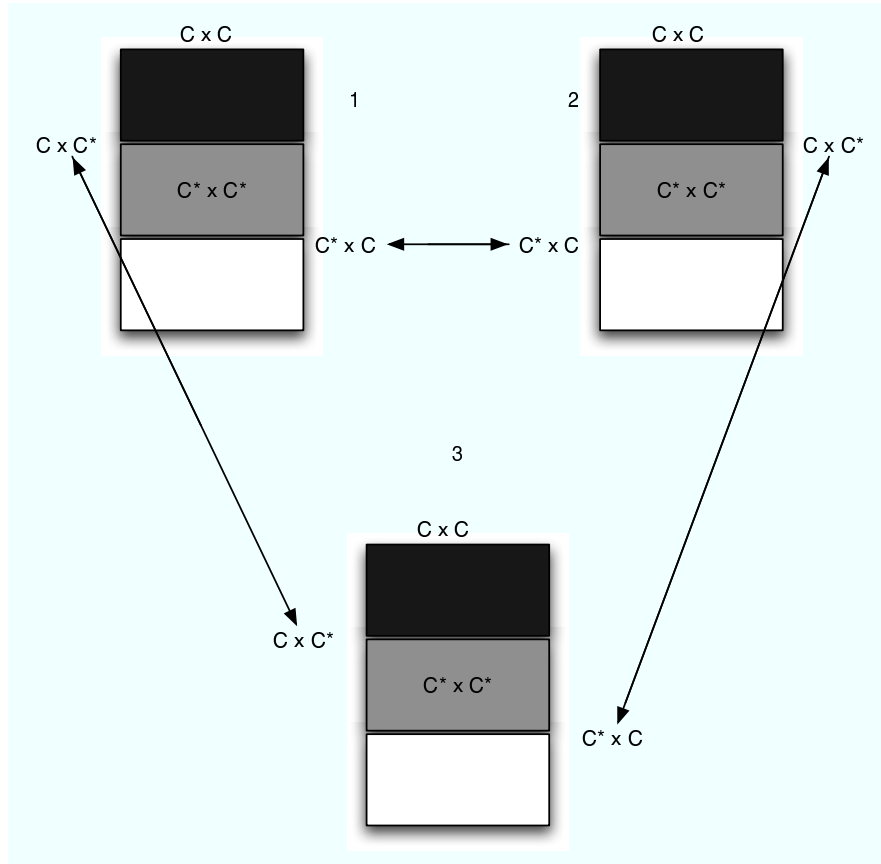
which is an isomorphism of varieties – prove this, too! The resulting space X is a prevariety. Now let us show that it is a variety, by applying Proposition 3.3. We need to show that the image of the map

$$\mathbb{C}^* \rightarrow \mathbb{C} \times \mathbb{C}, \quad x \mapsto (x, x^{-1})$$

is closed in $\mathbb{C} \times \mathbb{C}$ with respect to the direct product of the two Zariski topologies. This is true because the map

$$\mathbb{C} \times \mathbb{C} \rightarrow \mathbb{C}, \quad (x_1, x_2) \mapsto x_1 x_2$$

is continuous. Since the subspace $\{1\} \subset \mathbb{C}$ is closed, its preimage in $\mathbb{C} \times \mathbb{C}$ is closed as well.



As about example 5, let us just check that the maps

$$g_{12}(x_1, x_2) := (x_1^{-1}, x_1^{-1}x_2)$$

$$g_{13}(x_1, x_2) := (x_1x_2^{-1}, x_2^{-1})$$

$$g_{23}(x_1, x_2) := (x_2^{-1}, x_1x_2^{-1})$$

satisfy the compatibility condition $g_{12} = g_{13} \circ g_{23}$. This is an easy exercise. Also see the figure from above.

4. CONVEX POLYHEDRAL CONES

The objects mentioned in the title are discussed in this section. We will be following [Fu, section 1.2] and Lecture 1 of [Su] (written by D. Cox).

Let V be a finite dimensional vector space. A convex polyhedral cone in V is a set

$$\sigma = \text{Cone}(S) = \left\{ \sum_{i=1}^n r_i v_i : r_i \geq 0 \right\} \subset V,$$

where $S = \{v_1, \dots, v_s\}$ is a finite subset of V . We say that S generates σ . The dimension of σ is the dimension of the vector space $\mathbb{R}\sigma$. Let $V^* = \text{Hom}(V, \mathbb{R})$ be the dual vector space and consider the evaluation pairing

$$V^* \times V \rightarrow \mathbb{R}, \quad (u, v) \mapsto \langle u, v \rangle := u(v).$$

For each $u \in V^*$ we consider the hyperplane $H_u := \ker u$ and the closed half-space

$$H_u^+ := \{v \in V : \langle u, v \rangle \geq 0\}.$$

Definition. If $u \in V^*$ such that $\sigma \subset H_u^+$, we say that $\sigma \cap H_u$ is a face of σ .

The biggest face (in the sense of inclusion) of σ is σ itself. The smallest face is $\sigma \cap (-\sigma)$. We summarize a few results about faces in the following lemma.

Lemma 4.1. *If σ is a convex polyhedral cone, then we have:*

- a) every face of σ is a convex polyhedral cone,
- b) an intersection of two faces of σ is also a face of σ ,
- c) a face of a face of σ is also a face of σ .

For a proof, see [Su, lecture 1, Lemma 3.2]. A face τ such that $\dim \tau = \dim \sigma - 1$ is called a facet. Let us just record the following result (which is property (6), page 10 of [Fu] or Lemma 3.3 in [Su, Lecture 1]).

Lemma 4.2. *If σ is a convex polyhedral cone, then any face is the intersection of all facets which contain it.*

If $\sigma \subset V$ is a convex polyhedral cone, we consider the set

$$\sigma^\vee := \{u \in V^* \mid \langle u, v \rangle \geq 0, \forall v \in \sigma\},$$

which is called the dual of σ . This is obviously a cone in V^* . The next theorem says that it is actually a convex polyhedral one.

Theorem 4.3. (Farkas' Theorem) *If σ is a convex polyhedral cone, then so is its dual σ^\vee .*

Sketch of the proof (for more details, see the references mentioned at the beginning of the section).

Step 1. (Duality Theorem) For any closed convex cone $\sigma \in V$ we have $(\sigma^\vee)^\vee = \sigma$.

Step 2. Assume that $\sigma \neq V$ and let the facets of σ be $\tau_i = H_{u_i} \cap \sigma$, for $u_i \in V^*$ such that $\sigma \subset H_{u_i}^+$, $1 \leq i \leq s$. Then one shows that

$$\sigma = \bigcap_{i=1}^s H_{u_i}^+.$$

In other words, σ is an intersection of closed half-spaces⁹.

Step 3. The set $\text{Cone}(u_1, \dots, u_s)$ is a convex polyhedral cone in V^* and one shows that

$$\text{Cone}(u_1, \dots, u_s)^\vee = \bigcap_{i=1}^s H_{u_i}^+ = \sigma.$$

Final Step. From the duality theorem we deduce that

$$(3) \quad \sigma^\vee = \text{Cone}(u_1, \dots, u_s),$$

which is a convex polyhedral cone. □

It is important to characterize the faces of σ^\vee in terms of the faces of σ . This is described in the following proposition. First for any $\tau \subset V$ we denote

$$\tau^\perp := \{u \in V^* : \langle u, v \rangle = 0, \forall v \in \tau\}.$$

Proposition 4.4. *Let $\sigma \subset V$ be a convex polyhedral cone.*

- (i) *If τ is a face of σ , then $\sigma^\vee \cap \tau^\perp$ is a face of σ^\vee .*
- (ii) *The map $\tau \mapsto \sigma^\vee \cap \tau^\perp$ is a bijective, inclusion reversing, correspondence between the faces of σ and the faces of σ^\vee .*
- (iii) *$\dim \tau + \dim(\sigma^\vee \cap \tau^\perp) = \dim V$.*

From here on we make the assumption that $V = N \otimes_{\mathbb{Z}} \mathbb{R}$, where N is a lattice.

This means that N is a free abelian group of finite rank, i.e. isomorphic to \mathbb{Z}^n . If $M := \text{Hom}_{\mathbb{Z}}(N, \mathbb{Z})$ is the dual lattice, then $V^* = M \otimes_{\mathbb{Z}} \mathbb{R}$. Alternatively, one can say that M consists of all $u \in V^*$ with the property that $u(N) \subset \mathbb{Z}$. The pairing $\langle \cdot, \cdot \rangle$ from above is the \mathbb{R} -bilinear extension of the evaluation pairing $M \times N \rightarrow \mathbb{Z}$.

Definition. A convex polyhedral cone $\sigma \subset V$ is rational if $\sigma = \text{Cone}(S)$, where S is a finite subset of N .

Lemma 4.5. *If $\sigma \subset V$ is a rational polyhedral cone, then we have:*

- a) *any face of σ is rational,*
- b) *the cone $\sigma^\vee \subset V$ is rational.*

Proof. a) If $\sigma = \text{Cone}(S)$ and τ is a face of σ , then $\tau = \text{Cone}(S \cap \tau)$.

b) First assume that $\dim \sigma = \dim V =: n$. By equation (3), we have to show that any facet of σ can be written as $H_u \cap \sigma$, where $u \in M$ (i.e. $u(N) \subset \mathbb{Z}$). Indeed, a facet is of the form $\text{Cone}(S')$, where $S' \subset S \subset N$ has the property that $H := \text{Span}(S')$ is a hyperplane in V . There exists $v \in N \setminus H$. Choose $u \in M$ such that $u|_H$ is identically 0 and $\langle u, v \rangle = 1$. Then u takes integer values on n linearly independent vectors in N , thus $u(N) \subset \mathbb{Z}$. Finally, we have $H = \text{Span}(S') = H_u$, hence the facet is $H \cap \sigma = H_u \cap \sigma$.

Consider now the general case. Take $W := \text{Span}(\sigma)$, which is a proper vector subspace of V . The set $N_W := N \cap W$ is a free abelian group of finite rank (because it is finitely generated, namely by S), and we have $N_W \otimes_{\mathbb{Z}} \mathbb{R} = W$. From the previous paragraph, we know that the dual of σ in W , call it σ_W^\vee , is a *rational* polyhedral cone in W^* . If $r : V^* \rightarrow W^*$ is the restriction map, then $\sigma_W^\vee = \text{Cone}(S')$, where $S' \subset r(M) \subset W^*$ is finite. Assume that S'' is a subset of M which is mapped bijectively onto S' by r . One can easily see that

⁹This gives the following alternative definition: a convex polyhedral cone is an intersection of closed half-spaces.

$\sigma^\vee = r^{-1}(\sigma_W^\vee)$. This cone is obviously generated by $S'' \cup r^{-1}(0)$. Next, we can show that $\text{Span}(r^{-1}(0) \cap M) = r^{-1}(0)$: the idea is that if $W \subset \mathbb{R}^n$ is a k -dimensional vector subspace which has a basis consisting of elements in \mathbb{Z}^n , then the orthogonal subspace W^\perp also has a basis of that type¹⁰. We deduce that σ^\vee is generated as a cone by $S'' \cup (r^{-1}(0) \cap M)$. It only remains to note that $r^{-1}(0) \cap M$ is a finitely generated group (because $r(M)$ is finitely generated, namely by S' , and $r|_M : M \rightarrow r(M)$ is a group homomorphism). \square

We will be especially interested in the lattice points in σ^\vee , namely $\sigma^\vee \cap M$, which is a semigroup.

Proposition 4.6. (Gordan's Lemma) *If σ is a rational convex polyhedral cone, then the semigroup $S_\sigma := \sigma^\vee \cap M$ is finitely generated.*

Proof. By Lemma 4.5, we know that there exists $u_1, \dots, u_s \in \sigma^\vee \cap M$ which generate σ^\vee as a cone. Take $K := \{\sum t_i u_i : 0 \leq t_i \leq 1\}$, which is compact. Consequently the intersection $K \cap M$ is finite. We show that $K \cap M$ generates $\sigma^\vee \cap M$. Indeed, if $u \in \sigma^\vee \cap M$, we can write it as $u = \sum r_i u_i$, where $r_i \geq 0$. Write $r_i = m_i + t_i$, where $m_i \in \mathbb{Z}_{\geq 0}$ and $0 \leq t_i < 1$. This gives

$$(4) \quad u = \sum m_i u_i + \sum t_i u_i.$$

Both u and the first sum are in M , hence the second sum is in M . This means that it is actually in $K \cap M$. We deduce that (4) gives a decomposition of u as a linear combination of elements in $K \cap M$ with coefficients in $\mathbb{Z}_{\geq 0}$ (note that u_i is obviously in $K \cap M$). \square

The next result relates S_σ to S_τ , where $\tau \subset \sigma$ is a face.

Proposition 4.7. *If σ is a rational convex polyhedral cone in V and τ is a face of it, then there exists $u \in S_\sigma$ such that $\tau = H_u \cap \sigma$ and*

$$S_\tau = S_\sigma + \mathbb{Z}_{\geq 0}(-u).$$

Proof. By definition, we know that $\tau = H_u \cap \sigma$, for some $u \in V^*$. One can see that in fact u can be any vector in the relative interior of the dual face $\tau^\perp \cap \sigma^\vee$ (see Proposition 4.4).

Because that face is a rational cone, we can choose $u \in M$, and the first part of the statement is proved. To prove the second part, we note first that $-u \in \tau^\vee$, because $\langle u, \cdot \rangle$ vanishes on τ . Consequently, $-u \in \tau^\vee \cap M = S_\tau$, which implies $S_\sigma \cap \mathbb{Z}_{\geq 0}(-u) \subset S_\tau$.

To prove the inverse inclusion, we write $\sigma = \text{Cone}(S)$, where $S \subset N$ is a finite set. Let w be an arbitrary element in S_τ . Set

$$C := \max\{|\langle w, v \rangle| : w \in S \setminus H_u\}.$$

Claim. $w + Cu \in S_\sigma$.

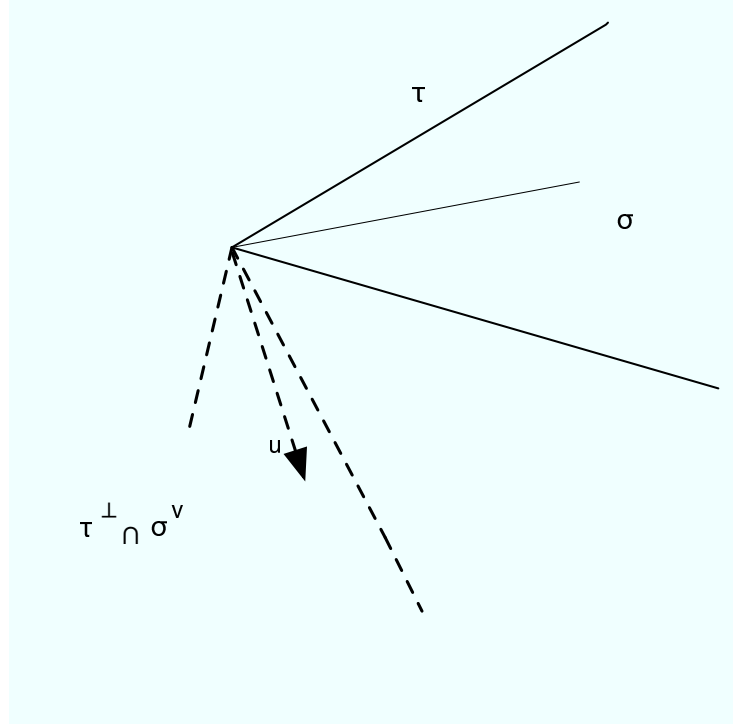
To prove the claim, we have to check that for any $v \in S$ it holds $\langle w + Cu, v \rangle \geq 0$. First we take $v \in S \setminus H_u$. We have

$$\langle w + Cu, v \rangle = \langle w, v \rangle + C\langle u, v \rangle \geq -C + C\langle u, v \rangle = C(\langle u, v \rangle - 1) \geq 0,$$

because $\langle u, v \rangle$ is an integer number which is positive (remember that $u \in S_\sigma$ and $v \in S$). Now, if $v \in H_u$, then $v \in H_u \cap \sigma = \tau$. It follows that

$$\langle w + Cu, v \rangle = \langle w, v \rangle \geq 0.$$

¹⁰Otherwise expressed, the space of solutions of a homogeneous system of linear equations with integer coefficients has a basis consisting of vectors with all entries integer



The claim implies that $w \in S_\sigma \cap \mathbb{Z}_{\geq 0}(-u)$, QED. \square

Another result which will be needed later is the following.

Proposition 4.8. *Let σ and σ' be rational convex polyhedral cones in V such that $\sigma \cap \sigma'$ is a face of both of them. Then we have*

$$S_{\sigma \cap \sigma'} = S_\sigma + S_{\sigma'}.$$

Sketch of the proof. (see [Su, Lecture 1, Prop.5.6]).

Denote $\tau = \sigma \cap \sigma'$. The most difficult step is to show that there exists $u \in \sigma^\vee \cap (-\sigma')^\vee \cap M$ such that $\tau = H_u \cap \sigma$ (see the figure in order to get a feeling why is that so).

Because $S_\sigma \subset S_\tau$ and $S_{\sigma'} \subset S_\tau$, we deduce that $S_\sigma + S_{\sigma'} \subset S_\tau$. We now prove the opposite inclusion. From Proposition 4.7, we have that

$$S_\tau = S_\sigma + \mathbb{Z}_{\geq 0}(-u).$$

But $-u \in (\sigma')^\vee \cap M = S_{\sigma'}$, which implies that

$$S_\tau = S_\sigma + \mathbb{Z}_{\geq 0}(-u) \subset S_\sigma + S_{\sigma'},$$

and the proof is finished. \square

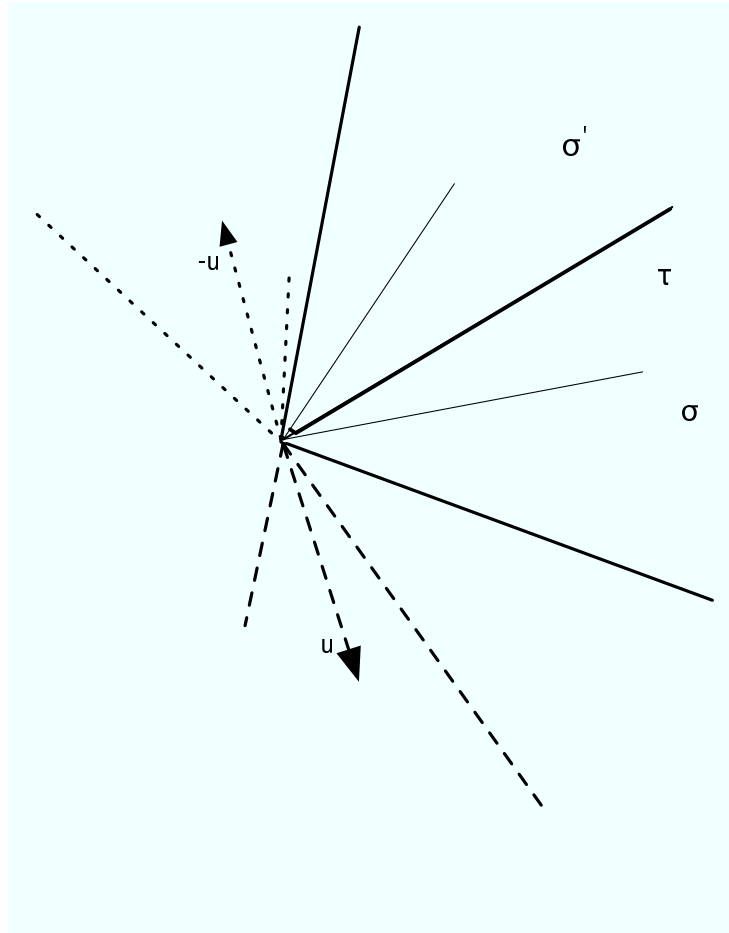
Remark. We note that under the hypotheses of the theorem one can show that there exists $u \in \sigma^\vee \cap (-\sigma')^\vee \cap M$ such that $\tau = H_u \cap \sigma$ and $\tau = H_u \cap \sigma'$. Then H_u is called a *separating hyperplane*.

At the end we say a few words about strongly convex polyhedral cones.

Definition. A convex polyhedral cone σ is called *strongly convex* if $\sigma \cap (-\sigma) = \{0\}$.

Proposition 4.9. *Let σ be a convex polyhedral cone. The following assertions are equivalent.*

1. σ is strongly convex.
2. σ contains no positive dimensional vector subspaces.



3. $\{0\}$ is a face of σ .
4. $\dim \sigma^\vee = n$.

The proof is fairly obvious (see also Proposition 4.4). Strongly convex polyhedral cones have a natural set of generators, as the next proposition states. First, one-dimensional faces of a convex polyhedral cone are called *edges*.

Proposition 4.10. *Let σ be a strongly convex polyhedral cone with edges ρ_1, \dots, ρ_s . Pick $v_i \in \rho_i \setminus \{0\}$. Then we have:*

- a) $\sigma = \text{Cone}\{v_1, \dots, v_s\}$,
- b) $\{v_1, \dots, v_s\}$ is a minimal generating set for σ , in the sense that if $\sigma = \text{Cone}(T)$, then there exist numbers $\lambda_i > 0$ such that $\{\lambda_1 v_1, \dots, \lambda_m v_m\} \subset T$.

Sketch of the proof. (a) We look at the facets of σ^\vee , which by Proposition 4.4, are $\sigma^\vee \cap \rho_i^\perp = \sigma^\vee \cap H_{v_i}$, $1 \leq i \leq m$. By the proof of Theorem 4.3, we have that $\sigma^\vee = \bigcap_{i=1}^m H_{v_i}^+$ (note that $\langle \sigma^\vee, v_i \rangle \geq 0$), and

$$\sigma = \text{Cone}\{v_1, \dots, v_s\}.$$

(b) Suppose that $\sigma = \text{Cone}(T)$. Then $\rho_i = \text{Cone}(\rho_i \cap T)$. This implies that T contains an element of ρ_i , which is a positive multiple of v_i . \square

5. AFFINE TORIC VARIETIES

Like in the previous section, we consider a lattice N , then we take the dual lattice $M = \text{Hom}_{\mathbb{Z}}(N, \mathbb{Z})$ and the vector spaces $V = N \otimes_{\mathbb{Z}} (R)$, and also V^* . We fix a rational strongly convex polyhedral cone $\sigma \subset V$. We consider the semigroup $S_{\sigma} = \sigma^{\vee} \cap M$ and the group ring

$$A_{\sigma} := \mathbb{C}[S_{\sigma}].$$

As already mentioned in section 2, this consists of all linear combinations of formal powers of the form χ^u , where $u \in S_{\sigma}$ and χ is a formal variable.

Lemma 5.1. *The \mathbb{C} -algebra A_{σ} is finitely generated, it is a domain, and has no nonzero nilpotents.*

Proof. The first assertion follows from the fact that S_{σ} is a finitely generated semigroup (see Proposition 4.6). To prove the rest of the lemma, we note that $\{0\}$ is a face of σ . This implies that S_{σ} is a subsemigroup of $S_{\{0\}}$, hence A_{σ} is a subalgebra of $A_{\{0\}}$. But $S_{\{0\}}$ is the whole M . Let $\{e_1, \dots, e_n\}$ be a basis of N and $\{e_1^*, \dots, e_n^*\}$ the dual basis of M . As a semigroup, S_0 has the basis $\{e_1^*, -e_1^*, \dots, e_n^*, -e_n^*\}$. Then $A_{\{0\}} = \mathbb{C}[S_0]$ is just the algebra $\mathbb{C}[X_1, X_1^{-1}, \dots, X_n, X_n^{-1}]$ of Laurent polynomials, where $X_i := \chi^{e_i^*}$. We only have to note that $\mathbb{C}[\{X_i\}, \{X_i^{-1}\}]$ is a domain (i.e. it has no divisors of zero) and has no nonzero nilpotents. Consequently any of its subalgebras is a domain and has no nonzero nilpotents. \square

By Propositions 1.3 and 1.1, there exists a unique affine variety, call it U_{σ} , which is irreducible (see Proposition 3.1), and whose coordinate ring is A_{σ} . By Corollary 1.2, U_{σ} can be identified with $\text{Hom}_{\mathbb{C}\text{-alg}}(A_{\sigma}, \mathbb{C})$. But one can easily see that this is the same as¹¹ $\text{Hom}_{\text{sgp}}(S_{\sigma}, \mathbb{C})$, where Hom_{sgp} stands for the space of all semigroup homomorphisms, and \mathbb{C} is regarded as a semigroup with respect to the multiplication.

Examples. 1. Take the cone $\{0\} \subset V = \mathbb{R}^n$. Then $S_{\{0\}}$ is the whole M . Consequently, we have $U_{\{0\}} = \text{Hom}_{\text{sgp}}(M, \mathbb{C})$. This is the same as the space $\text{Hom}_{\mathbb{Z}}(M, \mathbb{C}^*)$ of all group homomorphisms $M \rightarrow \mathbb{C}^*$. This implies that

$$U_{\{0\}} = \text{Hom}_{\mathbb{Z}}(M, \mathbb{C}^*) = (\mathbb{C}^*)^n,$$

which is the complex torus (see section 2, example 1). Note that we can also write

$$U_{\{0\}} = \text{Hom}_{\mathbb{Z}}(M, \mathbb{C}^*) = \text{Hom}_{\mathbb{Z}}(M, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{C}^* = N \otimes_{\mathbb{Z}} \mathbb{C}^* =: T_N.$$

2. To the cone $\sigma = \mathbb{R}_{\geq 0}e_1 + \dots + \mathbb{R}_{\geq 0}e_n$ in $V = \mathbb{R}^n$ corresponds $\sigma^{\vee} = \mathbb{R}_{\geq 0}e_1^* + \dots + \mathbb{R}_{\geq 0}e_n^* \subset V^*$. Hence S_{σ} has a basis consisting of e_1^*, \dots, e_n^* . We have $U_{\sigma} = \text{Hom}_{\text{sgp}}(S_{\sigma}, \mathbb{C})$, which is obviously \mathbb{C}^n . Note that there is a natural embedding $(\mathbb{C}^*)^n = U_{\{0\}} \subset U_{\sigma} = (\mathbb{C})^n$.

One may also want to look again at the examples 2 and 3 in section 2.

Next we will discuss explicit descriptions of U_{σ} by polynomial equations. In other words, we need a presentation of A_{σ} in terms of generators and relations. Let $\{u_1, \dots, u_k\} \subset S_{\sigma}$ be a set of generators. Then $Y_1 := \chi^{u_1}, \dots, Y_k := \chi^{u_k}$ generate A_{σ} . Relations involving Y_1, \dots, Y_k are induced by equations of the form

$$\sum_{i=1}^k a_i u_i = \sum_{i=1}^k b_i u_i,$$

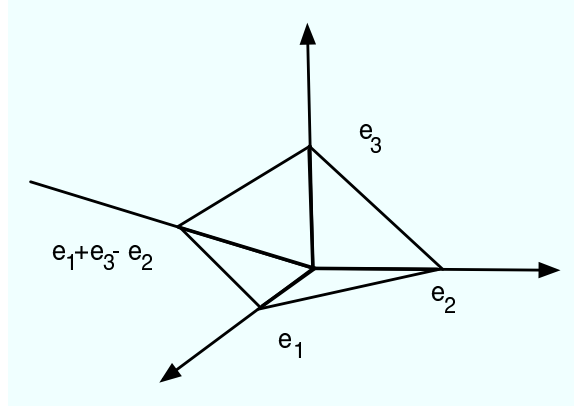
¹¹More precisely, to $\alpha : S_{\sigma} \rightarrow \mathbb{C}$ corresponds the morphism $A_{\sigma} \rightarrow \mathbb{C}$, $\chi^u \mapsto \alpha(u)$.

where $a_i, b_i \in \mathbb{Z}_{\geq 0}$. The induced relation is obviously

$$(5) \quad \prod_{i=1}^k Y_i^{a_i} - \prod_{i=1}^k Y_i^{b_i} = 0.$$

These generate the ideal of relations of the affine variety $U_\sigma \subset \mathbb{C}^k$.

Example. Let $\sigma \subset \mathbb{R}^3$ be the cone generated by e_1, e_2, e_3 , and $e_1 + e_3 - e_2$. Then σ^\vee is



generated by $e_1^*, e_3^*, e_1^* + e_2^*$, and $e_2^* + e_3^*$ (note that $e_1 + e_2$ is perpendicular to e_3 and $e_1 + e_3 - e_2$; also, $e_2 + e_3$ is perpendicular to e_1 and $e_1 + e_3 - e_2$; in this way we obtain edges of σ^\vee). We deduce that the generators of the ring A_σ are $Y_1 := \chi^{e_1^*}, Y_2 = \chi^{e_2^*}, Y_3 = \chi^{e_1^* + e_2^*}, Y_4 = \chi^{e_2^* + e_3^*}$. In order to find the relations among those, we note that

$$e_1^* + (e_2^* + e_3^*) = e_3^* + (e_1^* + e_2^*)$$

which gives $Y_1 Y_4 = Y_2 Y_3$. Consequently, we have

$$U_\sigma = \mathcal{V}(Y_1 Y_4 - Y_2 Y_3) \subset \mathbb{C}^4.$$

Note that the point 0 on U_σ is a “singularity” (i.e. a singular point of the function $\mathbb{C}^4 \rightarrow \mathbb{C}, (y_1, y_2, y_3, y_4) \mapsto y_1 y_4 - y_2 y_3$).

The next result will relate the varieties U_σ and U_τ , where τ is a face of σ . In order to prove this relationship (see Proposition 5.3 below) we need to make some general considerations. Let $V \subset \mathbb{C}^n$ be an affine variety, and let $\mathbb{C}[V] = \mathbb{C}[X_1, \dots, X_n]/\mathcal{I}(V)$ be its coordinate ring. This consists of cosets $[f]$, where $f \in \mathbb{C}[X_1, \dots, X_n]$. We assume that V is irreducible, which implies that $\mathbb{C}[V]$ is a domain. If $[f] \in \mathbb{C}[V]$ is nonzero, we consider the *localization*¹² of $\mathbb{C}[V]$ at $[f]$, which is

$$\mathbb{C}[V]_{[f]} := \left\{ \frac{[g]}{[f]^n} : n \geq 0 \text{ and } [g] \in \mathbb{C}[V] \right\}.$$

This has an obvious ring structure. It is (finitely) generated by the generators of $\mathbb{C}[V]$ together with $\frac{1}{[f]}$. It has obviously no nonzero nilpotents. According to Proposition 1.3, there exists an affine variety whose coordinate ring is $\mathbb{C}[V]_{[f]}$. The next lemma shows that this variety is just the principal open set V_f (see section 3 for the definition of this).

Proposition 5.2. *If V is an affine variety and $[f] \in \mathbb{C}[V]$ is different from 0, then the principal open set $V_f := \{x \in V : f(x) \neq 0\}$ is an affine variety whose coordinate ring is the localized ring $\mathbb{C}[V]_{[f]}$. If we identify $V = \text{Hom}_{\mathbb{C}\text{-alg}}(\mathbb{C}[V], \mathbb{C})$, and $V_f = \text{Hom}_{\mathbb{C}\text{-alg}}(\mathbb{C}[V]_{[f]}, \mathbb{C})$*

¹²This is actually a subring of the field of fractions of the domain $\mathbb{C}[V]$.

(see Corollary 1.2), then the inclusion $V_f \subset V$ is just the restriction map induced by the obvious inclusion $\mathbb{C}[V] \subset \mathbb{C}[V]_{[f]}$ (see Proposition 1.1).

Proof. We identify V_f with the set

$$\{(x_1, \dots, x_n, x_{n+1}) \in \mathbb{C}^{n+1} : g(x_1, \dots, x_n) = 0, \forall g \in \mathcal{I}(V) \text{ and } x_{n+1}f(x_1, \dots, x_n) = 1\},$$

and it becomes an affine variety in \mathbb{C}^{n+1} . The coordinate ring of the latter variety is the quotient of $\mathbb{C}[X_1, \dots, X_{n+1}]$ by the ideal generated by $g(X_1, \dots, X_n)$, $g \in \mathcal{I}(V)$ and $X_{n+1}f(X_1, \dots, X_n) - 1$. We identify the latter with $\mathbb{C}[V]_{[f]}$ via

$$\mathbb{C}[V_f] \ni [F(X_1, \dots, X_n, X_{n+1})] \mapsto F(X_1, \dots, X_n, \frac{1}{[f]}) \in \mathbb{C}[V]_{[f]}.$$

□

Example. Take $V = \mathbb{C}^n$ and $f = X_1 \dots X_n$. We have

$$\mathbb{C}[V] = \mathbb{C}[X_1, \dots, X_n], \quad \mathbb{C}[V]_{X_1 \dots X_n} = \mathbb{C}[X_1, X_1^{-1}, \dots, X_n, X_n^{-1}].$$

According to what we said above, $V_f = \mathcal{V}(X_1 \dots X_n X_{n+1} - 1) \subset \mathbb{C}^{n+1}$, which is just the torus $(\mathbb{C}^*)^n$ (see Example 1, section 2).

Now let us consider a rational convex polyhedral cone σ and $\tau \subset \sigma$ a face of it. Because $S_\sigma \subset S_\tau$, we obtain the embedding

$$(6) \quad \text{Hom}_{\text{sgp}}(S_\tau, \mathbb{C}) = U_\tau \hookrightarrow U_\sigma = \text{Hom}_{\text{sgp}}(S_\sigma, \mathbb{C}),$$

given by the restriction map.

Proposition 5.3. *The inclusion (6) makes U_τ into a principal open subset of U_σ .*

Proof. By Proposition 4.7, we have

$$S_\tau = S_\sigma + \mathbb{Z}_{\geq 0}(-u_0),$$

for some $u_0 \in S_\sigma$. We deduce that the ring $\mathbb{C}[S_\tau] = \text{Span}_{\mathbb{R}}\{\chi^u : u \in S_\tau\}$ coincides with the localized ring $\mathbb{C}[S_\sigma]_{\chi^{u_0}}$. In other words, A_τ is the localization of A_σ at χ^{u_0} . We use Proposition 5.2. □

Example. The prototypical situation is described by $\sigma = \mathbb{R}_{\geq 0}e_1 + \dots + \mathbb{R}_{\geq 0}e_n$ like in example 2 from above, and $\tau = \{0\}$ like in example 1. Then $U_{\{0\}} = (\mathbb{C}^*)^n$ is the principal open subset of $U_\sigma = \mathbb{C}^n$ corresponding to $f = X_1 \dots X_n$.

We note that in the previous example, $U_{\{0\}} = (\mathbb{C}^*)^n$ has an obvious group structure given by componentwise multiplication. This group acts on $U_\sigma = \mathbb{C}^n$ and the embedding $U_{\{0\}} \subset U_\sigma$ is equivariant. We will see next that this is a general situation:

Proposition 5.4. *If V is an affine toric variety then there exists a complex torus $(\mathbb{C}^*)^n$ which is contained in V as an open subset such that the action of $(\mathbb{C}^*)^n$ on itself by left multiplication can be extended to an algebraic action¹³ on the whole V .*

Proof. Let U_σ be the toric variety associated to an arbitrary rational strongly convex polyhedral cone. We saw that $U_{\{0\}} = \text{Hom}_{\text{sgp}}(M, \mathbb{C}) = \text{Hom}_{\mathbb{Z}}(M, \mathbb{C}^*) = T_N$ is a complex torus which, by Proposition 5.3, is contained in U_σ as an open set. The action of T_N on itself by left multiplication is described as follows: if $t_1, t_2 \in \text{Hom}_{\mathbb{Z}}(M, \mathbb{C}^*)$, then

$$t_1 \cdot t_2(u) := t_1(u)t_2(u).$$

¹³A group action on an affine variety is called *algebraic* if it is done by morphisms of varieties (in the sense defined in section 1).

The torus T_N acts on $U_\sigma = \text{Hom}_{\text{sgp}}(S_\sigma, \mathbb{C})$ as follows: if $t \in T_N$ and $x \in U_\sigma$, then $t \cdot x : S_\sigma \rightarrow \mathbb{C}$ is defined by

$$(7) \quad t \cdot x(u) := t(u)x(u), \quad u \in S_\sigma.$$

It is obvious that the inclusion $T_N \hookrightarrow U_\sigma$ is T_N -equivariant.

We show that the action of T_N on U_σ is induced by a \mathbb{C} -algebra endomorphism of $A_\sigma = \mathbb{C}[S_\sigma]$ — by using Proposition 1.1 we deduce that the action is algebraic. Regard $t \in T_N$ as a \mathbb{C} -algebra homomorphism $t : \mathbb{C}[M] \rightarrow \mathbb{C}$. It induces the endomorphism $A_\sigma \rightarrow A_\sigma$ given by $t.a := t^{-1}(a)a$, for all $a \in A_\sigma$ (note that $A_\sigma \subset \mathbb{C}[M]$). The endomorphism induced on $\text{Hom}_{\mathbb{C}\text{-alg}}(A_\sigma, \mathbb{C})$ is

$$(t.x)(a) := x(t^{-1}.a) = x(t(a)a) = t(a)x(a),$$

which is exactly the one given by (7). \square

Finally, we will show that any affine toric variety is normal. In order to define the notion of normal affine variety, we recall that an integral domain R with field of fractions K is said *integrally closed* if

$$[k \in K \text{ and } f \in R[x] \setminus \{0\} \text{ is monic such that } f(k) = 0] \Rightarrow k \in R.$$

Example. The polynomial ring $\mathbb{C}[X_1, \dots, X_n]$ is integrally closed (this is an easy exercise).

Definition. We say that an (irreducible) affine variety V is *normal* if $\mathbb{C}[V]$ is integrally closed.

Example. See [Su, Lecture 1, Exercise 1.10] for an example of a variety which is not normal.

We just mention the following result.

Proposition 5.5. *For any rational strongly convex polyhedral cone $\sigma \subset V$, the semigroup ring $\mathbb{C}[S_\sigma]$ is integrally closed. Consequently any affine toric variety U_σ is normal.*

For a proof one can see for instance [Su, Lecture 2, proof of Proposition 3.2].

6. GENERAL TORIC VARIETIES

We have already presented in section 2 a few examples of varieties of the type mentioned in the title (see examples 4,5 and 6 in that section). They are constructed starting from what is called a fan. In order to define this notion, we place ourselves again in the context described in section 4. More precisely, we consider a lattice $N \simeq \mathbb{Z}^n$ of dimension n , $V = N \otimes_{\mathbb{Z}} \mathbb{R}$ the associated vector space and V^* the dual vector space, which is the same as $M \otimes_{\mathbb{Z}} \mathbb{R}$, for $M = \text{Hom}_{\mathbb{Z}}(N, \mathbb{Z})$.

Definition. A *fan* in N is a finite non-empty collection Δ of rational strongly convex polyhedral cones with the following properties:

- (a) each face of a cone in Δ belongs to Δ
- (b) the intersection of any two cones in Δ is a face of both.

Example. An important example of a fan is any strongly rational convex polyhedral cone σ . To be more precise, the set of all faces of σ satisfies the conditions from above.

We note that a fan contains a certain number of *maximal* cones (in the sense of the inclusion). Their union is the same as the union of all cones of the fan.

If Δ is a fan, we associate to it the prevariety denoted $X(\Delta)$ as follows. For any $\sigma, \sigma' \in \Delta$, the intersection $\sigma \cap \sigma'$ is (not empty and) a face of both σ and σ' . Consequently, the variety

$U_{\sigma \cap \sigma'}$ is an open subspace of both U_σ and $U_{\sigma'}$ (see Proposition 5.3). Then $X(\Delta)$ is the prevariety obtained from the collection of all affine varieties U_σ , $\sigma \in \Delta$, by gluing them along $U_{\sigma \cap \sigma'}$ (see the definition of the gluing given in section 3).

Proposition 6.1. *The prevariety $X(\Delta)$ is actually a variety.*

Proof. We use Proposition 3.3. We need to show that for any $\sigma, \sigma' \in \Delta$, the image of the diagonal map $\delta : U_{\sigma \cap \sigma'} \rightarrow U_\sigma \times U_{\sigma'}$ is a closed subvariety of the product variety $U_\sigma \times U_{\sigma'}$. This is equivalent to the fact that the \mathbb{C} -algebra homomorphism

$$\delta^* : \mathbb{C}[U_\sigma \times U_{\sigma'}] \rightarrow \mathbb{C}[U_{\sigma \cap \sigma'}]$$

(see section 1 for the definition of this homomorphism) is surjective¹⁴. The coordinate rings are as follows¹⁵

$$\mathbb{C}[U_\sigma] = \mathbb{C}[S_\sigma], \mathbb{C}[U_\tau] = \mathbb{C}[S_\tau], \mathbb{C}[U_\sigma \times U_\tau] = \mathbb{C}[U_\sigma] \otimes \mathbb{C}[U_\tau] = \mathbb{C}[S_\sigma] \otimes \mathbb{C}[S_\tau], \mathbb{C}[U_{\sigma \cap \tau}] = \mathbb{C}[S_{\sigma \cap \tau}].$$

We need to prove that

$$\delta^* : \mathbb{C}[S_\sigma] \otimes \mathbb{C}[S_{\sigma'}] \rightarrow \mathbb{C}[S_{\sigma \cap \sigma'}]$$

is surjective. One can see that if we regard δ^* as a map $\mathbb{C}[U_\sigma] \otimes \mathbb{C}[U_{\sigma'}] \rightarrow \mathbb{C}[U_{\sigma \cap \sigma'}]$, then $\delta^*([f] \otimes [g]) = i_1^*([f])i_2^*([g])$, where $i_1 : U_{\sigma \cap \sigma'} \rightarrow U_\sigma$ and $i_2 : U_{\sigma \cap \sigma'} \rightarrow U_{\sigma'}$ are the inclusion maps. This implies that

$$\delta^*(\chi^u \otimes \chi^v) = \chi^{u+v},$$

for $u \in \mathbb{C}[S_\sigma], v \in \mathbb{C}[S_{\sigma'}]$. The decisive argument is Proposition 4.8, which says that $S_{\sigma \cap \sigma'} = S_\sigma + S_{\sigma'}$. \square

We discuss again the examples 4, 5 and 6 in section 2. The following general considerations will be useful. Assume that τ is a common face of σ and σ' and we want to understand the gluing of U_σ and $U_{\sigma'}$ along U_τ , which is contained in both of them as an open subspace. First, we describe U_τ by equations of the type (5), i.e. by finding generators u_1, \dots, u_m in S_τ and the relations among them. We stick to the variables $X_1 := \chi^{u_1}, \dots, X_m = \chi^{u_m}$, which give coordinates x_1, \dots, x_m on U_τ . The embedding $U_\tau \subset U_\sigma$ has to be understood in terms of Proposition 5.3. That is, by Proposition 4.7, we can find $u \in S_\sigma$ such that

$$(8) \quad S_\tau = S_\sigma + \mathbb{Z}_{\geq 0}(-u).$$

If v_1, \dots, v_{m-1} is a generating set for S_σ , then S_τ is generated by v_1, \dots, v_{m-1} , and $-u$. The embedding $U_\tau \subset U_\sigma$ is given by

$$(\chi^{u_1}, \dots, \chi^{u_m}) = (\chi^{v_1}, \dots, \chi^{v_{m-1}}, \chi^{-u}) \mapsto (\chi^{v_1}, \dots, \chi^{v_{m-1}}).$$

To see it exactly, we need to express v_1, \dots, v_{m-1} in terms of the basis u_1, \dots, u_m . The same has to be done for $S_{\sigma'}$, and then we can try to understand the gluing.

Example 4, section 2, revisited. The cones σ_0, σ_1 , and σ_2 are a fan (according to the definition from above). Because $\sigma_0^\vee = \mathbb{R}$, we have $S_{\sigma_0} = \mathbb{Z}$. The generators are e and $-e$; consequently, if $X_1 = \chi^e$ and $X_2 = \chi^{-e}$, then $U_{\sigma_0} = \mathcal{V}(X_1 X_2 - 1)$. In other words, the

¹⁴A general result says that the image of an injective homomorphism of affine varieties $\varphi : V \rightarrow W$ is closed if and only if the map $\varphi^* : \mathbb{C}[W] \rightarrow \mathbb{C}[V]$ is surjective. See for instance Fantechi's notes [Fa], Proposition 2.11.

¹⁵A general result says that if V and W are two affine varieties and $V \times W$ the product variety, then $\mathbb{C}[V \times W] = \mathbb{C}[V] \otimes \mathbb{C}[W]$. To prove this, we note that $\mathcal{I}(V \times W) = \mathcal{I}(V) + \mathcal{I}(W)$ (where $\mathcal{I}(V)$ and $\mathcal{I}(W)$ are ideals in $\mathbb{C}[X_1, \dots, X_n, Y_1, \dots, Y_m]$). Then

$$\mathbb{C}[X_1, \dots, X_n, Y_1, \dots, Y_m] / (\mathcal{I}(V) + \mathcal{I}(W)) \simeq \mathbb{C}[X_1, \dots, X_n] / \mathcal{I}(V) \otimes \mathbb{C}[Y_1, \dots, Y_m] / \mathcal{I}(W).$$

coordinates on U_{σ_0} are x_1, x_2 , and they satisfy $x_1 x_2 = 1$. We identify U_{σ_0} with \mathbb{C}^* . Now we discuss the embedding $U_{\sigma_0} \subset U_{\sigma_1}$. The semigroup S_{σ_1} is generated by e , hence $U_{\sigma_1} = \mathbb{C}$. We have

$$S_{\sigma_0} = S_{\sigma_1} \oplus \mathbb{Z}_{\geq 0}(-e).$$

According to what we said above, the embedding is $(\chi^e, \chi^{-e}) \mapsto \chi^e$, in other words,

$$U_{\sigma_0} = \mathbb{C}^* \ni x \mapsto x \in \mathbb{C} = U_{\sigma_1}.$$

The semigroup S_{σ_2} is generated by $-e$, hence $U_{\sigma_2} = \mathbb{C}$ again. We have

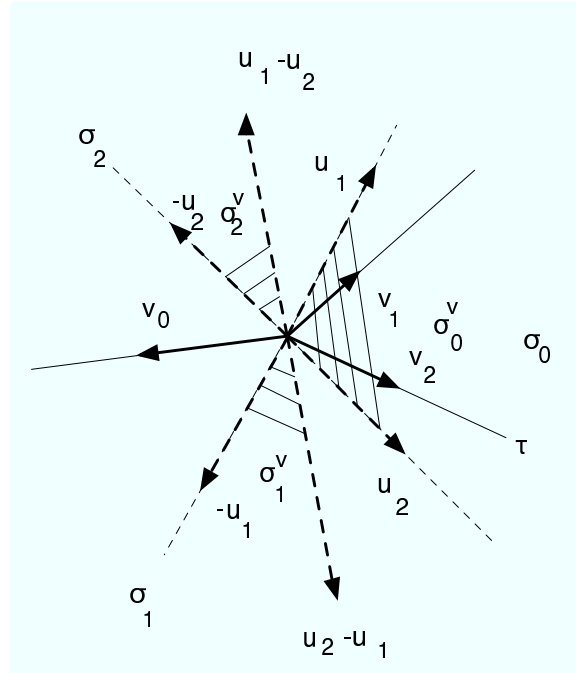
$$S_{\sigma_0} = S_{\sigma_2} \oplus \mathbb{Z}_{\geq 0}(e),$$

so the embedding is $(\chi^e, \chi^{-e}) \mapsto \chi^{-e}$, in other words,

$$U_{\sigma_0} = \mathbb{C}^* \ni x \mapsto x^{-1} \in \mathbb{C} = U_{\sigma_2}.$$

The way of identifying the toric variety $X(\Delta)$ with the projective space \mathbb{P}^1 has been described in Example 4, section 2.

Example 5, section 2, revisited.



In fact, this time we consider a slightly more general construction (which has the advantage of being symmetric). We start with a more general fan, but the corresponding variety is again the projective space \mathbb{P}^2 . We consider the vectors v_0, v_1, v_2 in N which generate N and such that $v_0 + v_1 + v_2 = 0$. The cones of the fan are $\sigma_0 := \text{Cone}(v_1, v_2)$, $\sigma_1 := \text{Cone}(v_0, v_2)$, and $\sigma_2 := \text{Cone}(v_0, v_1)$ (see the figure). The shaded cones in the figure are the dual ones (as usually, we identify V^* with V by means of an inner product on V). For some vectors u_1, u_2 in M we have

$$\sigma_0^\vee = \text{Cone}(u_1, u_2), \quad \sigma_1^\vee = \text{Cone}(-u_1, u_2 - u_1), \quad \sigma_2^\vee = \text{Cone}(-u_2, u_1 - u_2).$$

Let us understand the gluing of U_{σ_0} and U_{σ_1} along U_τ . As we said above, we start by choosing (and fixing) coordinates on U_τ . Because $S_\tau = \tau^\vee \cap M$ is generated by $u_1, -u_1$, and u_2 , we deduce that if we put $X_1 = \chi^{u_1}, X_2 = \chi^{u_2}, X_3 = \chi^{-u_1}$, then $U_\tau = \mathcal{V}(X_1 X_3 - 1)$ in \mathbb{C}^3 . The induced coordinate functions on U_τ are x_1, x_2, x_3 and we have to bear in mind that $x_1 x_3 = 1$.

We can identify U_τ with $\mathbb{C}^* \times \mathbb{C}$. Now we look at the embedding $U_\tau \subset U_{\sigma_0}$. The semigroup S_{σ_0} is generated by u_1 and u_2 , hence $U_{\sigma_0} = \mathbb{C}^2$. Note that

$$S_\tau = S_{\sigma_0} \oplus \mathbb{Z}_{\geq 0}(-u_1).$$

The embedding is $(\chi^{u_1}, \chi^{u_2}, \chi^{-u_1}) \mapsto (\chi^{u_1}, \chi^{u_2})$, hence

$$U_\tau = \mathbb{C}^* \times \mathbb{C} \ni (x_1, x_2) \mapsto (x_1, x_2) \in \mathbb{C}^2 = U_{\sigma_0}.$$

We look at $U_\tau \subset U_{\sigma_1}$. The semigroup S_{σ_1} is generated by $-u_1$ and $u_2 - u_1$, hence U_{σ_2} can be identified with \mathbb{C}^2 as well. This time we have

$$S_\tau = S_{\sigma_1} \oplus \mathbb{Z}_{\geq 0}(u_1).$$

The embedding is $(\chi^{u_1}, \chi^{u_2}, \chi^{-u_1}) \mapsto (\chi^{-u_1}, \chi^{u_2 - u_1})$, hence

$$U_\tau = \mathbb{C}^* \times \mathbb{C} \ni (x_1, x_2) \mapsto (x_1^{-1}, x_1^{-1}x_2) \in \mathbb{C}^2 = U_{\sigma_1}.$$

The other gluings can be understood similarly. The way of identifying the toric variety $X(\Delta)$ with the projective space \mathbb{P}^2 has been described in Example 5, section 2. Note that the same construction can be done in a more symmetric way if instead of starting with $N = \mathbb{Z}^2$, we take $N = \mathbb{Z}^3/\mathbb{Z}(e_1 + e_2 + e_3)$. Then we take the fan consisting of the cones generated by the cosets of e_1, e_2 , respectively e_1, e_3 , respectively e_2, e_3 (just observe that $e_3 = -e_1 - e_2$ modulo $\mathbb{Z}(e_1 + e_2 + e_3)$.) The last two examples can be generalized, in the sense that any projective space \mathbb{P}^n (i.e. space of all complex lines in \mathbb{C}^{n+1}) can be realized as $X(\Delta)$ for some fan Δ .

Any cone $\sigma \in \Delta$ has $\{0\}$ as a face, hence $T_N = U_{\{0\}}$ is a principal open subset of U_σ and it acts on U_σ in such a way that the embedding $T_N \subset U_\sigma$ is T_N -equivariant (see the end of the previous section). If $\tau \subset \sigma$ is a face, then the embedding $U_\tau \subset U_\sigma$ is T_N -equivariant: indeed, we only need to note that the map

$$U_\tau = \text{Hom}_{\text{sgp}}(S_\tau, \mathbb{C}) \hookrightarrow \text{Hom}_{\text{sgp}}(S_\sigma, \mathbb{C}) = U_\sigma$$

is given by restriction from S_τ to S_σ ; then we take into account the definition of the action of T_N on U_σ (and U_τ) described in Proposition 5.4. Consequently, T_N is embedded in the glued space $X(\Delta)$ as an open subspace. Moreover, the embedding $T_N \hookrightarrow X(\Delta)$ is T_N -equivariant. We have proved “half” of the following theorem.

Theorem 6.2. *A variety X contains a torus T as an open subvariety in such a way that the embedding $T \subset X$ is T -equivariant if and only if $X = X(\Delta)$ for some fan Δ .*

The other implication is harder: a reference is given in [Fu] at the end of section 1.4.

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